



NHS Breaches of Data Protection Law

How patient confidentiality was compromised five times every week

A Big Brother Watch report

October 2011

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www.bigbrotherwatch.org.uk

Executive Summary

According to a Freedom of Information request made by Big Brother Watch, between July 2008 and July 2011:

- No fewer than **806 separate incidents** took place in **152 NHS Trusts** where NHS employees breached data protection policies and compromised the private medical information of patients. This is an average of **268 incidents per year**, or **5 times per week**.
- At least **23 incidents** occurred where NHS personnel were found to have posted confidential medical information on social networking sites.
- No fewer than **129 separate incidents** occurred where NHS employees inappropriately accessed or used the private medical information of their colleagues and family members. Of these, **91 incidents** were NHS staff looking up details of their colleagues at work.
- At least **24 NHS Trusts** saw **57 incidents** where unsecured confidential medical information was lost, left somewhere or stolen.
- Of the **806 cases reported**, **102** resulted in the dismissal of the NHS staff member in question.
- **55 NHS Trusts** refused to release all or some of the information requested, and **74 NHS Trusts** did not respond to our request.

Introduction

Issues surrounding data protection in the NHS have been a very real cause for concern to campaigners of privacy. According to the Information Commissioner's Office, in the financial year ending 31st March 2011, there were 165 data breaches, only 1 per cent lower than the previous year's record high of 167.¹ Despite these figures, the number of NHS employees with access to private records appears to have steadily increased in recent years. Last year, Big Brother Watch reported that at least 101,272 non-medical personnel had access to confidential medical records on file in the NHS.²

Securing patient information should be a core priority for the NHS and as this research shows, not enough is being done to ensure patients' privacy is protected.

This problem has been recognised at the highest levels. Speaking at the 10th annual data protection compliance conference in London, Information Commissioner Christopher Graham said data breaches in the NHS continue to be "a major problem". Of the 47 undertakings the ICO has agreed with organisations that have breached the Data Protection Act since April, over 40 percent (19) were in the healthcare sector.

Given the levels of access to private medical details NHS employees enjoy, the greatest concern is the risk for information to falling into the wrong hands or be accessed with malicious or commercial intent. These details are hugely personal and patients expect that their private records are treated with the highest degree of confidentiality.

The NHS is not alone in seeing a significant problem of data misuse and loss. For example, Big Brother Watch released a report in July 2011 showing over 900 examples of police officers and staff abusing access to sensitive data.³

It is important, not only that steps be taken to prevent further breaches through training and clear policies, but that the public be made aware and that proper sanctions are in place when they do occur. Our research suggests that neither of these concerns is being adequately dealt with.

¹ "ICO Report Shows NHS Data Loss." Civil ServiceLive Network. 19 July 2011.

http://network.civilservicelive.com/pg/news/csl_cronadmin/read/609810/ico-report-shows-nhs-data-loss

² <http://www.bigbrotherwatch.org.uk/brokenrecords.pdf>

³ http://www.bigbrotherwatch.org.uk/Police_databases.pdf

Main Findings

We received at least partial responses to our Freedom of Information Act request from 350 Trusts, indicating that there have been no fewer than 806 breaches of data protection policy at 152 NHS Trusts in the UK. This is an average of just over 268 cases per year, significantly higher than the total number of breaches reported by the Information Commissioners Office.⁴

From these incidents, we have seen that the frequency, scale and scope of these breaches in data protection are of great concern. This report highlights how frequent breaches of data protection take place and the severity of the incidents disclosed, and the lack of public awareness about many of the breaches.

However, these incidents do not indicate the full scale of the issue of breaches of data protection. A total of 74 NHS Trusts did not provide a response to our request for information, leaving an incomplete picture of the problem of unbounded access to private medical records. Additionally, a further 55 NHS Trusts refused to provide all or some of the information requested on various grounds of the Freedom of Information Act.

The 806 incidents reported comprised of a number of different kinds of inappropriate access or use of private medical details and were committed by both medical and non-medical personnel.

The full set of data with responses to our Freedom of Information request can be found in Table 1.

Posting Private Medical Details on Social Media

At least 23 incidents took place where NHS employees breached confidentiality of a patient by posting details of their medical information on social networking sites. 11 Trusts released details of such incidents, in which 13 medical personnel were involved in the offence. In just one of these cases was it confirmed that the employee in question was dismissed.

The implications when personal information to be shared on social media cannot be understated. Social media is one of the most accessible ways to commit gross breaches of patient confidentiality, with a potential worldwide audience of millions. There can be no acceptable reason for using social media to communicate with patients or other parties and this is clearly not as widely understood as it ought to be. The NHS needs to do much more to educate staff about the implications of using social media – particularly the data retention

⁴ "ICO Report Shows NHS Data Loss." Civil Service Live Network. 19 July 2011.

http://network.civilservicelive.com/pg/news/csl_cronadmin/read/609810/ico-report-shows-nhs-data-loss

aspects – and where situations arise they should be treated as serious and substantial breaches of the law.

For details of breaches of data protection on social media, see Table 2.

Colleagues and Family Members

In response to our request, we found that at least 129 separate incidents took place where an employee of the NHS used their access to private medical details to access or disclose the medical details of a colleague or family member. This includes a well-publicised case in Hull PCT where a former employee was given a criminal conviction for accessing the patient files of 413 people, including family members, friends, ex-girlfriends and former classmates.⁵ He claimed that there was no malicious intent to this invasion of privacy; simply that he was motivated by his own ‘idle curiosity’.

Of these 129 incidents, 91 were committed by an NHS employee inappropriately accessing the confidential medical details or information of a colleague at work. In some cases, the individual was found to have revealed the information to other colleagues. 70 of these incidents involved non-medical personnel inappropriately accessing the medical details of their colleagues. In 20 cases, the employee in question was dismissed for inappropriately accessing and/or disclosing the confidential medical details of a colleague.

38 cases occurred where NHS employees inappropriately used or accessed the medical details of their family members, breaking data protection policy and highlighting a worrying casual attitude towards following proper data protection procedures.

For details of these incidents, see Tables 3 and 4.

Items Lost, Left or Stolen

Our research found 57 incidents where confidential information was lost, left behind or stolen. In these cases, the primary concern is whether or not the data in question was encrypted or protected in any way so as to prevent personal medical details from being disclosed to an unrelated third party. However, it is deeply concerning that in a number of cases data was not encrypted where stored electronically, or was not properly concealed and secured in the case of paper records.

In these incidents, information which could identify a patient was left on hard-copy documents, unencrypted data sticks or laptops were left in plain view or stolen without

⁵ “NHS Manager spared jail after snooping on more than 400 patient records.” Hull and East Riding. 5 October 2010. <http://www.thisishullandeastriding.co.uk/NHS-manager-spared-jail-snooping-400-patient-records/story-11956512-detail/story.html>

proper data security policy procedures being followed. These situations were clear cases of negligence or complacency where information was left unsecured. Of the 57 incidents reported in response to our FOI request, only three explicitly resulted in the dismissal of the employee.

For details of these incidents, see Table 5.

Refused and Withheld Information

Of the 428 NHS Trusts we sent a Freedom of Information Request to, we received responses from 354 Trusts, just over 80 per cent. However, of these Trusts, 55 provided only a partial response or refused to release any of the information requested. In most cases, the refusal was based on one of two exceptions to the Freedom of Information Act; a refusal on the grounds of time and cost where information was not available in any central location or easily accessible source, or on the grounds of Personal Information and third-party disclosure, where the information requested, if released, may have led to the individual being identified.

These refusals skew the number of resulting incidents reported as some of those Trusts that refused information in response to our request did on the basis that so few incidents occurred and we could, in theory, deduce who had committed the offense. In several of these cases, the details that were given to us were done so in a range, for example, “fewer than 5 instances” or “fewer than 10”, for which we could not accurately report the number of incidents that actually occurred and so counted one.

Given the nature of this request, it is interesting that information relating to a breach of data protection would be withheld on the grounds of data protection. It leads one to assume that these Trusts appear more interested in preserving the privacy of their own than they are in preserving the privacy afforded them in the Data Protection Act. While we wish to preserve the nature of data protection, it is particularly concerning to us that accurate information regarding incidents (rather than the individuals responsible) where data protection has been breached by NHS employees would be withheld from public disclosure.

Other responses which may obscure or skew the data are inherent to individual Trust policies of reporting breaches of data protection to the Information Commissioner. Some Trusts categorise confidentiality or data incidents according to their perceived severity. In these situations, it may be the case that only the highest level breaches are reported to the Information Commissioner. In these cases, it may also be fair to assume that a similar policy was applied to our information request. In other words, it is possible more incidents of varying degrees of severity took place than were released in response to our information request on the grounds that they were not deemed serious enough breaches to report to the Information Commissioner. Such a situation would distort the actual number of cases where data had been breached to appear lower than it actually was.

For details of these incidents, see Table 6.

Conclusion

Confidential medical details are highly personal, carrying with them an expectation of absolute privacy and the implications of their contents becoming public can be extremely detrimental and have a grave emotional and physiological impact.

This research highlights the serious questions about data protection in the NHS. As has been recognised previously by the ICO, far more needs to be done to address the concerns around data security and privacy.

Furthermore, Big Brother Watch would argue that this research highlights the extent to which increasing access to personal medical records has exceeded a necessary level. If NHS staff cannot be trusted to abide by data protection policies when it comes to their colleagues and family, then they raise serious concerns as to the need for them to have any access at all. Deliberate non-compliance with such an important privacy policy is simply unacceptable in a position with access to millions of patients' most personal medical details.

As the summary care record scheme is rolled out and an increasing number of people have access to private patient information, urgent action is needed to ensure that we can be sure our medical records are safe.

In developing an adequate deterrent to reaffirm the seriousness of data protection breaches, Big Brother Watch agrees with the Commons Justice Select Committee and support the view that courts should have the power to punish breaches with custodial sentences.

Furthermore, while those Trusts who have disclosed the full extent of their data protection breaches should be applauded, there remains a great degree of inconsistency with reporting and use of the Data Protection Act to refuse to disclose details. It is questionable at best for Trusts to use the Data Protection Act to withhold details of data breaches when those NHS employees involved have failed to show the same respect for the privacy of patients or the law. It is essential that the NHS be transparent about these incidents and failing or refusing to disclose that a data breach has taken place is unacceptable.

Serious questions must be asked about how the NHS manages information and access to it. It is clear from our research that there are many more cases which have not become public and where patients are not aware their privacy has been compromised.

The privacy of the patient should be a fundamental part of any healthcare system and those who fail to respect that privacy should be dealt with to the full extent of the law.

Methodology:

Beginning on 25th July, the following Freedom of Information Act request was sent to 428 NHS Trusts across the UK. In these request, we asked the NHS Trusts to provide us with the number of cases where medical and non-medical personnel had been internally disciplined, dismissed or prosecuted for breaches of data protection in the three years leading up to the 25th of July 2011. We received at least partial responses from 354 Trusts.

For the purposes of this report we included all responses received up to and including the 10th October 2011.

Freedom of Information request for breaches of the Data Protection Act

I am writing, under the provisions of the Freedom of Information Act, to request the following information:

1. The number of a) medical personnel and b) civilian employees that have been convicted for breaches of the data protection act in the past three years.
2. The number of a) medical personnel and b) civilian employees that have had their employment terminated for breaches of the Data Protection Act in the past three years.
3. The number of a) medical personnel and b) civilian employees that have been disciplined internally but have not been prosecuted for breaches of the data protection act in the past three years.

In each case, I request that you provide a clear, itemised list of the offences committed by the individual in question i.e. "*Abusing privileged access to medical records*" or "*Passing information about a patient to an unauthorised third party*".

I would like the information displayed in the table below. I have taken the opportunity to include a couple of example responses.

