

# SHOULD GANZFELD RESEARCH CONTINUE TO BE CRUCIAL IN THE SEARCH FOR A REPLICABLE PSI EFFECT? PART I. DISCUSSION PAPER AND INTRODUCTION TO AN ELECTRONIC-MAIL DISCUSSION

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**ABSTRACT:** A group of recent, well-controlled ganzfeld studies failed to replicate the positive findings of earlier work (Milton & Wiseman, 1999a). This presents a challenge to claims that a ganzfeld psi effect can be replicated across experimenters under methodologically stringent conditions. Because of the ganzfeld's history as a focus for proof-oriented questions, this situation has implications for parapsychology as a whole. In this paper, it is shown that replication of effect size in the recent ganzfeld studies is not demonstrated across experimenters, regardless of whether the database is updated to include recent studies or whether outcome and cumulation statistics different from those preplanned are applied. Problems with interpreting as strong evidence for psi other parapsychological meta-analyses of less clearly well-conducted studies and apparently consistent process-oriented findings are discussed. The case is made for continuing with ganzfeld research as an important focus of parapsychology's claims for replicability. It is argued that if there is a replicable ganzfeld psi effect, however, the procedures necessary to produce it have not yet been identified. It is proposed that process-oriented work be directed to the goal of identifying which studies should be able to replicate an above-chance effect, and that these studies, identified by their planned procedures before they have been conducted, should provide the basis for future tests of replication.

The organization of an international, electronic-mail discussion of these issues among 41 researchers with a special interest in ganzfeld research is described. The edited transcript of the discussion is presented in Part II.

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## DISCUSSION PAPER

Despite the field's long history, there is still controversy over whether the results of parapsychology experiments offer evidence for a genuine communication anomaly—*psi*. For some time, parapsychologists have

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recognized that the evidence for psi most likely to convince fair-minded but critical scientists would be an experimental procedure that a range of experimenters could carry out that would produce reasonably replicable effects. Unless the experiment's effects could be replicated across experimenters, there would always remain fraud, error, or sensory leakage as strong alternative explanations to the psi hypothesis.

For many years, such replicability appeared to be out of reach. This perception appeared to change however, with the arrival in the 1970s of several research programs involving free-response ESP. In particular, ganzfeld ESP studies seemed especially promising. Not only did a range of experimenters appear to obtain outcomes in ganzfeld studies that were above chance, but they did so under conditions that appeared to be well-controlled and without using specially selected participants. In 1981, Ray Hyman, a psychologist skeptical of the existence of psi, wanted to conduct a critical assessment of a research program that represented parapsychology's strongest evidence. Because of claims then being made for ganzfeld research, it was an obvious choice for his attention (Hyman, 1985). Hyman (1985) meta-analyzed the 42 studies conducted since publication of the first ganzfeld ESP study in 1974, finding an overall statistically significant outcome; however, he concluded that the methodological problems that he identified in the studies could account for the positive results. In response, Charles Honorton, a proponent of ganzfeld research, conducted his own meta-analysis of the database, restricting his attention to the 28 studies reporting direct hits as an outcome measure (Honorton, 1985). He also obtained a statistically significant overall outcome (see Table 1); but although he conceded that the studies contained potential methodological problems, he did not agree that the problems were sufficient to account for the overall outcome.

Rather than continue to dispute the matter, Hyman and Honorton (1986) instead jointly drew up a set of methodological guidelines for the stringent conduct of future ganzfeld studies, agreeing that the case for psi in the ganzfeld would rely on a broad range of experimenters obtaining positive results under such conditions. Meanwhile, Honorton and his research team at Princeton Research Laboratories (PRL) had begun in 1982 a series of partially automated ganzfeld studies—*autoganzfeld studies*—designed to meet Hyman's methodological concerns (Bem & Honorton, 1994; Honorton et al., 1990). Before PRL closed in 1989, eleven series were completed, obtaining a statistically significant overall outcome and a mean effect size nearly identical to that obtained in Honorton's (1985) meta-analysis of the earlier ganzfeld database (see Table 1). Replication under stringent conditions of the early ganzfeld results appeared to suggest that methodological problems were unlikely to have accounted entirely for the effects obtained in the earlier studies; however, Bem and Honorton pointed out that it still remained for their

results to be replicated by other experimenters under similarly stringent conditions.

In early 1997, Richard Wiseman and I, in an attempt to determine whether other experimenters had indeed succeeded in replicating these results under well-controlled conditions, meta-analyzed the 30 published ganzfeld studies conducted since the publication of Hyman and Honorton's methodological guidelines (Milton & Wiseman, 1999a). The studies' combined outcome was not statistically significant, and the mean effect size was near zero (see Table 1). The mean effect size in the recent studies is less than a seventeenth of that found in the PRL work, and a post hoc comparison shows that it is statistically significantly lower than the mean effect sizes of the PRL and earlier ganzfeld databases (see Table A2).

Updating our meta-analysis to include the studies (see Table A1) published to date (March 1999) since our meta-analysis was completed in February 1997 renders the overall cumulation statistically significant,<sup>1</sup> but fails to raise the mean effect size to even a sixth of that obtained in the PRL or earlier ganzfeld studies meta-analyzed by Hyman (1985) and Honorton (1985) (see Table 1). Moreover, the statistical significance of the updated cumulation is due not to renewed success by a range of investigators, but solely to the inclusion of an extremely successful study by Dalton (1997a) (see Table 1). Whether Dalton's study is included or not, it is clear that the effect size obtained in Honorton's autoganzfeld studies and in the earlier ganzfeld database has not replicated. Post hoc comparisons show that the updated database of recent studies, with or without the Dalton study, has a mean effect size statistically significantly lower than those of the earlier meta-analyses (see Table A2).