Medical / civilian?	Outline of what was accessed/information passed to third party	Action taken criminal/discipline	Conviction
Medical	Passed information about a patient to a third party	Criminal caution	Yes
Civilian	Accessed personal information for personal interest	Suspended from work for two weeks	No

For clarity, our definition of the "past three years" is the period up from 25th July 2008 to 25th July 2011.

About Big Brother Watch

Big Brother Watch was set up to challenge policies that threaten our privacy, our freedoms and our civil liberties, and to expose the true scale of the surveillance state.

Founded in 2009, we have produced unique research exposing the erosion of civil liberties in the UK, looking at the dramatic expansion of surveillance powers, the growth of the database state and the misuse of personal information.

We campaign to give individuals more control over their personal data, and hold to account those who fail to respect our privacy, whether private companies, government departments or local authorities.

Protecting individual privacy and defending civil liberties, Big Brother Watch is a campaign group for the digital age.

Appendix 1: The list by NHS Trust of incidents where NHS employees breached data protection policy

Organisation	Medical / civilian?	Outline of what was accessed/information passed to third party	Action taken criminal/discipline	Conviction
Primary Care Trust				
Ashton, Leigh and Wigan PCT	None	-	-	-
Barking and Dagenham PCT	NO RESPONSE RECEIVED- Outer North East London PCT Cluster			
Barnet PCT- North Central London Cluster	None	-	-	-
Barnsley PCT	Medical	Accessed patient information to carry out informal audit and to make contact with patient's GP	No case to answer- no action taken	No
Bassetlaw PCT	None	-	-	-
Bath and North East Somerset PCT	None	-	-	-
Bedfordshire PCT	NO RESPONSE RECEIVED			
Berkshire East PCT	None	-	-	-
Berkshire West PCT	None	-	-	-
Bexley Care Trust	None	-	-	-
Birmingham East and North PCT	Civilian	Instigating and passing information relating to a colleague to a third party	Dismissed	No
Birmingham East and North PCT	Civilian	Passed information relating to a colleague to a third party	Final written warning issued	No
Birmingham East and North PCT	Civilian	Received information containing personal and confidential information which they were not entitled to receive	Informal counselling	No
Blackburn with Darwen PCT	Refused on grounds of Section 40 (2) exemption- 'personal information'			
Blackpool PCT	None	-	-	-
Bolton PCT	Civilian	Accessed personal information for personal interest	Dismissed	No
Bournemouth and Poole Teaching PCT	None	-	-	-

Bradford and Airedale Teaching PCT	None	-	-	-
Brent Teaching PCT	NO RESPONSE RECEIVED- North West London PCT Cluster			
Brighton and Hove City PCT	None	-	-	-
Bristol PCT	None	-	-	-
Bromley PCT	None	-	-	-
Buckinghamshire PCT	NO RESPONSE RECEIVED			
Bury PCT	None	-	-	-
Calderdale PCT	None	-	-	-
Cambridgeshire PCT	NO RESPONSE RECEIVED			
Camden PCT- North Central London Cluster	None	-	-	-
Central Lancashire	None	-	-	-
City and Hackney Teaching PCT	None	-	-	-
Cornwall and Isles Of Scilly PCT	None	-	-	-
County Durham PCT	Information not held centrally by Trust- FOI request refused on grounds of time and cost			
Coventry Teaching PCT	None	-	-	-
Croydon PCT	None	-	-	-
Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from secured NHS premises- contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from outside secured NHS premises- contained personal identifiable information	Information not held centrally, not reported	No

		about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access		
Cumbria Teaching PCT	Unspecified	Unauthorised disclosure of personal data	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Unauthorised disclosure of personal data	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Unauthorised disclosure of personal data	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Unauthorised disclosure of personal data	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Unauthorised disclosure of personal data	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Unauthorised disclosure of personal data	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Unauthorised disclosure of personal data	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from secured NHS premises- contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from secured NHS premises- contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No

Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from secured NHS premises- contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from secured NHS premises- contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from secured NHS premises- contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from secured NHS premises- contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from secured NHS premises- contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No

		printing or copying and made available to those with no right of access		
Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from secured NHS premises- contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from secured NHS premises- contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from secured NHS premises- contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from secured NHS premises- contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from outside secured NHS premises- contained personal identifiable information	Information not held centrally, not reported	No

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		about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access		
Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from outside secured NHS premises- contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Insecure disposal of inadequately protected electronic equipment, devices of paper documents	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Insecure disposal of inadequately protected electronic equipment, devices of paper documents	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Unauthorised disclosure of personal data	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Unauthorised disclosure of personal data	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Unauthorised disclosure of personal data	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Unauthorised disclosure of personal data	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Unauthorised disclosure of personal data	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Unauthorised disclosure of personal data	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Unauthorised disclosure of personal data	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Unauthorised disclosure of personal data	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Unauthorised disclosure of personal data	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Unauthorised disclosure of personal data	Information not held centrally, not reported	No

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			not reported	
Cumbria Teaching PCT	Unspecified	Unauthorised disclosure of personal data	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Unauthorised disclosure of personal data	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Unauthorised disclosure of personal data	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Unauthorised disclosure of personal data	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Unauthorised disclosure of personal data	Information not held centrally, not reported	No
Cumbria Teaching PCT	Unspecified	Unauthorised disclosure of personal data	Information not held centrally, not reported	No
Darlington PCT	Information not held centrally by Trust- FOI request refused on grounds of time and cost			
Derby City PCT	None	-	-	-
Derbyshire County PCT	None	-	-	-
Devon PCT	Civilian	Inappropriately shared patient information with a third party	Final written warning issued, kept on file for 24 months	
Doncaster PCT	Civilian	Access to relative's appointment information with verbal permission of the relative, but outside normal appointment booking process. No third party disclosure	First written warning issued	No
Doncaster PCT	Civilian	Access to an appointment system for personal use. No third party disclosure	Final written warning issued	No
Dorset NHS	Civilian	Accessed patient information inappropriately	Suspended and subsequently dismissed	No
Dudley PCT	Civilian	Accessed personal information for personal interest	Refused on grounds of Section 40 (2) exemption- 'personal information'	No
Ealing PCT	NO RESPONSE RECEIVED- North West London PCT Cluster			

East and North Hertfordshire PCT	Civilian	Information left unsecured	Final written warning issued	No
East Lancashire Teaching PCT	Civilian	Misplaced case-notes	Dismissed	No
East Riding of Yorkshire PCT	NO RESPONSE RECEIVED			
East Sussex Downs and Weald PCT	Refused on grounds of Section 40 (2) exemption- 'personal information'			
Eastern and Coastal Kent PCT	None	-	-	-
Enfield PCT- North Central London Cluster	None	-	-	-
Gateshead PCT	None	-	-	-
Gloucestershire PCT	Civilian	Breached patient confidentiality by accessing medical records on PAS of the patient without authorisation or reason.	Not specified	No
Gloucestershire PCT	Civilian	Breached patient confidentiality by accessing medical records on PAS of the patient without authorisation or reason.	Not specified	No
Gloucestershire PCT	Medical	Breached confidentiality by discussing details of a pending disciplinary investigation relating to a member of team with persons outside the formal investigation	Not specified	No
Gloucestershire PCT	Medical	Breached confidentiality by openly discussing personal information relating to colleagues with other staff members in an open office environment	Not specified	No
Gloucestershire PCT	Medical	Breached confidentiality by the unauthorised access of a member of staff's medical records using a GP's computerised system	Not specified	No
Gloucestershire PCT	Medical	Shared confidential information with colleagues	Not specified	No
Gloucestershire PCT	Medical	Inappropriately accessed patient notes and shared with a third party	Not specified	No
Great Yarmouth and Waveney PCT	None	-	-	-
Greenwich Teaching PCT	NO RESPONSE RECEIVED- All other South East London PCTs covered			

Halton and St Helens PCT	None	-	-	-
Hammersmith and Fulham PCT	NO RESPONSE RECEIVED- North West London PCT Cluster			
Hampshire PCT	None	-	-	-
Haringey Teaching PCT- North Central London Cluster	None	-	-	-
Harrow PCT	NO RESPONSE RECEIVED- North West London PCT Cluster			
Hartlepool PCT	Information not held centrally by Trust due to mergers- FOI request refused on grounds of time and cost- NHS Tees			
Hastings and Rother PCT	Refused on grounds of Section 40 (2) exemption- 'personal information'			
 Havering PCT	NO RESPONSE RECEIVED- Outer North East London PCT Cluster			
Heart of Birmingham Teaching PCT	None	-	-	-
Herefordshire PCT	Less than 5 unspecified	Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'	No
Heywood, Middleton and Rochdale PCT	Civilian	Accessed personal information for personal interest	Suspended from work for 28 days. Access to systems containing PID removed with immediate effect. Individual redeployed to other tasks	No
Hillingdon PCT	NO RESPONSE RECEIVED- North West London PCT Cluster			
Hounslow PCT	NO RESPONSE RECEIVED- North West London PCT Cluster			
Hull Teaching PCT	Civilian	Accessed patient files of 413 people including friends, colleagues	Resigned/Dismissed, prosecuted and plead guilty to all charges	Yes
Isle Of Wight NHS PCT	None	-	-	-
Islington PCT- North Central London Cluster	None	-	-	-
Kensington and Chelsea PCT	NO RESPONSE RECEIVED- North West London PCT Cluster			
Kingston PCT	None	-	-	-
Kirklees PCT	None	-	-	-

Knowsley PCT	None	-	-	-
Lambeth PCT	None	-	-	-
Leeds PCT	None	-	-	-
Leicester City PCT	None	-	-	-
Leicestershire County and Rutland PCT	None	-	-	-
Lewisham PCT	None	-	-	-
Lincolnshire Teaching PCT	Civilian	Breached Trust Confidentiality and Data Protection Policy	Written warning issued	No
Lincolnshire Teaching PCT	Medical	Passed information about a patient to a third party	Dismissed	No
Lincolnshire Teaching PCT	Medical	Potentially passed patient identifiable information about a patient to a third party	Final written warning issued	No
Lincolnshire Teaching PCT	Medical	Breached Trust Confidentiality and Data Protection Policy and the Code for Standards of Conduct, Performance and Ethics for Nurses and Midwives: NMC with regard to confidentiality	Written warning issued	No
Lincolnshire Teaching PCT	Medical	Passed information about a patient to a third party	Final written warning issued	No
Liverpool PCT	None	-	-	-
Luton PCT	None	-	-	-
Manchester PCT	Unspecified	Passing information about a patient to an unauthorised third party	Final written warning issued	No
Medway PCT	None	-	-	-
Mid Essex PCT	None	-	-	-
Middlesbrough PCT	Information not held centrally by Trust due to mergers- FOI request refused on grounds of time and cost- NHS Tees			
Milton Keynes PCT	Civilian	Passed information about a patient to a third party without authorisation	Local constabulary notified, did not seek prosecution. Employee was dismissed for an act of gross misconduct	No
Newcastle PCT	Civilian	Breach of patient confidentiality	Disciplined internally	No

Newcastle PCT	Civilian	Record keeping and breach of confidentiality	Disciplined internally	No
Newham PCT	None	-	-	-
NHS Cheshire, Warrington and Wirral	None	-	-	-
Norfolk PCT	None	-	-	-
North East Essex PCT	None	-	-	-
North Lancashire Teaching PCT	None	-	-	-
North Lincolnshire PCT	NO RESPONSE RECEIVED			
North Somerset PCT	None	-	-	-
North Staffordshire PCT	None	-	-	-
North Tyneside PCT	<i>See Newcastle PCT- NHS North of Tyne Cluster (also includes Northumberland Care Trust)</i>			
North Yorkshire and York PCT	Civilian	Loss of encrypted memory stick	Verbal warning issued	No
North Yorkshire and York PCT	Civilian	Failure to protect confidential information	First written warning issued	No
North Yorkshire and York PCT	Civilian	Released medical notes to patient without checking ID	First and Final written warning issued	No
North Yorkshire and York PCT	Civilian	Breach of information governance/confidentiality	Written warning issued	No
Northampton Teaching PCT	NO RESPONSE RECEIVED			
Northumberland Care Trust	Civilian	Taking home patient records	Disciplined internally	
Nottingham City PCT	None	-	-	-
Nottinghamshire County Teaching PCT	None	-	-	-
Oldham PCT	Civilian	Inappropriate accessing of personal data-gross misconduct	Dismissed	No
Oxfordshire PCT	Civilian	Took patient information from work base to home	Final written warning issued	No
Peterborough PCT	None	-	-	-