The same is true if a variety of alternative outcome calculation and cumulation methods are used to analyse the recent studies rather than the ones that we preplanned and applied (Milton & Wiseman, 1997a). Since the presentation of our meta-analysis at the 1997 Parapsychological Association Annual Convention, a number of colleagues have informally pointed out that using several different methods of calculating or cumulating individual study outcomes, or introducing various criteria for excluding outliers, results in overall statistical significance of varying degrees for the database. Regardless of arguments over the post hoc and possibly selective nature of these analyses, none of them has the effect of raising the mean effect size in the new database by any meaningful amount, because of the relative insensitivity of means compared to the statistical significance of cumulations when slight changes are made in

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<sup>1</sup> It was not possible to calculate outcomes for three of the studies (see footnotes to Table A1) but given that one of these studies (Parker & Westerlund, 1998, Serial Ganzfeld) is clearly slightly below chance and the remaining two studies are very small with only 12 trials each, it is unlikely that their results would increase the cumulated outcome of the database by a meaningful amount.

Table 1  
OUTCOMES OF META-ANALYSES OF ESP GANZFELD STUDIES

Meta-analysis	Number of studies	Number of trials	Stouffer $z$	$p$ (1-t)	Effect size <sup>a</sup>		
					Mean	Standard deviation	95% confidence interval
Honorton(1985) <sup>b</sup>	28	835	6.60	$2.2 \times 10^{-11}$	.26	.38	.12 to .40
Bem & Honorton (1994)	11	329	3.41	.00033	.23	.24	.9 to .37
Milton & Wiseman (1999a)	30	1198	.70	.24	.13	.23	-.7 to .10
All studies 1987 to present <sup>c</sup>	39	1588	2.28	.011	.38	.26	-.4 to .12
All studies 1987 to present excluding Dalton (1997a) <sup>c</sup>	38	1460	1.45	.074	.27	.25	-.5 to .11

<sup>a</sup> Effect size is  $z/N^{1/2}$

<sup>b</sup> Here Honorton's meta-analysis solely represents the early ganzfeld database because Hyman's (1985) report does not provide the number of trials in each study needed for the calculation of  $z/N^{1/2}$ , the effect size used in this table.

<sup>c</sup> Individual study outcomes were calculated following the same procedures as in Milton and Wiseman (1999a).

the treatment of a database. For example, using Bem and Honorton's (1994) method to sum the number of direct hits obtained across studies (approximating the number of direct hits from the standard normal deviate of the study's reported outcome measure if direct hits were not reported) results in a total of 331 hits in the 1198 trials in the database. This is a statistically significant outcome,  $p = .19$ , one-tailed; but the effect size measured in this way is only .60.

#### *Implications of the current situation*

The current situation, then, is that the studies that appear to form the group proposed by Bem and Honorton (1994) to form a crucial test of the evidence for psi in the ganzfeld have clearly failed to show replication of an above-chance effect across experimenters; and, to date, they only show overall statistical significance if one extremely successful study is included. On the face of it, this appears to be an important replication failure because the unique history of ganzfeld research—strong claim, critical assessment, methodological guidelines, methodological refinement, initial replication—has led to it being presented to mainstream science as a critical test of the evidence for psi.

It has been almost 20 years, however, since Hyman's (1985) meta-analysis placed the focus for assessing the evidence for psi on ganzfeld research. Since that time, meta-analyses have been conducted of other parapsychological databases, including some whose main purpose has been to examine process-oriented hypotheses. The studies within them are not as well-controlled as the recent ganzfeld studies appear to be; but their highly statistically significant cumulated outcomes, their apparent resistance to explanations in terms of selective reporting, their general lack of statistically significant correlations between individual studies' quality and effect size in these databases, and the apparent replicability across experimenters of successful studies within them has led to their being presented both within and outside parapsychology as providing strong evidence that psi is a genuine communication anomaly that replicates across experimenters (e.g. Honorton & Ferrari, 1989; Radin, 1997; Radin & Ferrari, 1991; Radin & Nelson, 1989; Utts, 1991). If they do indeed constitute strong evidence, then the replication failure of the recent ganzfeld studies requires no negative reassessment of the claims for psi nor any action to continue to seek evidence for cross-experimenter replication of a psi effect under stringent conditions in the ganzfeld.

TABLE 2  
 MEAN METHODOLOGICAL QUALITY OF STUDIES IN PARAPSYCHOLOGY  
 META-ANALYSES EXPRESSED AS A PERCENTAGE OF THE MAXIMUM  
 NUMBER OF QUALITY POINTS AVAILABLE.

Meta-analysis	Effect examined	Mean quality (%)
Honorton (1985)	Ganzfeld ESP	70 <sup>a</sup>
Hyman (1985)	Ganzfeld ESP	44 <sup>b</sup>
Honorton & Ferrari (1989)	Forced-choice precognition	41
Honorton et al. (1998)	ESP-extraversion relationship	
	Forced-choice studies	45
	Free-response studies	86
Lawrence (1993)	ESP-belief in psi relationship	46
Milton (1997)	Non-ASC free-response ESP	
	GESP studies <sup>c</sup>	61
	Clairvoyance studies <sup>c</sup>	58
	Precognition studies <sup>c</sup>	47
Radin & Ferrari (1991)	Dice PK	Not reported
Radin & Nelson (1989)	Micro-PK	Not reported
Stanford & Stein (1994)	ESP-Hypnosis relationship	49
Steinkamp et al. (1998)	Precognition vs clairvoyance	
	Clairvoyance studies	66
	Precognition studies	63

Note: The meta-analyses used different quality criteria, ranging from 2 to 18 safeguards being examined in each meta-analysis. The mean quality of each meta-analysis is therefore, not directly comparable with another.

<sup>a</sup>In this meta-analysis, Honorton assessed study quality on just two features—the availability of sensory cues from target handling and the adequacy of the target randomization method. He assigned partial credit to studies containing methodological features (the use of single rather than duplicate target sets and randomization using hand shuffling, coin-flipping or die-throwing) that have received no credit in other parapsychological meta-analyses (Honorton & Ferrari, 1989; Lawrence, 1993; Milton, 1997; etc.). This method allowed him to make a distinction between these studies and studies using less stringent or unknown methods; but for the purposes of this table, the method arguably inflates apparent study quality by a considerable amount. For example, all but one study received at least one quality point for preventing sensory cueing regardless of whether a duplicate target set was used. If quality points are assigned in a manner more consistent with the other meta-analyses, with one point for the use of duplicate judging sets and no points for manual methods of randomization, the studies obtained 46% of the maximum available quality points.

<sup>b</sup>Based on only 4 of Hyman's 12 flaw categories. One of the excluded categories involved assigning a flaw to studies in which it was not clear that receivers' friends were used as senders. This does not seem appropriate because it is absence of appropriate security rather than the relationship between participants that would constitute an inadequate precaution against collusion. The remaining 7 flaws concerned statistical errors and the use of multiple outcome measures without adjustment for multiple analysis. They could not have affected study outcomes in the meta-analysis because Hyman calculated outcomes using appropriate statistics and single measures and are not therefore included here.

<sup>c</sup>The original paper reports these percentages in terms of publication type rather than study type.

*Problems in interpreting meta-analyses of studies of uncertain quality*

Even if internal analyses reveal no obvious problems, there are difficulties in interpreting meta-analyses as strong evidence for a phenomenon if the studies they contain are of uncertain or low methodological quality. As can be seen in Table 2, the parapsychological databases examined so far consist of exactly such studies. The table summarizes the methodological quality observed in the major parapsychology databases meta-analyzed so far that have included individual study quality assessments. Setting aside Honorton's (1985) and Hyman's (1985) quality assessments of the early ganzfeld work, which present some problems of interpretation (see footnotes to the table), it can be seen that in fully half of the databases that reported mean study quality, studies scored on average fewer than half of the available methodological quality points. Only the 14-study free-response sub-database in Honorton, Ferrari, and Bem's (1998) meta-analysis contained studies that scored more than two-thirds of the available quality points; and it can be argued that omitted from that quality assessment were important quality criteria, such as the prespecification of sample size, the use of blind mentation transcription, the prevention of cues to judges from judging trials out of order, and so on (see Milton, 1997). Two meta-analyses did not report mean study quality at all.

The lack of evidence that these databases in general consist of high quality studies introduces the possibility that their outcomes may have been inflated or, at worst, entirely caused by methodological flaws. To be a matter for concern in parapsychology databases, the effect sizes due to methodological flaws would have to be at least as large as the observed effect sizes, and the flaws would have to be present in sufficient quantities (singly or in combination) to be relevant. There has been, however, very little empirical research to determine the effect sizes associated with the absence of the various methodological safeguards used in parapsychology (Milton & Wiseman, 1997b), and many meta-analyses do not report the frequency with which individual safeguards are not reported. It is, therefore, difficult to rule out methodological problems as an explanation for the observed results. There are, in fact, meta-analyses in which flaws likely to be associated with effect sizes not much, if any, smaller than those observed appear to be potentially prevalent. For example, it is clear that if the experimenter does not prespecify which of several possible measures (such as direct hits, ranks, etc. in free-response ESP studies) is to be used to test the null hypothesis, there is a potential to inflate study outcomes considerably, due to post hoc data selection. The effect size associated with such selection has not been calculated; however, a computer simulation by Hyman (1985) of the effects of being free to choose any of the four main outcome measures available when target ratings are

used suggests that the probability of any one of them being statistically significant with an alpha of .05 is approximately .15. In a database of 78 free-response studies (Milton, 1997), the observed probability of a study being statistically significantly above chance was .22, and 96% of studies did not report whether the choice of outcome measure was preplanned. Hyman's study is likely to provide an extreme upper limit for the action of this particular flaw because it is not probable that post hoc selection of statistically significant outcome measures happens in every study, as it did in his simulation. Nevertheless, the potential effects of not prespecifying outcome measures is clearly not trivial in comparison with the outcomes of ESP studies. Similarly, recording errors have been estimated empirically to occur on approximately 1% of trials and to be biased in favor of the observer's hypothesis on two-thirds of the trials (Rosenthal, 1978). The mean effect size in Honorton and Ferrari's (1989) database of forced-choice precognition studies is equivalent to raising a study's outcome 1% above a mean chance expectation of 50%; but the frequency with which studies reported double-blind, double-checked, or automated data recording is not reported.

In most parapsychological meta-analyses, estimates of overall study quality do not correlate statistically significantly with effect size. A number of the researchers who obtained such null correlations have concluded that methodological problems, therefore, had no meaningful influence on their databases (e.g., Honorton & Ferrari, 1989; Lawrence, 1993; Radin & Ferrari, 1991; Radin & Nelson, 1989); however, in databases that do not consist entirely or mostly of clearly well-controlled studies such as the parapsychology databases, there are many ways in which a relationship between methodological flaws and effect size could be obscured. This is a general problem in meta-analysis and not one restricted to parapsychology. Because these problems have received little attention in parapsychology (although see Hyman, 1985; Milton, 1997; Stanford & Stein, 1994), it is worth listing some of them. A selection, by no means exhaustive, is as follows:

1. The absence of safeguards for certain procedures (such as randomization or sensory-shielding procedures) might inflate effect size more than the absence of safeguards for others (such as lack of double-blind checking of data records). In an unweighted correlation of study quality and effect size, the effect of the absence of these more important safeguards might be drowned out by the other data (Stanford & Stein, 1994). In some cases, experts have been called upon to rate flaws in terms of their likely impact so that a weighted correlation can be performed between the absence of safeguards and effect size (e.g., Milton, 1997; Radin & Ferrari, 1991). Thus far, these weightings have not indicated any such relationships, but it could be argued that, given the general lack of direct empirical evidence concerning effect sizes that result



from the absence of safeguards, the experts' judgments may be wrong, regardless of how well they agree with each other.

2. It is unlikely that individual studies' methodological quality is accurately reflected by their quality coding. Most parapsychological studies, especially those conducted before the 1980s, have not been written with a future meta-analyst's quality checklist in mind; and it is often unclear from reports whether particular safeguards against sensory leakage, error, post hoc data selection, and so on have been carried out. Presented with unclear or circumstantial evidence concerning the presence of a safeguard, coders will have to make a subjective judgment according to this partial, ambiguous information, influenced by their individual expectations and assumptions about what experimenters are likely to do as a matter of standard laboratory procedure. Under these circumstances, errors in coding are very likely to arise.