Plymouth Teaching PCT	Civilian	Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'	Information not held centrally
Plymouth Teaching PCT	Medical	Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'	Information not held centrally
Portsmouth City Teaching PCT	None	-	-	-
Redbridge PCT	None	-	-	-
Redcar and Cleveland PCT	None dismissed, other disciplinary action not held centrally and refused on cost grounds			
Richmond and Twickenham PCT	None	-	-	-
Rotherham PCT	None	-	-	-
Salford PCT	None	-	-	-
Sandwell PCT	NO RESPONSE RECEIVED			
Sefton PCT	None	-	-	-
Sheffield PCT	NO RESPONSE RECEIVED			
Shropshire County PCT	None	-	-	-
Somerset PCT	None	-	-	-
South Birmingham PCT	None	-	-	-
South East Essex PCT	None	-	-	-
South Gloucestershire PCT	Less than 5 unspecified	Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'	No
South Staffordshire PCT	None	-	-	-
South Tyneside and Wear PCT	None	-	-	-
South West Essex PCT	None	-	-	-
Southampton City PCT	Civilian	Breach of confidentiality through transport of data	Final written warning issued	Not known

Southampton City PCT	Civilian	Breach of data protection and confidentiality policy/accessing information for personal gain	Final written warning issued	Not known
Southampton City PCT	Medical	Breach of data protection and confidentiality policy/accessing information for personal gain	Dismissal	Not known
Southwark PCT	None	-	-	-
Stockport PCT	None	-	-	-
Stoke On Trent PCT	None	-	-	-
Suffolk PCT	Medical	Potential breach of confidentiality due to client documentation stolen from a car	Sanction applied at Trust disciplinary hearing- Final written warning issued	No
Sunderland PCT	Civilian	Accessed patient record inappropriately and passed to third party without consent	Disciplinary hearing, not dismissed	No
Surrey PCT	Unspecified	Unauthorised removal of information	Final written warning issued	No
Sutton and Merton PCT	None	-	-	-
Swindon PCT	Civilian	Divulged password to enable a non-PCT employee to use internet	Final written warning issued	No
Swindon PCT	Medical	Accessed personal information for personal interest	Dismissal	No
Swindon PCT	Medical	Accessed personal information for personal interest	Dismissal	No
Tameside and Glossop PCT	NO RESPONSE RECEIVED			
Telford and Wrekin PCT	None	-	-	-
Tower Hamlets PCT	None	-	-	-
Trafford PCT	None	-	-	-
Wakefield District PCT	Civilian	Sharing patient details on social networking sites	Final written warning	No
Wakefield District PCT	Civilian	Discussed patient condition with third party	Written warning	No
Wakefield District PCT	Civilian	Removed post from place of work and shared details with a colleague	Written warning	No
Walsall Teaching PCT	None	-	-	-
Waltham Forest PCT	None	-	-	-
Wandsworth PCT	None	-	-	-

Warwickshire PCT	None	-	-	-
West Essex PCT	Civilian	Inadequately addressed envelope, opened by Royal Mail	Informal warning issued and held on file	No
West Essex PCT	Medical	Accessed personal information for personal interest	Action short of dismissal- Final written warning and demotion issued	No
West Hertfordshire PCT	<i>See East and North Hertfordshire- NHS Hertfordshire</i>			
West Kent PCT	None	-	-	-
West Sussex PCT	None	-	-	-
Western Cheshire PCT	None	-	-	-
Westminster PCT	NO RESPONSE RECEIVED- North West London PCT Cluster			
Wiltshire PCT	None	-	-	-
Wolverhampton City PCT	None	-	-	-
Worcestershire PCT	None	-	-	-
TOTAL:	94			1
Acute Trusts				
<i>Northern Ireland</i>				
Belfast Health and Social Care Trust	Civilian	Accessed personal information for personal interest	Suspension and dismissal	No
Belfast Health and Social Care Trust	Civilian	Accessed a relative's medical records	Disciplinary warning	No
Northern Health and Social Care Trust	Civilian	Passed information about clients/colleagues to a third party	Formal Warning following disciplinary hearing	No
Northern Health and Social Care Trust	Civilian	Access private information for personal interest	Investigation carried out- no further action taken	No
Northern Health and Social Care Trust	Civilian	Access private information for personal interest	Investigation carried out- no further action taken	No
Northern Health and Social Care Trust	Civilian	Access private information for personal interest	Investigation carried out- no further action taken	No

South Eastern Health and Social Care Trust	None	-	-	-
Southern Health and Social Care Trust	Medical	Abused privileged access to medical records	Disciplined internally	No
Western Health and Social Care Trust	None	-	-	-
Western Health and Social Care Trust	None	-	-	-
TOTAL:		7		0
Scotland (one Authority covers PCT, Acute etc.)				
NHS Ayrshire and Arran	Civilian	Refused on grounds of Section 40 (2) exemption- 'personal information'	Dismissed	Information not held by Trust
NHS Ayrshire and Arran	Civilian	Refused on grounds of Section 40 (2) exemption- 'personal information'	Dismissed	Information not held by Trust
NHS Ayrshire and Arran	Civilian	Refused on grounds of Section 40 (2) exemption- 'personal information'	Disciplined internally	Information not held by Trust
NHS Ayrshire and Arran	Civilian	Refused on grounds of Section 40 (2) exemption- 'personal information'	Disciplined internally	Information not held by Trust
NHS Ayrshire and Arran	Civilian	Refused on grounds of Section 40 (2) exemption- 'personal information'	Disciplined internally	Information not held by Trust
NHS Ayrshire and Arran	Civilian	Refused on grounds of Section 40 (2) exemption- 'personal information'	Disciplined internally	Information not held by Trust
NHS Ayrshire and Arran	Civilian	Refused on grounds of Section 40 (2) exemption- 'personal information'	Disciplined internally	Information not held by Trust
NHS Ayrshire and Arran	Civilian	Refused on grounds of Section 40 (2) exemption- 'personal information'	Disciplined internally	Information not held by Trust
NHS Borders	Civilian	Passed information about a patient to a third party	Dismissed and reported to Information Commission	No
NHS Dumfries and Galloway	None (for time period)	-	-	-

	recorded)			
NHS Fife	Civilian	Breach of confidentiality	Dismissed	Information not held by Trust
NHS Fife	Civilian	Breach of confidentiality	First and final written warning	Information not held by Trust
NHS Fife	Civilian	Falsifying timesheets	Dismissed	Information not held by Trust
NHS Fife	Civilian	Falsifying timesheets	First and final written warning	Information not held by Trust
NHS Fife	Civilian	Falsifying timesheets	First and final written warning	Information not held by Trust
NHS Fife	Civilian	Falsifying timesheets	First and final written warning	Information not held by Trust
NHS Fife	Civilian	Falsifying timesheets	First and final written warning	Information not held by Trust
NHS Fife	Civilian	Falsifying timesheets	First and final written warning	Information not held by Trust
NHS Fife	Civilian	Falsifying timesheets	First and final written warning	Information not held by Trust
NHS Fife	Civilian	Inappropriate access to staff or patient records	First and final written warning	Information not held by Trust
NHS Fife	Civilian	Inappropriate access to staff or patient records	First and final written warning	Information not held by Trust
NHS Fife	Civilian	Inappropriate access to staff or patient records	First and final written warning	Information not held by Trust
NHS Fife	Civilian	Inappropriate access to staff or patient records	First and final written warning	Information not held by Trust
NHS Fife	Civilian	Inappropriate access to staff or patient records	First and final written warning	Information not held by Trust
NHS Fife	Civilian	Inappropriate access to staff or patient records	First and final written warning	Information not held by Trust
NHS Fife	Civilian	Shredding documentation to cover mistake	First and final written warning	Information not held by

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				Trust
NHS Fife	Medical	Breach of confidentiality on social network site	First and final written warning	Information not held by Trust
NHS Fife	Medical	Breach of confidentiality on social network site	First and final written warning	Information not held by Trust
NHS Fife	Medical	Breach of confidentiality on social network site	First and final written warning	Information not held by Trust
NHS Fife	Medical	Failure to maintain accurate records	Dismissed	Information not held by Trust
NHS Fife	Medical	False entry in patient record	First and final written warning	Information not held by Trust
NHS Fife	Medical	Falsifying documentation	First and final written warning	Information not held by Trust
NHS Fife	Medical	Falsifying records	First and final written warning	Information not held by Trust
NHS Fife	Medical	Inappropriate access to staff or patient records	Dismissed	Information not held by Trust
NHS Fife	Medical	Inappropriate access to staff or patient records	Dismissed	Information not held by Trust
NHS Fife	Medical	Inappropriate access to staff or patient records	Dismissed	Information not held by Trust
NHS Fife	Medical	Inappropriate access to staff or patient records	First and final written warning and dismissed	Information not held by Trust
NHS Fife	Medical	Inappropriate access to staff or patient records	First and final written warning	Information not held by Trust
NHS Fife	Medical	Inappropriate access to staff or patient records	First and final written warning	Information not held by Trust
NHS Fife	Medical	Lost patient record	First and final written warning	Information not held by Trust
NHS Forth Valley	NO RESPONSE RECEIVED			
NHS Grampian	Information not held centrally by Trust- FOI request refused on these grounds			

NHS Greater Glasgow and Clyde	Information not held centrally by Trust- FOI request refused on grounds of time and cost			
NHS Highland	Unspecified	Disclosed confidential information to unauthorised persons	Internal investigation and informal disciplinary response	No
NHS Highland	Unspecified	Disclosed confidential information to unauthorised persons	Internal investigation, formal written warning issued and referral to Professional Regulatory body	No
NHS Highland	Unspecified	Disclosed confidential information to unauthorised persons	Internal investigation, formal written warning issued and referral to Professional Regulatory body	No
NHS Highland	Unspecified	Disclosed confidential information to unauthorised persons	Internal investigation, resigned.	No
NHS Lanarkshire	Civilian	Breach of patient confidentiality	Written Warning	No
NHS Lanarkshire	Civilian	Breach of patient confidentiality	Written Warning	No
NHS Lanarkshire	Civilian	Breach of patient confidentiality	Written Warning	No
NHS Lanarkshire	Civilian	Breach of patient confidentiality	Written Warning	No
NHS Lanarkshire	Civilian	Breach of patient confidentiality	Written Warning	No
NHS Lanarkshire	Civilian	Breach of patient confidentiality	Written Warning	No
NHS Lothian	Civilian	Accessed personal information for personal interest	Suspended	No
NHS Lothian	Civilian	Accessed personal information for personal interest	First and final warning issued	No
NHS Lothian	Civilian	Loss of a pen stick containing personal information	First and final warning issued	No
NHS Lothian	Civilian	Accessed information in relation to family members	First and final warning issued	No
NHS Lothian	Civilian	Misuse of client information	First and final warning issued	No
NHS Lothian	Civilian	Accessed information in relation to family member	First and final warning issued	No
NHS Lothian	Civilian	Accessed information in relation to family member	First and final warning issued	No
NHS Lothian	Civilian	Accessed information in relation to family member	First and final warning issued	No
NHS Lothian	Civilian	Inappropriate access if TRAK Patient Information	First and final warning issued	No
NHS Lothian	Civilian	Inappropriate access of TRAK	First and final warning issued	No

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NHS Lothian	Civilian	Inappropriate access of TRAK	First and final warning issued	No
NHS Lothian	Civilian	Inappropriate access of TRAK	First and final warning issued	No
NHS Lothian	Civilian	Accessed own records	First and final warning issued	No
NHS Lothian	Civilian	Accessed information in relation to family member	First and final warning issued	No
NHS Lothian	Civilian	Accessed personal information for personal interest	Counselled	No
NHS Lothian	Civilian	Accessed personal information for personal interest	Counselled	No
NHS Lothian	Civilian	Accessed personal information for personal interest	Counselled	No
NHS Lothian	Civilian	Accessed personal information for personal interest	Counselled	No
NHS Lothian	Civilian	Accessed personal information for personal interest	Counselled	No
NHS Lothian	Civilian	Accessed personal information for personal interest	Counselled	No
NHS Lothian	Civilian	Accessed own records	First and final warning issued	No
NHS Lothian	Civilian	Accessed own records	Counselled	No
NHS Lothian	Civilian	Accessed own records	Counselled	No
NHS Lothian	Civilian	Accessed own records	Counselled	No
NHS Lothian	Civilian	Accessed own records	Counselled	No
NHS Lothian	Medical	Passed information about a patient to a third party	Criminal caution	Yes
NHS Lothian	Medical	Accessed information in relation to family member	First and final warning issued	No
NHS Lothian	Medical	Accessed information in relation to family member	First and final warning issued	No
NHS Lothian	Medical	Accessed information in relation to family member	First and final warning issued	No
NHS Lothian	Medical	Accessed information in relation to family member	First and final warning issued	No
NHS Lothian	Medical	Accessed information in relation to family member	First and final warning issued	No
NHS Lothian	Medical	Accessed information in relation to family member	First and final warning issued	No
NHS Lothian	Medical	Accessed information in relation to family member	First and final warning issued	No
NHS Lothian	Medical	Accessed information in relation to family member	First and final warning issued	No
NHS Lothian	Medical	Accessed information in relation to family member	First and final warning issued	No
NHS Lothian	Medical	Accessed information in relation to family member	First and final warning issued	No
NHS Lothian	Medical	Accessed personal information for personal interest	First and final warning issued	No

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NHS Lothian	Medical	Accessed information about a patient via TRAK system	First and final warning issued	No
NHS Lothian	Medical	Accessed a colleague's patient information	First and final warning issued	No
NHS Lothian	Medical	Accessed a colleague's patient information	First and final warning issued	No
NHS Lothian	Medical	Accessed own records	Counselled	No
NHS Lothian	Medical	Accessed family records	First and final warning issued	No
NHS Lothian	Medical	Inappropriate access of TRAK	First and final warning issued	No
NHS Lothian	Medical	Accessed husband's medical results with his permission	First and final warning issued	No
NHS Lothian	Medical	Accessed a colleague's patient information	First and final warning issued	No
NHS Lothian	Medical	Accessed information of own child	First and final warning issued	No
NHS Orkney	None	-	-	-
NHS Shetland	None	-	-	-
NHS Tayside	Less than 5 unspecified	Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'	Information not held by Trust
NHS Western Isles	None	-	-	-
TOTAL:	97			1
Wales				
Abertawe Bro Morgannwg University NHS Trust	Civilian	Patient information on Facebook	Verbal warning issued	No
Abertawe Bro Morgannwg University NHS Trust	Civilian	Discussed patient information within vicinity of members of the public	Verbal warning issued	No
Abertawe Bro Morgannwg University NHS Trust	Civilian	Discussed patient information within vicinity of members of the public	Verbal warning issued	No
Abertawe Bro Morgannwg University NHS Trust	Civilian	Discussed patient information with relative of patient	Verbal warning issued	No
Abertawe Bro Morgannwg University NHS Trust	Civilian	Mentioned patient name on Facebook	Verbal warning issued	No
Abertawe Bro Morgannwg University NHS Trust	Medical	Passed information about a patient to third party	None	No

Betsi Cadwaladr University Local Health Board	Civilian	Breach of confidentiality	Dismissed	No
Betsi Cadwaladr University Local Health Board	Civilian	Breach of confidentiality	Dismissed	No
Betsi Cadwaladr University Local Health Board	Civilian	Breach of patient confidentiality	Resigned, hearing held in absence- would have been dismissed	No
Betsi Cadwaladr University Local Health Board	Civilian	Breach of patient confidentiality	Verbal warning issued	No
Betsi Cadwaladr University Local Health Board	Civilian	Breach of patient confidentiality	Investigation ongoing	N/A
Betsi Cadwaladr University Local Health Board	Civilian	Breach of patient confidentiality	Investigation ongoing	N/A
Betsi Cadwaladr University Local Health Board	Civilian	Breach of patient confidentiality	Investigation ongoing	N/A
Betsi Cadwaladr University Local Health Board	Civilian	Breach of patient confidentiality	Investigation ongoing	N/A
Betsi Cadwaladr University Local Health Board	Medical	Breach of confidentiality	Dismissed	No
Betsi Cadwaladr University Local Health Board	Medical	Breach of patient confidentiality	Formal Counselling	No
Betsi Cadwaladr University Local Health Board	Medical	Breach of patient confidentiality	Formal Counselling	No
Betsi Cadwaladr University Local Health Board	Medical	Breach of patient confidentiality	Formal Counselling	No
Betsi Cadwaladr University Local Health Board	Medical	Breach of patient confidentiality	Final written warning issued- held on file for 2 years	No
Betsi Cadwaladr University Local Health Board	Medical	Breach of patient confidentiality	Final written warning issued- held on file for 2 years	No
Betsi Cadwaladr University Local Health Board	Medical	Breach of patient confidentiality	Investigation ongoing	N/A
Betsi Cadwaladr University Local Health Board	Medical	Breach of patient confidentiality	Investigation ongoing	N/A

Betsi Cadwaladr University Local Health Board	Medical	Breach of patient confidentiality	Investigation ongoing	N/A
Betsi Cadwaladr University Local Health Board	Medical	Breach of patient confidentiality	Investigation ongoing	N/A
Betsi Cadwaladr University Local Health Board	Medical	Breach of patient confidentiality	Investigation ongoing	N/A
Cardiff and Vale NHS Trust	21 unspecified	Variations of inappropriate access/use of patient record systems and inappropriate disclosure of patient details	Information not held centrally- refused on grounds of time and cost	No
Cwm Taf NHS Trust	NO RESPONSE RECEIVED			
Gwent Healthcare NHS Trust (Bevan)	Civilian	Disclosing patient information to third party	Disciplined internally	No
Gwent Healthcare NHS Trust (Bevan)	Civilian	Concealed confidential personal information	Disciplined internally	No
Gwent Healthcare NHS Trust (Bevan)	Civilian	Accessed relative's information	Disciplined internally	No
Gwent Healthcare NHS Trust (Bevan)	Civilian	Interference of disciplinary process	Disciplined internally	No
Gwent Healthcare NHS Trust (Bevan)	Medical	Refused on grounds of Section 40 (2) exemption- 'personal information'	Disciplined and dismissed	No
Hywel Dda NHS Trust	Civilian	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	No
Hywel Dda NHS Trust	Civilian	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	No
Hywel Dda NHS Trust	Civilian	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	No

Hywel Dda NHS Trust	Civilian	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	No
Hywel Dda NHS Trust	Civilian	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	No
Hywel Dda NHS Trust	Civilian	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	No
Hywel Dda NHS Trust	Civilian	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	No
Hywel Dda NHS Trust	Civilian	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	No
Hywel Dda NHS Trust	Civilian	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	No
Hywel Dda NHS Trust	Civilian	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	No
Hywel Dda NHS Trust	Civilian	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	No

Powys Teaching Local Health Board	None	-	-	-
Velindre NHS Trust	Civilian	Breach of Data security	Written warning issued	No
TOTAL:	63			0
England				
Aintree University Hospitals NHS Foundation Trust	NO RESPONSE RECEIVED			
Airedale NHS Trust	NO RESPONSE RECEIVED			
Alder Hey Children's NHS Foundation Trust	Civilian	Breach of trust policy	Formal warning issued	No
Alder Hey Children's NHS Foundation Trust	Civilian	Breach of trust policy	Formal warning issued	No
Alder Hey Children's NHS Foundation Trust	Civilian	Breach of trust policy	Formal warning issued	No
Alder Hey Children's NHS Foundation Trust	Civilian	Breach of trust policy	Formal warning issued	No
Alder Hey Children's NHS Foundation Trust	Civilian	Breach of trust policy	Formal warning issued	No
Alder Hey Children's NHS Foundation Trust	Civilian	Breach of trust policy	Formal warning issued	No
Alder Hey Children's NHS Foundation Trust	Medical	Breach of trust policy	Formal warning issued	No
Ashford and St Peter's Hospitals NHS Trust	None	-	-	-
Barking, Havering and Redbridge University Hospitals NHS Trust	None	-	-	-
Barnet and Chase Farm Hospitals NHS Trust	Civilian	Unspecified	Informal warning issued	No
Barnet and Chase Farm Hospitals NHS Trust	Civilian	Unspecified	Informal warning issued	No

Barnsley Hospital NHS Foundation Trust	Less than 5 unspecified- Refused on grounds of Data Protection Principles	Variations of inappropriately accessing personal data for personal interest and left personal data unsecured	Suspension and written warnings issued	No
Barts and The London NHS Trust	NO RESPONSE RECEIVED			
Basildon and Thurrock University Hospitals NHS Foundation Trust	Civilian	Unspecified	Verbal warning	No
Basildon and Thurrock University Hospitals NHS Foundation Trust	Civilian	Unspecified	Verbal warning	No
Basingstoke and North Hampshire NHS Foundation Trust	Civilian	Passed on patient information to a third party	First written warning issued	No
Basingstoke and North Hampshire NHS Foundation Trust	Civilian	Accessed personal information for personal interest	None	No
Basingstoke and North Hampshire NHS Foundation Trust	Civilian	Passed on patient information to a third party	First written warning issued	No
Basingstoke and North Hampshire NHS Foundation Trust	Civilian	Passed on patient information to a third party	None	No
Basingstoke and North Hampshire NHS Foundation Trust	Civilian	Passed on patient information to a third party	None	No
Basingstoke and North Hampshire NHS Foundation Trust	Civilian	Passed on patient information to a third party	Final written warning issued	No

Basingstoke and North Hampshire NHS Foundation Trust	Civilian	Passed on patient information to a third party	None	No
Basingstoke and North Hampshire NHS Foundation Trust	Civilian	Passed on patient information to a third party	None	No
Basingstoke and North Hampshire NHS Foundation Trust	Civilian	Passed on patient information to a third party	Ongoing	No
Bedford Hospital NHS Trust	Civilian	Unauthorised access to a patient's records	Dismissed	No
Bedford Hospital NHS Trust	Information not held centrally			
Berkshire Healthcare NHS Foundation Trust	None	-	-	-
Birmingham Children's Hospital NHS Foundation Trust	Medical	Inappropriate disposal of confidential information	Discipline and Dismissed	No
Birmingham Women's NHS Foundation Trust	Civilian	Improperly accessed staff records	Disciplinary investigation resulting in dismissal (other matters taken into consideration as well)	No
Blackpool, Fylde and Wyre Hospitals NHS Foundation Trust	Civilian	Unauthorised access to information	Dismissed	No
Blackpool, Fylde and Wyre Hospitals NHS Foundation Trust	Civilian	Unauthorised access to information	Dismissed	No
Blackpool, Fylde and Wyre Hospitals NHS Foundation Trust	Civilian	Breach of patient confidentiality	Disciplined internally	No
Blackpool, Fylde and Wyre Hospitals NHS Foundation Trust	Medical	Breach of confidentiality	Disciplined internally	No
Blackpool, Fylde and Wyre	Medical	Breach of confidentiality	Disciplined internally	No