3. The binary coding of methodological safeguards as either present or absent in almost all parapsychology meta-analyses to date means that studies whose use of the safeguard is unknown must be included in the "safeguard present" or "safeguard absent" group. For example, it may be assumed that studies whose reports do not address at all the issue of study size belong with studies that clearly did not prespecify, as a safeguard against optional stopping, the number of trials to be conducted (Milton & Wiseman, 1997b). However, given that at least some, but by no means all, experimenters are likely to have used the safeguard without reporting it, this will result in a group of studies that all used the safeguard being compared with a group of studies in which some used the safeguard and some did not, in an unknown proportion. If the studies that did not use the safeguard had higher effect sizes as a result, then including the studies that used but did not report the safeguard in the same category will reduce the average effect size in that group, bringing it closer to that of the group that clearly used the safeguard. Clearly, this would reduce the sensitivity of a test comparing the mean effect sizes in the two groups and could obscure a genuine relationship between effect size and methodological quality. The only parapsychological meta-analysis published so far that allowed assessors to code the presence of a safeguard in a study as unknown rather than merely present or absent found that up to 59% of studies fell into this category on certain safeguards (Steinkamp, Milton, & Morris, 1998), suggesting that the problem may be by no means trivial in other parapsychology databases.

4. The binary quality ratings used in parapsychological meta-analyses may also lead to insensitive quality analyses because they are crude measures of quality, whereas the seriousness of a flaw may often vary more smoothly than this in magnitude. For example, the use of card shuffling to randomize the target sequence in an ESP study would count as a flaw in most parapsychological meta-analyses; but, because randomness

improves as the number of shuffles increases, a study in which the deck was shuffled a lot would be less prone to error than a study in which the deck was shuffled only a few times. Analyses based on the usual binary flaw ratings may be too insensitive to pick up a relationship between flaws and effect size (Stanford & Stein, 1994).

5. Experimenters who obtain null results in their studies may give shorter accounts of them, leaving out details of the safeguards that they included (as Pratt, 1966, states that he did, for example). In a meta-analysis, such studies as a group would show a spurious association between low effect size and low quality; thus hiding, perhaps, a real association between low effect size and high quality in the other studies in the database (Milton, 1997).

6. Quality coding has almost always been conducted non-blind in parapsychology meta-analyses; so, it is difficult to rule out the possibility of coders being influenced in their coding by the studies' outcome. Coders who favor the psi hypothesis might be reluctant to ascribe flaws to successful studies or, conversely, might overcompensate for their bias by being more ready to penalize unsuccessful studies. Either strategy would introduce error variance.

7. Flaws might not behave additively but might instead interact with each other, reducing the sensitivity of simple contrast or correlation analyses that examine the relationship between total flaws and effect size (Stanford & Stein, 1994). Similarly, the relationship between the lack of any given safeguard and effect size might not be linear; a flaw may only become "active" above a certain threshold, for example, and, again, a simple correlative approach would be insensitive to this (Stanford & Stein, 1994).

8. If the presence of some flaws is negatively correlated, they might raise effect sizes in the database, but their effects would be difficult to detect. A database in which either safeguard A or safeguard B is present in each study, but never both together, serves as an extreme example to illustrate the point. If the absence of each safeguard increases effect size to roughly the same degree, then a comparison of effect sizes of studies in which safeguard A is present with studies in which it is absent will show no difference; nor will such a comparison show any difference when applied to safeguard B (Hyman, 1985).

There are plenty of reasons, then, for being cautious about concluding that methodological flaws do not increase study outcomes because estimates of studies' overall methodological quality are not statistically significantly correlated with effect size. Moreover, if the effects of flaws cannot be ruled out, then the other aspects of the meta-analyses' results that appear to support the psi hypothesis—that is, implausibly large "file drawers" of unpublished, null studies required to render the overall cumulation nonsignificant, and replicability across experimenters—also

are undermined. If study outcomes in a meta-analysis have been inflated by flaws, then so has the size of the "file drawer." If it were possible to correct study outcomes for the influence of those flaws, the overall cumulation would fall and the file drawer would, perhaps, no longer appear unreasonably large. Concerning replicability, all of the parapsychological databases that have examined it have shown statistically significant heterogeneity of effect size across studies (Honorton & Ferrari, 1989; Honorton, Ferrari & Bem, 1998; Milton, 1997; Radin & Ferrari, 1991; Radin & Nelson, 1989; Stanford & Stein, 1994), with the exception of the PRL ganzfeld database (Honorton et al., 1990). The replicability that many of them claim is replicability of successful rejection of the null hypothesis, using a variety of methods. Honorton and Ferrari (1989), for example, report that 30% of studies and 37% of experimenters obtained statistically significant results, indicating that more successful outcomes were obtained than the 5% expected by chance, and that success was not restricted to a few experimenters. Clearly, replicability defined in these terms is also vulnerable to explanation in terms of methodological artifacts in databases in which quality is unclear.

There is, however, a second type of evidence for psi that is often mentioned in addition to the results of proof-oriented meta-analyses, and that is that a number of literature reviews and meta-analyses of process-oriented psi research appear to indicate consistent relationships between study outcomes and variables such as belief in psi, extraversion, and so on. It appears to be often assumed that such relationships would not be consistent if they were attributable to methodological flaws. For example, it may be assumed that the "sheep-goat" effect, in which believers in psi score higher on psi tasks than nonbelievers, cannot be due to sensory cues because these cues would be equally available to both sheep and goats, and both groups would be expected to show the same level of performance.

This is not a safe assumption, however. There are many situations in which one might expect the action of flaws to produce consistent differences between groups, in line with parapsychologists' hypotheses. For example, in sheep-goat studies that do not have adequate sensory shielding, participants might be expected to be motivated to exploit those sensory cues (consciously or otherwise) to perform in accordance with their beliefs, just as they are hypothesized to do with extrasensory cues—sheep to score more hits and goats to score fewer hits. The pattern of the results due to the inadequate sensory shielding would mimic that expected under the usual sheep-goat hypothesis. As Palmer (1978) notes, the results of ESP experiments tend to fall into patterns that make psychological sense, inasmuch as they appear similar to the patterns of results that one might expect if subjects were attempting to respond to very weak sensory

information. Many spurious results due to flaws would also be expected to make similar sense, however, especially if in fact they were based on sensory leakage (see also Wiseman & Morris, 1995). Many of the more consistent findings of ESP research (such as higher scoring on confidence calls than on other trials [Carpenter, 1977; Palmer, 1978], higher scoring in studies with trial-by-trial feedback [Honorton & Ferrari, 1989], and so on) make conventional psychological sense if one assumes that they are due to the exploitation by participants of weaknesses in the design. Psychologically meaningful and consistent patterns of results would also be expected if safeguards preventing experimenter bias (such as predetermination of study sizes, prespecification of statistical tests, data checking and so on) were lacking. Arguing that process-oriented research has shown a consistent and meaningful pattern of results does not, therefore, allow side-stepping of the question of methodological quality if this argument is to be used in a proof-oriented way. Furthermore, it is difficult to make a strong proof-oriented case on the basis of this process-oriented work because meta-analyses of studies examining relationships between apparent ESP performance and moderator variables indicate similar problems of low or unclear quality in studies as are found in the proof-oriented meta-analyses (Honorton, Ferrari & Bem, 1998; Lawrence, 1993; Stanford & Stein, 1994).

I am not arguing that methodological problems clearly account for the positive results of the parapsychological meta-analyses. The study quality estimates that the meta-analyses report is in most cases minimum estimates of quality because they conservatively do not give the benefit of the doubt to studies that do not report details of safeguards; the actual quality of the studies may have been higher than it appears. The general absence of demonstrable relationships between studies' quality estimates and their effect sizes is encouraging for the psi hypothesis, if not a matter for complacency. Nor is it my intention to discourage the use of meta-analysis as a valuable tool because it cannot answer all of the questions that we would want to ask about a database. It is clearly a more powerful method than traditional literature reviews for synthesizing research findings; however, there appear to be potentially serious problems with drawing strong conclusions from reviews and meta-analyses of studies that are not demonstrably strong in quality, and these problems apply as much to process-oriented research as they do to proof-oriented research. If providing strong evidence for psi is still seen as important, then it appears that the only way to do so is by demonstrating a replicable, nonzero effect across a range of experimenters under stringent methodological conditions. So far, this does not appear to have happened.

*Implications for future research*

Ganzfeld research seems an obvious area in which to continue to look for strong evidence for psi. No other research methodology in parapsychology has received the detailed critical attention that ganzfeld research has received; it is the only area in which a whole database of studies has been examined intensively by a researcher such as Hyman who considers the existence of a genuine anomaly unlikely (Hyman, 1985) and in which researchers with opposing viewpoints have jointly produced methodological guidelines for research to settle the question of the existence of psi (Hyman & Honorton, 1986). In addition, it has arguably come to represent the case for psi in microcosm for mainstream psychology (Bem & Honorton, 1994; Milton & Wiseman, 1999a), and an account of it appears in every major summary of parapsychology's best evidence for psi (e.g., Atkinson, Atkinson, Smith, & Bem, 1990; Broughton, 1992; Hayes, 1998; Krippner et al., 1993; Radin, 1997; Utts, 1991). The failure of the recent studies to replicate the success of the earlier work therefore presents a challenge in the same mainstream scientific forum to parapsychology's claims for a genuine, replicable effect.

If ganzfeld research is to be an important player in the continued search for strong evidence, that search will only be successful if a replicable effect can be demonstrated. At present, however, if there is no change in the way ganzfeld research is carried out and no change in how replicability is examined, there appears to be no obvious reason why the next, inevitable meta-analysis of future ganzfeld studies will not show the same pattern of a null, or near-null, cumulation with perhaps a few individual experimenters obtaining effects that others are not replicating. In order to avoid repeating recent history, we need to know why the recent meta-analysis (Milton & Wiseman, 1999a) failed to replicate the findings of the PRL studies, which were carried out under similarly stringent conditions.

Unfortunately, the explanation is far from clear. One possible reason could be that the results of earlier ganzfeld studies were due to methodological problems rather than to psi; however, although a number of potential avenues for sensory leakage have been identified in the PRL work (Honorton et al., 1990; Morris, Cunningham, McAlpine, & Taylor, 1993; Wiseman, Smith, & Kornbrot, 1996), none appear sufficiently strong to account in any obvious way for the success of those studies, which were much more well-controlled than the earlier work (Milton & Wiseman, 1999a).

Another possibility is that the PRL studies used psi-conductive procedures but that the recent studies did not. This is possible but far from certain, for two reasons. First, although Bem and Honorton (1994) identified a number of variables that may be important for replication, the vast

majority of recent studies meta-analyzed (Milton & Wiseman, 1999a) either did not measure or did not report the average values of these variables in their studies (with the exception of the use of static versus dynamic targets where it is clear that the two databases are closely matched); and so it is not possible to make a strong case that differences in these variables accounted for the lack of replication (Milton & Wiseman, 1999a).

It is also possible that any number of additional, unidentified variables might have contributed to the success of the PRL studies; and so it is not possible to know whether the recent studies' failure to replicate the PRL work was due to their failure to exploit these variables to the same extent. There were a number of procedures used on all or almost all trials at PRL—the use of a sender, continuous auditory monitoring of the receiver's mentation by the sender, correspondence judging by the receiver rather than by an independent judge, (double-blind) prompting by the experimenter during the judging to correspondences that the receiver overlooked, a 14-minute pretrial relaxation procedure for both sender and receiver, and so on. The importance of these procedures has not been empirically determined. Any one or more of these procedures might be important for replication; however, without any evidence for their effects, it is not clear that the failure of the recent studies to replicate the findings of the PRL studies was due to the use of different procedures. It is not evident, at this point, what a replication of the PRL work in its essentials would have to consist of.