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Hospitals NHS Foundation Trust				
Blackpool, Fylde and Wyre Hospitals NHS Foundation Trust	Medical	Breach of confidentiality	Disciplined internally	No
Blackpool, Fylde and Wyre Hospitals NHS Foundation Trust	Medical	Breach of confidentiality	Disciplined internally	No
Blackpool, Fylde and Wyre Hospitals NHS Foundation Trust	Medical	Breach of patient confidentiality	Disciplined internally	No
Blackpool, Fylde and Wyre Hospitals NHS Foundation Trust	Medical	Breach of patient confidentiality	Disciplined internally	No
Bradford Teaching Hospitals NHS Foundation Trust	None	-	-	
Brighton and Sussex University Hospitals NHS Trust	NO RESPONSE RECEIVED			
Bromley Hospitals NHS Trust	None	-	-	-
Buckinghamshire Hospitals NHS Trust	None	-	-	-
Burton Hospitals NHS Foundation Trust	Less than 5 Civilian	Refused on grounds of 'personal information' which, if individual were identified, may cause damage or distress to the staff member involved	Refused on grounds of 'personal information' which, if individual were identified, may cause damage or distress to the staff member involved	No
Calderdale and Huddersfield NHS Foundation Trust	NO RESPONSE RECEIVED			
Cambridge University Hospitals NHS Foundation Trust	Civilian	Loss of Patient identifiable data	Disciplinary action	No
Cambridge University	Civilian	Loss of Patient identifiable data	Disciplinary action	No

Hospitals NHS Foundation Trust				
Cambridge University Hospitals NHS Foundation Trust	Civilian	Inappropriate disclosure of patient identifiable data	Disciplinary action	No
Cambridge University Hospitals NHS Foundation Trust	Civilian	Inappropriate access of patient records	Disciplinary action	No
Cambridge University Hospitals NHS Foundation Trust	Medical	Loss of unencrypted memory stick	Disciplinary action	No
Cambridge University Hospitals NHS Foundation Trust	Medical	Loss of unencrypted memory stick	Disciplinary action	No
Cambridge University Hospitals NHS Foundation Trust	Medical	Loss of unencrypted memory stick	Disciplinary action	No
Cambridge University Hospitals NHS Foundation Trust	Medical	Loss of unencrypted memory stick	Disciplinary action	No
Cambridge University Hospitals NHS Foundation Trust	Medical	Loss of unencrypted memory stick	Disciplinary action	No
Central Manchester University Hospital Foundation Trust	Civilian	Falsified medical records/Compromised patient care	Investigation on-going	No
Central Manchester University Hospital Foundation Trust	Civilian	Accessing Medisec for non-work-related purposes	Final written warning	No
Central Manchester University Hospital Foundation Trust	Civilian	Accessing Medisec for non-work-related purposes	Final written warning	No
Central Manchester	Civilian	Breach of patient confidentiality due to involvement in	Final written warning	No

University Hospital Foundation Trust		letters received by royal mail containing patient data		
Central Manchester University Hospital Foundation Trust	Civilian	Unauthorised access of patient information	First written warning	No
Chelsea and Westminster Hospitals NHS Foundation Trust	Civilian	Accessed personal information for personal interest	Dismissed	No
Chesterfield Royal Hospital NHS Foundation Trust	None	-	-	-
City Hospitals Sunderland NHS Foundation Trust	Unspecified	Breach of patient confidentiality	Written warning issued	No
City Hospitals Sunderland NHS Foundation Trust	Unspecified	Breach of patient confidentiality	Written warning issued	No
City Hospitals Sunderland NHS Foundation Trust	Unspecified	Breach of patient confidentiality- Inappropriate access to HISS	Dismissed	No
City Hospitals Sunderland NHS Foundation Trust	Unspecified	Inappropriate access to HISS	Disciplinary hearing ongoing	No
City Hospitals Sunderland NHS Foundation Trust	Unspecified	Breach of patient confidentiality	Verbal warning issued	No
City Hospitals Sunderland NHS Foundation Trust	Unspecified	Breach of IT Policy- Misuse of HISS	Dismissed	No
Clatterbridge Centre For Oncology NHS Foundation Trust	None	-	-	-
Colchester Hospital University NHS Foundation Trust	Information not held centrally by Trust- FOI request refused on grounds of time and cost			
Countess Of Chester Hospital NHS Foundation Trust	Civilian	Accessed patient records for personal interest	Single stage final warning	No
Countess Of Chester Hospital NHS Foundation Trust	Medical	Accessed patient records for personal interest	Dismissed-Reduced to single stage final warning at Board level appeal and employee reinstated	No

			Oct 2007	
County Durham and Darlington NHS Foundation Trust	Civilian	Breach of patient confidentiality	Written warning issued	No
County Durham and Darlington NHS Foundation Trust	Civilian	Breach of patient confidentiality	Dismissed	No
County Durham and Darlington NHS Foundation Trust	Civilian	Breach of patient confidentiality	Removed from flexi bank	No
County Durham and Darlington NHS Foundation Trust	Civilian	Breach of patient confidentiality	Written warning issued	No
County Durham and Darlington NHS Foundation Trust	Civilian	Breach of patient confidentiality	Final written warning issued	No
County Durham and Darlington NHS Foundation Trust	Civilian	Breach of patient confidentiality	No case to answer	No
County Durham and Darlington NHS Foundation Trust	Civilian	Breach of patient confidentiality	Formal discussion	No
County Durham and Darlington NHS Foundation Trust	Civilian	Breach of patient confidentiality	No case to answer	No
County Durham and Darlington NHS Foundation Trust	Civilian	Breach of patient confidentiality	Formal discussion	No
County Durham and Darlington NHS Foundation Trust	Civilian	Breach of patient confidentiality	Formal discussion	No
Dartford and Gravesham NHS Trust	Civilian	Abusing privileged access to medical records for personal interest	Formal written warning issued	No

Dartford and Gravesham NHS Trust	Civilian	Abusing privileged access to medical records without permission to do so	Verbal warning issued	No
Derby Hospitals NHS Foundation Trust	None	-	-	-
Doncaster and Bassetlaw Hospitals NHS Foundation Trust	Unspecified	Use of patient information other than in the course of the job	Verbal warning issued	
Dorset County Hospital NHS Foundation Trust	NO RESPONSE RECEIVED			
Ealing Hospital NHS Trust	None			Information not held by Trust
East and North Hertfordshire NHS Trust	None	-	-	-
East Cheshire NHS Trust	Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'	No
East Kent Hospitals University NHS Trust	Civilian	Obtaining and using personal information about a work colleague inappropriately	Dismissed	No
East Kent Hospitals University NHS Trust	Civilian	Obtaining medical records of a family member	Informal action taken	No
East Kent Hospitals University NHS Trust	Civilian	Accessing patient records inappropriately	Final written warning issued	No
East Kent Hospitals University NHS Trust	Medical	Accessing personal information about the medical condition of a work colleague	Final written warning issued	No
East Kent Hospitals University NHS Trust	Medical	Accessing personal information about the medical condition of a work colleague	Final written warning issued	No
East Kent Hospitals University NHS Trust	Medical	Accessing personal information about the medical condition of a work colleague	Final written warning issued	No
East Kent Hospitals University NHS Trust	Medical	Accessing personal information about the medical condition of a work colleague	Final written warning issued	No

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East Kent Hospitals University NHS Trust	Medical	Breach of confidentiality during a mobile phone conversation in a public area	Final written warning issued	No
East Kent Hospitals University NHS Trust	Medical	Obtaining medical records of a family member	Informal action taken	No
East Kent Hospitals University NHS Trust	Medical	Breach of confidentiality in relation to patient records	First written warning issued	No
East Lancashire Hospitals NHS Trust	None	-	-	-
East Sussex Hospitals NHS Trust	None	-	-	-
Epsom and St Helier University Hospitals NHS Trust	None	-	-	-
Frimley Park Hospital NHS Foundation Trust	Unspecified	Breach of patient confidentiality to a third party through incorrect delivery of patient documentation	Not released	No
Frimley Park Hospital NHS Foundation Trust	Unspecified	Breach of patient confidentiality to a third party through incorrect delivery of patient documentation	Not released	No
Frimley Park Hospital NHS Foundation Trust	Unspecified	Breach of patient confidentiality to a third party	Not released	No
Gateshead Health NHS Foundation Trust	None	-	-	-
George Eliot Hospitals NHS Trust	None	-	-	-
Gloucestershire Hospitals NHS Foundation Trust	Civilian	Accessing electronic patient notes without reason	Dismissed	No
Great Ormond Street Hospital For Children NHS Trust	Civilian	Breach of patient confidentiality	Dismissed	No
Great Ormond Street Hospital For Children NHS Trust	Civilian	Lost confidential information	Written warning following internal disciplinary hearing	No
Great Ormond Street Hospital For Children NHS Trust	Civilian	Sending unencrypted emails (along with other allegations not DPA related)	Dismissed	No
Great Western Hospitals NHS Foundation Trust	Civilian	Inappropriately accessed personal medical records inappropriately for personal interest	Dismissed	No

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Great Western Hospitals NHS Foundation Trust	Civilian	Inappropriately accessed personal medical records inappropriately for personal interest	Dismissed	No
Great Western Hospitals NHS Foundation Trust	Civilian	Inappropriately accessed personal medical records inappropriately for personal interest	Dismissed	No
Great Western Hospitals NHS Foundation Trust	Civilian	Inappropriately accessed personal medical records inappropriately for personal interest	Dismissed	No
Great Western Hospitals NHS Foundation Trust	Civilian	Inappropriately accessed personal medical records inappropriately for personal interest	Dismissed	No
Great Western Hospitals NHS Foundation Trust	Civilian	Inappropriately accessed personal medical records inappropriately for personal interest	Dismissed	No
Great Western Hospitals NHS Foundation Trust	Civilian	Inappropriately accessed personal medical records inappropriately for personal interest	Dismissed	No
Great Western Hospitals NHS Foundation Trust	Civilian	Inappropriately accessed personal medical records inappropriately for personal interest	Disciplined internally	No
Great Western Hospitals NHS Foundation Trust	Civilian	Inappropriately accessed personal medical records inappropriately for personal interest	Disciplined internally	No
Great Western Hospitals NHS Foundation Trust	Civilian	Inappropriately accessed personal medical records inappropriately for personal interest	Disciplined internally	No
Great Western Hospitals NHS Foundation Trust	Civilian	Inappropriately accessed personal medical records inappropriately for personal interest	Disciplined internally	No
Great Western Hospitals NHS Foundation Trust	Civilian	Inappropriately accessed personal medical records inappropriately for personal interest	Disciplined internally	No
Great Western Hospitals NHS Foundation Trust	Civilian	Not specified	Disciplined internally	No
Great Western Hospitals NHS Foundation Trust	Civilian	Not specified	Disciplined internally	No
Great Western Hospitals NHS Foundation Trust	Civilian	Not specified	Disciplined internally	No
Great Western Hospitals NHS Foundation Trust	Medical	Inappropriately accessed personal medical records inappropriately for personal interest	Dismissed	No
Guy's and St Thomas' NHS Foundation Trust	None	-	-	-