Since the convention presentation of our meta-analysis (Milton & Wiseman, 1997a), a number of colleagues have informally suggested that if we had restricted our database to "standard" ganzfeld studies (i.e., studies without unusual features) across-experimenter replication of the PRL effect size might have been evident. However, among the researchers who have discussed the issue with me there appears to be little agreement about the features of a standard ganzfeld study. Devising a rule to define such a study at this point could easily appear as a post hoc attempt to explain away a disappointing result, given that the previous ganzfeld meta-analyses included almost all studies and trials no matter how unusual their procedures (Bem & Honorton, 1994; Honorton, 1985; Hyman, 1985) and regardless of whether those procedures would be expected to result in success or failure.<sup>2</sup> Neither Hyman and Honorton (1986) nor Bem and Honorton (1994) specified that studies would have to have certain features to be considered part of the replicability test that they proposed. It does not appear possible to selectively meta-analyze the recent studies and make a strong case that the ganzfeld effect is replicable; however, a selective meta-analysis with exclusion criteria stated in advance of studies being conducted would be a credible demonstration of replicability if it obtained positive results. In practice, it is

unlikely that criteria could be set up that would anticipate all of the novel features that experimenters might introduce in their studies that would lead most researchers to expect them to be unsuccessful. In addition to having to conform to a basic set of criteria, the procedures planned for each study would therefore also have to be examined on a case-by-case basis to determine whether or not the study ought to be included in the replication test. The existence of such a project would neither affect the usual conduct of process-oriented research nor force experimenters to use certain procedures in their studies. It would simply be the case that studies eligible to be included in the meta-analysis would be included and others would not. Similarly, the project would not affect anyone's usual freedom to conduct a meta-analysis of their own. In particular, there is no reason anyone should not conduct a process-oriented meta-analysis involving all studies.

Some researchers may believe that it is already possible to identify successful ganzfeld studies based on their procedures alone, and that it would be advisable to begin such a meta-analysis now. Others may think this premature. Very few variables have been explored repeatedly or systematically in ganzfeld studies, and even fewer have been examined meta-analytically across studies to determine whether there is good statistical evidence that they relate to effect size. Meta-analytic investigation of some of the variables suggested by Bem and Honorton (1994) as having been important in the PRL work indicates that other experimenters have not replicated their effects in the few areas where this has been attempted (Milton & Wiseman, 1999a). In addition, some variables identified by Bem and Honorton as having had statistically significant relationships with effect size in the PRL studies do not in fact appear to have done so (Milton & Wiseman, 1999a), suggesting that our success so far in identifying what variables are important in the ganzfeld might be more limited

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<sup>2</sup> The previous ganzfeld meta-analyses did not report explicit exclusion rules but the implicit rules appear to have been to include every ganzfeld study (for Hyman's meta-analysis) or every single trial (for the PRL meta-analysis) in which a ganzfeld environment (even a modified one) was used to conduct an ESP test, with one disputed exception. For the first meta-analysis of ganzfeld studies, Honorton provided Hyman with "a copy of every ganzfeld study known to him" (Hyman, 1985, p. 4), all of which Hyman included in his meta-analysis. The studies were procedurally very varied, with some having features that laboratory lore might predict would not be psi-conducive, such as very short mentation periods (e.g. Rogo et al., 1976); however, Honorton did exclude two conditions in a study by Raburn (1975) in which participants were not aware that they were taking part in an ESP test, on the grounds that these trials were too atypical of other ganzfeld research. Hyman (1985) objected to their exclusion because other studies contained unique features and yet were included in the database. Bem and Honorton's (1994) subsequent meta-analysis of the PRL work included every single trial done using the autoganzfeld. The PRL studies were also procedurally varied and the meta-analysis included trials that, again, might arguably not be expected to be successful, such as demonstration trials carried out in the presence of a TV crew and trials from Series 302 in which Target 79 was included in the target set on each trial despite its never having been previously correctly identified when serving as the target.

than has been assumed. Before embarking upon a replication test that should exploit its findings, it may be that a systematic assessment of process-oriented ganzfeld research is called for (e.g., see Dalton, 1997b).

#### *Summary and conclusion*

The meta-analysis of recent, well-controlled ganzfeld studies (Milton & Wiseman, 1999a) indicates a failure to replicate the results of the earlier work, and the evidence for psi from meta-analyses and process-oriented reviews of parapsychology studies of low or uncertain quality does not appear compelling. If the search for strong evidence for psi is to continue, ganzfeld research appears to be its natural arena. A meta-analysis that excludes studies before they are conducted if they are not expected to replicate a positive effect appears to be the obvious test of future replication. Until more research has been done to identify what factors may be psi conducive in the ganzfeld, such a meta-analysis may be premature, but it appears to be an important goal to work towards.

Many researchers may disagree with my assessment of the evidence for psi accumulated so far, and with my goal of continuing to seek stronger evidence in general, and with my proposal for a prospective ganzfeld meta-analysis in particular. Conversely, many may disagree with the use of meta-analyses of studies of uncertain quality being promoted as strong evidence for psi, and with ganzfeld research having become a crucial test case before the factors that affect its replicability have been well-established. Whatever researchers' views may be, however, the momentum of previous events is carrying the field towards another inclusive meta-analysis of future ganzfeld studies that appears likely to show the same failure to replicate as did the last one. Should a second failure to replicate occur despite the warning of a first failure, it will give the appearance of reasonably strong evidence against claims for psi as a replicable (and therefore, probably genuine) effect.

If this is not a direction that parapsychologists want events to take, then now appears to be the time to say so. Although the choice of whether to carry out a meta-analysis is likely to be an individual one, its results will affect other researchers. The opportunity for the research community, rather than a few, key individuals, to discuss the issues and express their opinions is long overdue. I look forward to hearing the views of my colleagues on the matters that I have discussed in this paper.

#### *Organization of an electronic mail discussion*

The apparent replication problems in ganzfeld research described in the preceding paper appeared to require discussion among the ganzfeld research community in order to determine what, if any, course of action seemed appropriate and could be agreed upon. I, therefore, invited a group of researchers with expertise in ganzfeld research and



parapsychological meta-analysis to discuss the future of ganzfeld research in a three-week electronic mail conference in May 1999.

The invitees consisted of authors and coauthors of studies conducted since publication of the Hyman-Honorton guidelines; Honorton's autoganzfeld research team; senior authors of at least two ganzfeld studies conducted at any time; meta-analysts of ganzfeld research and of other proof-oriented meta-analyses; authors of published commentary on proof-oriented aspects of the ganzfeld meta-analyses; and, in order to include future ganzfeld experimenters, researchers planning to conduct a formal ganzfeld study within the next two years.

Every effort was made to identify and locate eligible participants. Researchers planning to conduct ganzfeld studies within two years were sought via messages on the two main parapsychology electronic mailbases (PRF and PDL). For participants eligible through previous authorship, contact details were sought from these mailbases, the Parapsychological Association, alumni offices in UK universities (for those who had conducted research while students), former colleagues and co-authors, internet directory searches, and other invitees. Out of 65 eligible participants, 58 were successfully traced. Forty-one invitees (71%) accepted the invitation to join the mailbase during its operation. They are listed in Appendix B. Each received an advance copy of the preceding discussion paper and a preview copy of the Milton and Wiseman (1999a) ganzfeld meta-analysis paper, then in press with *Psychological Bulletin*.

Because of the importance of the issues under discussion, John Palmer, the editor of this journal, agreed in advance to publish a transcript of the debate. Participants were informed of this at the time of their invitation. They were also told that the transcript would be edited for length and re-ordered if necessary by an independent editor, Dr. Gertrude Schmeidler. They were informed that any editing would be agreed with each message's author before publication and that, to avoid bias, no substantive content would be removed. Participants were assured that in the interests of neutrality I would have no involvement in this editing and that John Palmer, himself a debate participant, would restrict himself to approving the edited material's length and would have no influence on the nature of its content.

The debate format had some unusual features intended to foster productive discussion. Participants were informed that there would be a strict policy of courtesy among discussants. In addition, so that arguments would be assessed on their merit rather than on their author's status, each author's identification and e-mail address were removed by a computer program en route to the mailbase and each message was only identified by a number. Authors could, however, reveal their identities in any particular message if this was necessary to make it clear that they

spoke with authority on a question of fact (for example, in discussing unpublished data from their own research). Otherwise, participants were asked to help conceal their identities by wording messages in ways that would not reveal who they were. Participants were informed that the identity of each message's author would be announced after the discussion had closed and would be published with the debate transcript.

In order to ensure compliance with the rules of the discussion, a moderator, Professor Hoyt Edge, screened each message for anonymity and courtesy, with a remit to negotiate if necessary an acceptable wording before posting the message on to the other participants. Participants were informed that I would have no involvement in the moderating process, again in the interests of neutrality.

All members of the discussion group received an optional questionnaire before and after the discussion asking their opinions on the main issues, and a post-discussion questionnaire concerning their satisfaction with the organizational features of the debate. The questionnaire data are presented in Appendix C.

The edited debate material follows in Part II. Each message in the transcript is numbered, with its author listed in an appendix so that readers may, if they wish, have the same experience as the discussants of reading the material without knowing who wrote it, allowing themselves only to be swayed by the force of argument and evidence.

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## APPENDIX A

TABLE A1

GANZFELD STUDIES PUBLISHED TO DATE (MARCH 1999) SINCE  
COMPLETION OF MILTON & WISEMAN (1999A) META-ANALYSIS  
(FEBRUARY 1997)

Study (N = 12)	Number of trials	<i>z</i>	<i>z</i> /N <sup>1/2</sup>
Dalton (1997a)	128	5.26	.46
Parker & Westerlund (1998) Study IV	30	2.40	.44
Parker & Westerlund (1998) Study V	30	1.25	.23
Parker & Westerlund (1998) Serial Ganzfeld	30	— <sup>a</sup>	— <sup>a</sup>
Symmons & Morris (1997) Pilot Study	12	— <sup>b,c</sup>	— <sup>b,c</sup>
Symmons & Morris (1997) Main Study	51	2.98 <sup>b</sup>	.42 <sup>b</sup>
Wezelman & Bierman (1997) Amsterdam Series IV B	32	-1.48	-.26
Wezelman & Bierman (1997) Amsterdam Series V	40	-.91	-.14
Wezelman & Bierman (1997) Amsterdam Series VI	40	-.15	-.2
Wezelman & Bierman (1997) Amsterdam Series VI Exploratory Meditation Trials	7	-1.11	-.42
Wezelman & Bierman (1997) Amsterdam Series VI Exploratory Psilocybine Trials	12	— <sup>d</sup>	— <sup>d</sup>
Wezerman et al. (1997)	32	2.15	.38

<sup>a</sup> In this study, the receiver's task was to place the four targets in the judging set in the order in which they had been presented during the ganzfeld session. The authors present the results as a frequency table of the number of correct placements within each trial. By inspection the outcome is slightly below chance; however, the authors do not present or refer to any specific inferential statistical analysis and, because it is not clear what analysis was intended, no post hoc analysis has been imposed here.

<sup>b</sup> In both studies by Symmons and Morris, tapes of drumming at different frequencies were used instead of white noise, and so it is questionable whether they can be considered as using a ganzfeld environment. The studies are included here to make clear the effects on the database of including or excluding them.

<sup>c</sup> No outcome was reported for the pilot trials.

<sup>d</sup> Two receivers guessed at the same target on 6 trials, obtaining 7 hits in the resulting 12 trials; however, data are not presented that would allow for correction of the nonindependence of their calls (the "stacking effect": see Milton & Wiseman, 1999b), and so no outcome is presented here.