Harrogate and District NHS Foundation Trust	Civilian	Information inappropriately shared with a third party	Internal disciplinary sanction	No
Harrogate and District NHS Foundation Trust	Civilian	Information inappropriately shared with a third party	Internal disciplinary sanction	No
Harrogate and District NHS Foundation Trust	Civilian	Information inappropriately shared with a third party	Internal disciplinary sanction	No
Harrogate and District NHS Foundation Trust	Civilian	Information inappropriately shared with a third party	Internal disciplinary sanction	No
Heart Of England NHS Foundation Trust	Civilian	Accessed personal information for personal interest	Suspended during investigation, second formal warning issued	No
Heart Of England NHS Foundation Trust	Civilian	Accessed personal information for personal interest	Suspended during investigation, Dismissed	No
Heart Of England NHS Foundation Trust	Civilian	Accessed personal information for personal interest	Suspended during investigation, lack of evidence to warrant disciplinary hearing, suspension lifted	No
Heart Of England NHS Foundation Trust	Civilian	Accessed personal information for personal interest	Verbal warning issued	No
Heart Of England NHS Foundation Trust	Civilian	Accessed personal information for personal interest	Evidence to support part of job role, no further action taken	No
Heart Of England NHS Foundation Trust	Civilian	Accessed personal information for personal interest	Lack of evidence to support allegations, no further action taken	No
Heart Of England NHS Foundation Trust	Civilian	Accessed personal information for personal interest	First written warning issued	No
Heart Of England NHS Foundation Trust	Civilian	Allegation of passing information about a patient to a third party	Lack of evidence to support allegations or isolate individual, no further action taken	No
Heart Of England NHS Foundation Trust	Civilian	Accessed personal information for personal interest	Suspended and subsequently dismissed	No
Heart Of England NHS Foundation Trust	Civilian	Accessed personal information for personal interest	Suspended, lack of strong evidence, case dismissed and	No

			staff member allowed to return to work	
Heart Of England NHS Foundation Trust	Civilian	Accessed personal information for personal interest	Suspended and subsequently dismissed	No
Heart Of England NHS Foundation Trust	Civilian	Accessed personal information for personal interest	Suspended, No disciplinary action taken due to exceptional circumstances, but first formal warning and counselling issued	No
Heart Of England NHS Foundation Trust	Civilian	Accessed personal information for personal interest	Suspended, final written warning issued	No
Heart Of England NHS Foundation Trust	Civilian	Accessed personal information for personal interest	First formal warning issued	No
Heatherwood and Wexham Park Hospitals NHS Foundation Trust	None	-	-	-
Hereford Hospital NHS Trust	None	-	-	-
Hinchingbrooke Health Care NHS Trust	Civilian	Inappropriate posting on social networking site	Informal Counselling	No
Hinchingbrooke Health Care NHS Trust	Civilian	Passed inappropriate information about a member of staff to a colleague	Dismissed	No
Hinchingbrooke Health Care NHS Trust	Civilian	Inappropriate posting on social networking site	Final written warning issued	No
Hinchingbrooke Health Care NHS Trust	Civilian	Passed information about a patient to a third party	Dismissed	No
Hinchingbrooke Health Care NHS Trust	Civilian	Breach of information security policy	Dismissed	No
Homerton University Hospital NHS Foundation Trust	None	-	-	-
Hull and East Yorkshire Hospitals NHS Trust	Civilian	Accessed a patient record without authorisation on more than one occasion and disclosed information to the patient	Written warning issued	No
Hull and East Yorkshire	Civilian	Repeated inappropriate access to patient records not	Final written warning issued	No

Hospitals NHS Trust		related to legitimate business reasons		
Hull and East Yorkshire Hospitals NHS Trust	Civilian	Access own patient records	Verbal warning issued	No
Hull and East Yorkshire Hospitals NHS Trust	Civilian	Unauthorised accessing of patient records without work reason to do so	Final written warning issued	No
Hull and East Yorkshire Hospitals NHS Trust	Civilian	Accessed patient information that had no legitimate need to access, information disclosed without authority	Written warning issued	No
Hull and East Yorkshire Hospitals NHS Trust	Civilian	Accessed son's medical records and provided to a third party without prior permission	Written warning issued	No
Hull and East Yorkshire Hospitals NHS Trust	Civilian	Inappropriately accessed a patient's records and disclosed information to a third party internal to the Trust	Transferred to post on a lower band	No
Hull and East Yorkshire Hospitals NHS Trust	Medical	Lost unencrypted patient data	Written warning issued	No
Hull and East Yorkshire Hospitals NHS Trust	Medical	Accessed patient information inappropriately on more than one occasion	Final written warning issued	No
Hull and East Yorkshire Hospitals NHS Trust	Medical	Lost unencrypted patient data	Final written warning issued	No
Imperial College Healthcare NHS Trust	None	-	-	-
Ipswich Hospital NHS Trust	None	-	-	-
Isle of Wight NHS PCT	None	-	-	-
James Paget University Hospital NHS Foundation Trust	Civilian	Passed information about a patient to a third party	Dismissed	No
James Paget University Hospital NHS Foundation Trust	Civilian	Accessed personal information for personal interest	Dismissed	No
James Paget University Hospital NHS Foundation Trust	Civilian	Passed information about a patient to a third party	Disciplined internally	No
James Paget University	Civilian	Passed information about a colleague to a patient	Disciplined internally	No

Hospital NHS Foundation Trust				
James Paget University Hospital NHS Foundation Trust	Civilian	Discussed information about a patient in public	Disciplined internally	No
James Paget University Hospital NHS Foundation Trust	Civilian	Discussed information about a colleague with other colleagues	Disciplined internally	No
James Paget University Hospital NHS Foundation Trust	Civilian	Accessed clinic system for information outside own area	Disciplined internally	No
James Paget University Hospital NHS Foundation Trust	Civilian	Accessed clinic system for information outside own area	Disciplined internally	No
James Paget University Hospital NHS Foundation Trust	Civilian	Sent out wrong information to the wrong patient	Disciplined internally	No
James Paget University Hospital NHS Foundation Trust	Civilian	Notified a third party of patient details	Disciplined internally	No
Kettering General Hospital NHS Foundation Trust	Unspecified	Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'	No
Kettering General Hospital NHS Foundation Trust	Unspecified	Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'	No
Kettering General Hospital NHS Foundation Trust	Unspecified	Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'	No
King's College Hospital NHS Foundation Trust	Civilian	Disclosed confidential information to a third party	Disciplined internally- details refused on grounds of Section 40 (2) exemption- 'personal information'	No

King's College Hospital NHS Foundation Trust	Civilian	Accidentally lost confidential information	Disciplined internally- details refused on grounds of Section 40 (2) exemption- 'personal information'	No
King's College Hospital NHS Foundation Trust	Civilian	Disclosed confidential patient information to another patient	Disciplined internally- details refused on grounds of Section 40 (2) exemption- 'personal information'	No
King's College Hospital NHS Foundation Trust	Civilian	Accessed patient's notes without a valid clinical reason for doing so	Disciplined internally- details refused on grounds of Section 40 (2) exemption- 'personal information'	No
King's College Hospital NHS Foundation Trust	Civilian	Disclosed confidential information to a third party	Disciplined internally- details refused on grounds of Section 40 (2) exemption- 'personal information'	No
King's College Hospital NHS Foundation Trust	Civilian	Accessed patient's notes without a valid clinical reason for doing so	Disciplined internally- details refused on grounds of Section 40 (2) exemption- 'personal information'	No
King's College Hospital NHS Foundation Trust	Civilian	Unspecified	Disciplined internally- details refused on grounds of Section 40 (2) exemption- 'personal information'	No
King's College Hospital NHS Foundation Trust	Medical	Removed confidential patient information from the Trust	Disciplined internally- details refused on grounds of Section 40 (2) exemption- 'personal information'	No
King's College Hospital NHS Foundation Trust	Medical	Removed confidential patient information from the Trust	Disciplined internally- details refused on grounds of Section 40 (2) exemption- 'personal information'	No

King's College Hospital NHS Foundation Trust	Medical	Accessed patient's notes without a valid clinical reason for doing so	Disciplined internally- details refused on grounds of Section 40 (2) exemption- 'personal information'	No
Kingston Hospital Trust	NO RESPONSE RECEIVED			
Lancashire Teaching Hospital NHS Foundation Trust	Civilian	Inappropriate access to colleagues' records	Disciplined internally	No
Lancashire Teaching Hospital NHS Foundation Trust	Civilian	Inappropriate access to colleagues' records	Disciplined internally	No
Lancashire Teaching Hospital NHS Foundation Trust	Civilian	Inappropriate access to colleagues' records	Disciplined internally	No
Lancashire Teaching Hospital NHS Foundation Trust	Civilian	Inappropriate access to colleagues' records	Disciplined internally	No
Lancashire Teaching Hospital NHS Foundation Trust	Civilian	Inappropriate access to colleagues' records	Disciplined internally	No
Lancashire Teaching Hospital NHS Foundation Trust	Civilian	Requested another staff member's access information	Disciplined internally	No
Lancashire Teaching Hospital NHS Foundation Trust	Civilian	Accessing information on behalf of another staff member	Disciplined internally	No
Lancashire Teaching Hospital NHS Foundation Trust	Civilian	Unauthorised access to patient records	Disciplined internally	No
Leeds Teaching Hospitals NHS Trust	NO RESPONSE RECEIVED			
Liverpool Heart and Chest Hospital NHS Trust	None	-	-	-
Liverpool Women's NHS Foundation Trust	None	-	-	-
Luton and Dunstable Hospital NHS Foundation Trust	None	-	-	-
Maidstone and Tunbridge Wells NHS Trust	NO RESPONSE RECEIVED			
Mayday Healthcare NHS Trust	NO RESPONSE RECEIVED			

Medway NHS Foundation Trust	Medical	Misplacing patient record	Dismissed	No
Medway NHS Foundation Trust	Medical	Unauthorised disclosure of patient record	Final written warning issued	No
Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Breach of Confidentiality- within staff (verbal)	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Breach of Confidentiality- within the hospital (verbal)	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Breach of Confidentiality- Outside the hospital (verbal)	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Patient information inaccurate/illegible/misfiled (written or electronic)	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Patient information lost or missing sections (written or electronic)	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Unauthorised disclosure or use of patient information (written, verbal or electronic)	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held	No

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			specifically	
Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Patient information left in unsecure area of Trust	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Patient information found outside the Trust	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Misfiled/Inaccurate/Illegible staff or corporate information (written or electronic)	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Lost/missing staff or corporate information (written or electronic)	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Theft of information (written or electronic)	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Information incident- Suspicious request for information (written, verbal or electronic)	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
Mid Cheshire Hospitals NHS	Unspecified	Information incident- Breach in Safe Haven Policy/Other	Refused on grounds that Trust	No

Foundation Trust		IG Policy	records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	
Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Information incident- Caused by external provider/other party	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Password incident- Unauthorised disclosure	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Email incident- Incorrect Recipient/Unauthorised Use	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Removable media incident- Unauthorised Use	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
Mid Essex Hospital Services NHS Trust	Unspecified	Stolen laptop containing unencrypted data including names, dates of birth, age, hospital number, NHS number, GP fax number, diagnosis, test results and operation history affecting 1876 patients	Patients informed. Disciplined internally-formal investigation took place but no further action deemed necessary. Disciplinary action refused on grounds of Section 40 (2)	No
Mid Staffordshire NHS Foundation Trust	None	-	-	-

Mid Yorkshire Hospitals NHS Trust	NO RESPONSE RECEIVED			
Milton Keynes Hospital NHS Foundation Trust	Unspecified, not a doctor	Abusing privileged access to medical records	Not released	No
Moorfields Eye Hospital NHS Foundation Trust	None	-	-	-
Newham University Hospital NHS Trust	Civilian	Took patient file home. Patient was the partner of the member of staff, contents of the file read	Dismissed	No
Newham University Hospital NHS Trust	Civilian	Downloaded inappropriate photos then superimposed photos of other members of staff onto the downloaded photos	Dismissed	No
Newham University Hospital NHS Trust	Civilian	Allegations of using a false reference knowingly	Internally disciplined	No
Norfolk and Norwich University Hospitals NHS Foundation Trust	None	-	-	-
North Bristol NHS Trust	None	-	-	-
North Cumbria University Hospitals NHS Trust	Civilian	Inappropriately accessed patient data	Final written warning issued	No
North Cumbria University Hospitals NHS Trust	Unspecified	Inappropriately accessed patient data	Resigned before hearing, hearing continued and individual would have received a final written warning	No
North Cumbria University Hospitals NHS Trust	Unspecified	Inappropriately used Trust data system	Received counselling	No
North Middlesex University Hospital Trust	Civilian	Passed personal information about a member of staff to another colleague	Formal written warning issued	No
North Middlesex University Hospital Trust	Civilian	Passed personal information about a member of staff to an external organisation	Dismissed	No
North Tees and Hartlepool NHS Foundation Trust	Civilian	Accessed PAS System inappropriately for personal interest	Final written warning issued and suspension	No
North Tees and Hartlepool	Civilian	Disclosed confidential information (second offence by	Dismissed	No