Table A2

POST HOC COMPARISONS BETWEEN MEAN EFFECT SIZES IN  
META-ANALYSES OF RECENT AND EARLIER GANZFELD STUDIES

Databases compared	<i>t</i>	d.f.	<i>p</i> (one-tailed)
Honorton (1985) vs.:			
Milton & Wiseman (1999a)	3.06	56	.0017
All studies 1987 to present	2.90	65	.0026
All studies 1987 to present excl. Dalton (1997a)	3.04	64	.0017
Bem & Honorton (1994) vs.:			
Milton & Wiseman (1999a)	2.64	39	.0059
All studies 1987 to present	2.22	48	.016
All studies 1987 to present excl. Dalton (1997a)	2.38	47	.011

## APPENDIX B

*Members of the Discussion Group*

Members of the discussion group, in alphabetical order, were as follows (those who posted messages are marked with an asterisk): Cheryl Alexander, Daryl Bem, Dick Bierman, Douwe Bosga, William Braud, Kathy Dalton, Deborah Delanoy, Norman Don, Ricardo Eppinger, Hans Gerding, Gerd Hövelmann, Anjum Khilji, Diana Kornbrot, Tony Lawrence, Bruce McDonough, Stuart Menzies, Julie Milton, Bob Morris, Roger Nelson, John Palmer, Adrian Parker, Dean Radin, Chris Roe, Ephraim Schechter, Marilyn Schlitz, Fabio da Silva, Matthew Smith, Rex Stanford, Fiona Steinkamp, Charles Symmons, James Terry, Jessica Utts, Mario Varvoglis, Charles Warren, Caroline Watt, Joakim Westerlund, Rens Wezelman, Nils Wiklund, Carl Williams, Melvyn Willin, Richard Wiseman.

## APPENDIX C

*Questionnaire Data*

As noted earlier, all members of the mailbase group were sent an optional pre- and postdiscussion questionnaire concerning the main issues, and a postdiscussion questionnaire asking about their satisfaction with the organizational features of the debate. To minimize response bias, discussants were asked to send their responses for compilation to the moderator, who would keep their individual replies permanently confidential from me.

*Pre- and Postdiscussion Opinions on the Main Issues*

The results of the questionnaires are summarized in Table 1. Just under half of the mailbase members answered the pre- and postdiscussion questionnaires, and so it is not clear that the results proportionately reflect the views of whole group. Respondents were not asked to give their identities, to maximize response rates. It is, therefore, not clear whether any change in opinion reflects a change in the opinion of broadly the same group of people, or a change in the identities of those responding to the questionnaire. The data can only be interpreted as reflecting the views of those who chose to express an opinion at the time.

Bearing these limitations in mind, it can be seen that respondents appeared to maintain their position of tending to favor (but with some uncertainty) the view that the experimental evidence for psi as a genuine anomaly is strong enough to convince a neutral scientist. Respondents tended to agree before the discussion that ganzfeld research should continue as an important focus for psi as a genuine effect, replicable across experimenters under certain conditions; and they agreed more strongly with this view after the discussion. There was little change in respondents' view that meta-analyses of stringently conducted studies are important as part of the case for psi as a replicable, genuine anomaly, nor in their view that it is necessary to plan exclusions in advance rather than post hoc in the next ganzfeld meta-analysis. Before the debate, respondents had a slight tendency to believe on balance that it is already possible to identify successful ganzfeld studies reasonably reliably in advance on the basis of their procedures; but afterwards the majority did not think this possible.

Table 3  
OPINIONS ON THE MAIN DISCUSSION ISSUES BEFORE AND AFTER DEBATE

Question	Response	Percent Agreement	
		Entry Poll (N = 16) <sup>a</sup>	Exit Poll (N = 18) <sup>b</sup>
1. Do you think that the experimental evidence for psi is strong enough that a neutral scientist should be convinced that a genuine anomaly has been demonstrated, that is, that there is a phenomenon not explicable in terms of error, selective reporting, fraud, ordinary sensorimotor effects and so on?	Yes, certainly	13	6
	<i>Yes, on balance</i>	50	44
	<i>Uncertain</i>	31	39
	<i>No, on balance</i>	0	0
	No, certainly not	6	11
2. Do you think that ganzfeld research should remain an important focus for testing the hypothesis that, at least under certain conditions, psi is a genuinely anomalous effect that can be replicated across experimenters?	I do not believe that further testing of this hypothesis is necessary, it has already been sufficiently confirmed	13	6
	<i>No, certainly not</i>	13	0
	<i>No, on balance</i>	13	11
	<i>Uncertain</i>	19	11
	<i>Yes, on balance</i>	19	61
	Yes, certainly	25	11
3a. How important do you think meta-analyses of stringently conducted parapsychology studies are in making at least part of the case for psi as a genuine and replicable anomaly?	Crucial	13	12
	<i>Important</i>	63	59
	<i>Uncertain</i>	13	6
	<i>Not important</i>	6	6
	Irrelevant	6	18



3b <sup>c</sup> . I am proposing that if a meta-analysis of ganzfeld studies designed to test whether psi is a genuine and replicable anomaly is to be selective and yet still credible, it is necessary to identify studies for inclusion in advance of their conduct on the basis of their planned procedures rather than excluding studies after they have been conducted when their results are known. Do you agree?	Yes, certainly	29	40
	Yes, on balance	36	33
	Uncertain	7	13
	No, on balance	21	13
	No, certainly not	7	0
4. Do you think that the procedures necessary for producing a replicable ganzfeld effect have been identified to the extent that it would be possible now to identify in advance which studies are likely to be successful with reasonable reliability?	No, certainly not	13	28
	No, on balance	25	28
	Uncertain	19	28
	Yes, on balance	38	17
	Yes, certainly	6	0

<sup>a</sup> N = 14 for Question 3b

<sup>b</sup> N = 17 for Question 3a and N = 15 for Question 3b

<sup>c</sup> This question was only for respondents who answered "crucial" or "important" to Question 3a.

#### *Opinions on Discussion Features*

Seventeen members of the discussion group responded to the postdiscussion questionnaire asking for their views on various aspects of how the discussion was run. Concerning the time allowed for the discussion, most (65%) thought three weeks to be about right. The remainder (35%) would have preferred a longer period (between four and eight weeks, according to individual responses), with none thinking the debate too long. Most respondents would have recommended message anonymity and prearranged publication for future e-mail debates (70% and 69%, respectively), with a moderator to screen for courtesy being very strongly favored: 83% of respondents would have recommended this feature for a future debate. The present discussion's moderator was not given the role of guiding the discussion, but 59% of respondents recommended such guidance for future e-mail debates.