NHS Foundation Trust		individual listed above)		
North Tees and Hartlepool NHS Foundation Trust	Civilian	Failure to safeguard personal information in accordance with Trust Policy and Data Protection requirements	Final written warning issued, downgraded and transferred to an alternative department	No
North Tees and Hartlepool NHS Foundation Trust	Civilian	Disclosed confidential information about members of staff to unauthorised third party	Dealt with under Personal Responsibility Framework	No
North West London Hospitals NHS Trust	Medical	Document containing personal information left in a public place	Final written warning	No
Northampton General Hospital NHS Trust	NO RESPONSE RECEIVED			
Northern Devon Healthcare NHS Trust	None	-	-	-
Northern Lincolnshire and Goole Hospital NHS Foundation Trust	Civilian	Staff member accessed a colleague's lab results	Disciplined internally	No
Northumbria Healthcare NHS Foundation Trust	Civilian	Patient information accessed, limited information passed to third party	Dismissed	No
Northumbria Healthcare NHS Foundation Trust	Civilian	Patient information accessed inappropriately	Dismissed	No
Northumbria Healthcare NHS Foundation Trust	Civilian	Patient information accessed inappropriately	Final written warning issued	No
Northumbria Healthcare NHS Foundation Trust	Civilian	Patient information accessed inappropriately	First written warning issued	No
Northumbria Healthcare NHS Foundation Trust	Civilian	Patient information accessed inappropriately	First written warning issued	No
Northumbria Healthcare NHS Foundation Trust	Civilian	Patient information accessed inappropriately	First written warning issued	No
Nottingham University Hospital NHS Trust	Civilian	Left visitors unaccompanied where could see confidential info	No Case to answer	No
Nottingham University Hospital NHS Trust	Civilian	Discussing sick case	Informal Action	No
Nottingham University Hospital NHS Trust	Civilian	Breach of data protection	Dismissed	No

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Nottingham University Hospital NHS Trust	Civilian	Sent patient info to the wrong email group	Informal Action	No
Nottingham University Hospital NHS Trust	Civilian	Accessing patient records inappropriately	Informal Action	No
Nottingham University Hospital NHS Trust	Civilian	Discussed investigation with colleagues	Dismissed	No
Nottingham University Hospital NHS Trust	Civilian	Discussing personal details of employees on Medilink	No Case to answer	No
Nottingham University Hospital NHS Trust	Civilian	Inappropriate behaviours towards a patient and breach in confidentiality	No Case to answer	No
Nottingham University Hospital NHS Trust	Civilian	Accessed patient files for own purpose	Dismissed	No
Nottingham University Hospital NHS Trust	Civilian	Breached patient confidentiality	No Case to answer	No
Nottingham University Hospital NHS Trust	Civilian	accessing patient information	Dismissed	No
Nottingham University Hospital NHS Trust	Civilian	Accessing colleagues medical records	Dismissed	No
Nottingham University Hospital NHS Trust	Civilian	Breaching confidentiality of a member of staff who was also a patient	Final written warning issued	No
Nottingham University Hospital NHS Trust	Civilian	Breach of Patient confidentiality and conduct	No Case to answer	No
Nottingham University Hospital NHS Trust	Civilian	Accessing records inappropriately	Final written warning issued	No
Nottingham University Hospital NHS Trust	Civilian	Accessing patient records inappropriately	Verbal Warning	No
Nottingham University Hospital NHS Trust	Civilian	Breached patient confidentiality	Dismissed	No
Nottingham University Hospital NHS Trust	Civilian	Breach of patients details to someone outside of trust	Dismissed	No
Nottingham University Hospital NHS Trust	Medical	Accessing patient records inappropriately	Final written warning issued	No
Nottingham University Hospital NHS Trust	Medical	Accessing records inappropriately	No Case to answer	No

Hospital NHS Trust				
Nottingham University Hospital NHS Trust	Medical	Accessing records inappropriately	No Case to answer	No
Nottingham University Hospital NHS Trust	Medical	Accessing records inappropriately	Informal Action	No
Nottingham University Hospital NHS Trust	Medical	Accessing records inappropriately	Final written warning issued	No
Nottingham University Hospital NHS Trust	Medical	Looking up a colleague's records of PACS	Informal Action	No
Nottingham University Hospital NHS Trust	Medical	Discussing investigation with other workers	No Case to answer	No
Nottingham University Hospital NHS Trust	Medical	Inappropriate patient contact	No Case to answer	No
Nottingham University Hospital NHS Trust	Medical	Confidentiality & damage to trust property	Resigned	No
Nottingham University Hospital NHS Trust	Medical	discussing previous investigation with colleagues in/out of work	Final written warning issued	No
Nottingham University Hospital NHS Trust	Medical	Release of picture of a patient onto Facebook	Dismissed	No
Nuffield Orthopaedic Centre NHS Trust	NO RESPONSE RECEIVED			
Oxford Radcliffe Hospital NHS Trust	Civilian	Accessed personal information for personal interest	Internal disciplinary hearing-warning issued	No
Oxford Radcliffe Hospital NHS Trust	Civilian	Accessed personal information for personal interest	Internal disciplinary hearing-warning issued	No
Papworth NHS Foundation Trust	Less than 3 unspecified	offenses against the Trust's patient confidentiality policies	Discipline	No
Pennine Acute Hospital NHS Trust	Civilian	Allowed visitor to see patient details	Disciplined internally	No
Pennine Acute Hospital NHS Trust	Civilian	Accessed PAS System inappropriately	Disciplined internally	No
Pennine Acute Hospital NHS Trust	Civilian	Deliberately accessed the PAS system to gain information on friends, colleagues or family members	Dismissed	No

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		without authorisation and released to third party		
Pennine Acute Hospital NHS Trust	Civilian	Accessed PAS System inappropriately	Disciplined internally	No
Pennine Acute Hospital NHS Trust	Civilian	Deliberately accessed the PAS system to gain information on friends, colleagues or family members without authorisation and released to third party	Dismissed	No
Pennine Acute Hospital NHS Trust	Civilian	Deliberately accessed the PAS system to gain information on friends, colleagues or family members without authorisation and released to third party	Dismissed	No
Pennine Acute Hospital NHS Trust	Civilian	Accessed PAS System inappropriately	Disciplined internally	No
Pennine Acute Hospital NHS Trust	Civilian	Viewed her own records	Disciplined internally	No
Pennine Acute Hospital NHS Trust	Civilian	Accessed PAS System inappropriately	Disciplined internally	No
Pennine Acute Hospital NHS Trust	Civilian	Left confidential information insecure when leaving reception area	Disciplined internally	No
Pennine Acute Hospital NHS Trust	Civilian	Sent information via Facebook to parent of a patient	Disciplined internally	No
Pennine Acute Hospital NHS Trust	Civilian	Divulged confidential information to third party	Disciplined internally	No
Pennine Acute Hospital NHS Trust	Civilian	Posted sensitive information on Facebook	Disciplined internally	No
Pennine Acute Hospital NHS Trust	Civilian	Divulged confidential information to third party	Disciplined internally	No
Pennine Acute Hospital NHS Trust	Civilian	Divulged confidential information to third party	Disciplined internally	No
Pennine Acute Hospital NHS Trust	Civilian	Divulged confidential information to third party	Disciplined internally	No
Pennine Acute Hospital NHS Trust	Medical	Left patient data in car in full view	Disciplined internally	No
Pennine Acute Hospital NHS Trust	Medical	Lost briefcase abroad	Disciplined internally	No

Pennine Acute Hospital NHS Trust	Medical	Sent sample to laboratory with patient details on view	Disciplined internally	No
Peterborough and Stamford Hospital NHS Foundation Trust	Information not held centrally by Trust- FOI request refused on grounds of time and cost			
Plymouth Hospitals NHS Trust	Civilian	Inappropriate use of patient information	Dismissed	No
Plymouth Hospitals NHS Trust	Civilian	Inappropriate use of patient information	Disciplined internally	No
Plymouth Hospitals NHS Trust	Civilian	Emailing patient identifiable data to non-secure email	Disciplined internally	No
Plymouth Hospitals NHS Trust	Civilian	Emailing patient identifiable data to non-secure email	Disciplined internally	No
Plymouth Hospitals NHS Trust	Medical	Loss of Safestick	Disciplined internally	No
Plymouth Hospitals NHS Trust	Medical	Loss of Safestick	Disciplined internally	No
Plymouth Hospitals NHS Trust	Medical	Loss of Safestick	Disciplined internally	No
Poole Hospital NHS Foundation Trust	Civilian	Accessed personal information for personal interest	Employment terminated	No
Portsmouth Hospitals NHS Trust	Civilian	Misuse of Patient Administration System	Final warning issued (retained on file for 18 months)	No
Portsmouth Hospitals NHS Trust	Civilian	Accessed personal information without legitimate reason	Final warning issued (retained on file for 36 months)	No
Queen Elizabeth Hospital NHS Trust	None	-	-	-
Queen Mary's Sidcup NHS Trust	None	-	-	-
Queen Victoria Hospital NHS Foundation Trust	None	-	-	-
Robert Jones and Agens Hunt Orthopaedic and District	None	-	-	-

Hospital NHS Trust				
Royal Bolton Hospital NHS Foundation Trust	NO RESPONSE RECEIVED			
Royal Brompton and Harefield NHS Trust	None	-	-	-
Royal Cornwall Hospitals NHS Trust	NO RESPONSE RECEIVED			
Royal Devon and Exeter NHS Foundation Trust	Civilian	Breach of confidentiality	Written warning issued	Information not held by Trust
Royal Devon and Exeter NHS Foundation Trust	Civilian	Breach of confidentiality	Written warning issued	Information not held by Trust
Royal Devon and Exeter NHS Foundation Trust	Civilian	Breached Information Governance Policy/Information Security Policy	Dismissed	Information not held by Trust
Royal Devon and Exeter NHS Foundation Trust	Civilian	Accessed own records	Written warning issued	Information not held by Trust
Royal Devon and Exeter NHS Foundation Trust	Civilian	Accessed own records/patient records/breach of Information Governance Policy	Dismissed	Information not held by Trust
Royal Devon and Exeter NHS Foundation Trust	Medical	Accessed own records	Written warning issued	Information not held by Trust
Royal Devon and Exeter NHS Foundation Trust	Medical	Accessed patient records inappropriately	Final written warning issued	Information not held by Trust
Royal Devon and Exeter NHS Foundation Trust	Medical	Accessed own records	Written warning issued	Information not held by Trust
Royal Devon and Exeter NHS Foundation Trust	Medical	Accessed patient records	Written warning issued	Information not held by Trust
Royal Devon and Exeter NHS Foundation Trust	Medical	Breach of confidentiality/accessing patient records	Dismissed	Information not held by Trust
Royal Free Hampstead NHS Trust	NO RESPONSE RECEIVED			
Royal Liverpool and Broadgreen University Hospitals NHS Trust	None	-	-	-

Royal National Hospital For Rheumatic Diseases NHS Foundation Trust	None	-	-	-
Royal National Orthopaedic Hospital NHS Trust	None	-	-	-
Royal Surrey County Hospital NHS Trust	NO RESPONSE RECEIVED			
Royal United Hospital Bath NHS Trust	None	-	-	-
Royal West Sussex NHS Trust	Civilian	Accessed staff patient records	Investigation and no formal action taken	No
Royal West Sussex NHS Trust	Civilian	Admitted breach of patient confidentiality	No formal action	No
Royal West Sussex NHS Trust	Medical	Used patient information inappropriately for personal interest	Current disciplinary investigation	No
Royal West Sussex NHS Trust	Medical	Breach of patient information via internet social networking site	Second stage formal written warning issued-on file 12 months	No
Royal West Sussex NHS Trust	Medical	Breach of patient information via internet social networking site	Second stage formal written warning issued-on file 12 months	No
Royal West Sussex NHS Trust	Medical	Breaches of patient information to third parties	Second stage formal written warning issued-on file 12 months	No
Royal West Sussex NHS Trust	Medical	Accessed staff patient records	Investigation and no formal action taken	No
Salford Royal NHS Foundation Trust	Civilian	Inappropriate access of colleagues' and family's personal information	Dismissed	No
Salford Royal NHS Foundation Trust	Civilian	Inappropriate access of colleagues' and family's personal information	Dismissed	No
Salford Royal NHS Foundation Trust	Civilian	Obtaining contact details of colleague without consent (and other allegations)	Dismissed	No
Salford Royal NHS Foundation Trust	Civilian	Inappropriate access of patient records	1st written warning issued	No
Salford Royal NHS Foundation Trust	Civilian	Inappropriate access of patient records	1st written warning issued	No

Salford Royal NHS Foundation Trust	Civilian	Inappropriate access of patient records	1st written warning issued	No
Salford Royal NHS Foundation Trust	Civilian	Inappropriate access of patient records	1st written warning issued	No
Salford Royal NHS Foundation Trust	Civilian	Inappropriate access of patient records	Final written warning issued	No
Salford Royal NHS Foundation Trust	Civilian	Inappropriate access of patient records	Final written warning issued	No
Salford Royal NHS Foundation Trust	Civilian	Inappropriate access of patient records	1st written warning issued	No
Salford Royal NHS Foundation Trust	Civilian	Inappropriate access of patient records	1st written warning issued	No
Salford Royal NHS Foundation Trust	Civilian	Inappropriate access of patient records	1st written warning issued	No
Salford Royal NHS Foundation Trust	Civilian	Inappropriate access of patient records	1st written warning issued	No
Salford Royal NHS Foundation Trust	Civilian	Inappropriate access of patient records	1st written warning issued	No
Salford Royal NHS Foundation Trust	Civilian	Inappropriate access of own family members' patient records	Final written warning issued	No
Salford Royal NHS Foundation Trust	Civilian	Inappropriate access of own family members' patient records	Final written warning issued	No
Salford Royal NHS Foundation Trust	Civilian	Inappropriate access of own family members' patient records	Final written warning issued	No
Salford Royal NHS Foundation Trust	Civilian	Inappropriate access of own family members' patient records	Final written warning issued	No
Salford Royal NHS Foundation Trust	Civilian	Breach of patient confidentiality	Dismissed	No
Salford Royal NHS Foundation Trust	Civilian	Inappropriate access of patient records	1st written warning issued	No
Salisbury NHS Foundation Trust	Unspecified	Disclosing anonymised information about patients	Not released	No
Salisbury NHS Foundation Trust	Unspecified	Passed information about a patient to an unauthorised	Not released	No

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Trust		third party		
Sandwell and West Birmingham Hospitals NHS Trust	Civilian	Leaked Trust payroll information to their private email account. Police found no evidence that staff payroll information had been used or passed to a third party	Dismissed	No- Case dropped by Crown Court
Sandwell and West Birmingham Hospitals NHS Trust	Civilian	Appointments clerk inappropriately accessed colleague's medical condition then emailed a summary of the condition to other colleagues in the department	Dismissed	No
Sandwell and West Birmingham Hospitals NHS Trust	Civilian	Administration Assistant informed another colleague of their diagnosis. After taking minutes for a multidisciplinary team	Formally disciplined	No
Scarborough and North East Yorkshire Health Care NHS Trust	None	-	-	-
Sheffield Children's NHS Foundation Trust	None	-	-	-
Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breached patient information- sourced from patient information systems	Resigned	No
Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breached patient information- sourced from patient information systems	Resigned	No
Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breached patient information- sourced from patient information systems	Final written warning issued	No
Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breached patient information- sourced from patient information systems	Final written warning issued	No
Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breached patient information- sourced from patient information systems	Second stage warning issued	No
Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breached patient information- sourced from patient information systems	Counselling record issued	No
Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breached patient information- sourced from patient information systems	Counselling record issued	No
Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breached patient information- sourced from patient information systems	No case to answer- no further action taken	No
Sheffield Teaching Hospitals	Civilian	Breaches of staff information	Resigned	No

NHS Foundation Trust				
Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breaches of staff information	Dismissed	No
Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breaches of staff information	Dismissed	No
Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breaches of staff information	Final written warning issued	No
Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breaches of staff information	Final written warning issued	No
Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breaches of staff information	Final written warning issued	No
Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breaches of staff information	Final written warning issued	No
Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breaches of staff information	Counselling record issued	No
Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breaches of staff information	Counselling record issued	No
Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breaches of staff information	Counselling record issued	No
Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breaches of staff information	Counselling record issued	No
Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breaches of staff information	Counselling record issued	No
Sherwood Forest Hospitals NHS Foundation Trust	Medical	Medical record accessed inappropriately	Disciplined internally	Information not held by Trust
Sherwood Forest Hospitals NHS Foundation Trust	Medical	Medical record accessed inappropriately	Disciplined internally	Information not held by Trust
Sherwood Forest Hospitals NHS Foundation Trust	Medical	Medical record accessed inappropriately	Disciplined internally	Information not held by Trust
Sherwood Forest Hospitals NHS Foundation Trust	Medical	Medical record accessed inappropriately	Disciplined internally	Information not held by Trust
Shrewsbury and Telford Hospitals NHS Trust	NO RESPONSE RECEIVED BY CUTOFF			

South Devon Healthcare NHS Foundation Trust	NO RESPONSE RECEIVED BY CUTOFF			
South Downs Health NHS Trust	NO RESPONSE RECEIVED			
South Tees Hospital NHS Trust	Civilian	Accessing medical records inappropriately	Final written warning issued	No
South Tees Hospital NHS Trust	Civilian	Inappropriately accessed patient information	First written warning issued	No
South Tees Hospital NHS Trust	Medical	Accessing medical records inappropriately	Final written warning issued	No
South Tees Hospital NHS Trust	Medical	Breach of patient confidentiality	Dismissal with notice	No
South Tees Hospital NHS Trust	Medical	Sent an email containing patient data and inappropriate comments	First written warning issued	No
South Tees Hospital NHS Trust	Medical	Leaving patient information in a public place	Final written warning issued	No
South Tyneside NHS Foundation Trust	None	-	-	-
South Warwickshire General Hospitals NHS Trust	None	-	-	-
Southampton University Hospital NHS Trust	Civilian	Staff sharing password for access to electronic system	Staff member counselled	No
Southampton University Hospital NHS Trust	Civilian	Staff sharing password for access to electronic system	Access to system deactivated	No
Southampton University Hospital NHS Trust	Civilian	Laptop containing person identifiable data left in a non secure area by member of staff and consequently stolen	Written warning issued	No
Southampton University Hospital NHS Trust	Medical	Accessed colleagues' personal information for non work purposes	Suspended from work	No
Southampton University Hospital NHS Trust	Medical	Ward handover sheet found in public area	Staff member counselled	No
Southampton University Hospital NHS Trust	Medical	Ward handover sheet found in public area	Written warning issued	No

Southend University Hospital NHS Foundation Trust	Less than 5 Civilian	Refused on grounds of Section 40 (2) exemption- 'personal information'	Dismissed	No
Southend University Hospital NHS Foundation Trust	Less than 5 Civilian	Refused on grounds of Section 40 (2) exemption- 'personal information'	Disciplined internally	No
Southend University Hospital NHS Foundation Trust	Less than 5 Medical	Refused on grounds of Section 40 (2) exemption- 'personal information'	Disciplined internally	No
Southport and Ormskirk Hospital NHS Trust	NO RESPONSE RECEIVED			
St George's Healthcare NHS Trust	Civilian	Passed patient information to an unauthorised third party	Disciplined internally	No
St George's Healthcare NHS Trust	Medical	Data loss	One week suspension	No
St George's Healthcare NHS Trust	Less than 10 unspecified	Refused on grounds of Section 40 (2) exemption- 'personal information'	Dismissed	No
St Helens and Knowsley Hospitals NHS Trust	Civilian	Breach of confidentiality	Disciplined internally short of dismissal	No
St Helens and Knowsley Hospitals NHS Trust	Civilian	Breach of confidentiality	Disciplined internally short of dismissal	No
St Helens and Knowsley Hospitals NHS Trust	Civilian	Breach of confidentiality	Disciplined internally short of dismissal	No
St Helens and Knowsley Hospitals NHS Trust	Civilian	Breach of confidentiality	Disciplined internally short of dismissal	No
St Helens and Knowsley Hospitals NHS Trust	Civilian	Breach of confidentiality	Disciplined internally short of dismissal	No
St Helens and Knowsley Hospitals NHS Trust	Civilian	Breach of confidentiality	Disciplined internally short of dismissal	No
St Helens and Knowsley Hospitals NHS Trust	Civilian	Breach of confidentiality	Disciplined internally short of dismissal	No
St Helens and Knowsley Hospitals NHS Trust	Civilian	Breach of confidentiality	Disciplined internally short of dismissal	No
St Helens and Knowsley Hospitals NHS Trust	Civilian	Breach of confidentiality	Disciplined internally short of dismissal	No

St Helens and Knowsley Hospitals NHS Trust	Civilian	Breach of confidentiality	Disciplined internally short of dismissal	No
St Helens and Knowsley Hospitals NHS Trust	Medical	Breach of confidentiality	Disciplined internally short of dismissal	No
Stockport NHS Foundation Trust	Civilian	Accessed personal information for personal interest	Final written warning issued	No
Stockport NHS Foundation Trust	Civilian	Accessed personal information for personal interest	Final written warning issued	No
Stockport NHS Foundation Trust	Civilian	Accessed personal information for personal interest	First written warning issued	No
Stockport NHS Foundation Trust	Civilian	Sent email containing patient information to inappropriate email address	Final written warning issued	No
Stockport NHS Foundation Trust	Civilian	Make reference to patients on social networking site	Final written warning issued	No
Stockport NHS Foundation Trust	Civilian	Make reference to patients on social networking site	Final written warning issued	No
Stockport NHS Foundation Trust	Civilian	Accessed personal information for personal interest	Final written warning issued	No
Surrey and Sussex Healthcare NHS Trust	Civilian	Inappropriate use of social networking site resulting in breach of patient confidentiality	Disciplined internally	No
Surrey and Sussex Healthcare NHS Trust	Medical	Inappropriate use of social networking site resulting in breach of patient confidentiality	Disciplined internally	No
Surrey and Sussex Healthcare NHS Trust	Medical	Inappropriate use of social networking site resulting in breach of patient confidentiality	Disciplined internally	No
Surrey and Sussex Healthcare NHS Trust	Medical	Inappropriate use of social networking site resulting in breach of patient confidentiality	Disciplined internally	No
Tameside Hospital NHS Foundation Trust	NO RESPONSE RECEIVED			
Taunton and Somerset NHS Foundation Trust	Unspecified	Third party personal information accessed	No case to answer- no further action taken	No
Taunton and Somerset NHS Foundation Trust	Unspecified	Relayed patient information to third party	First formal warning issued	No

Taunton and Somerset NHS Foundation Trust	Unspecified	Breach of third party confidentiality	No case to answer- no further action taken	No
Taunton and Somerset NHS Foundation Trust	Unspecified	Third party information accessed	Final written warning issued	No
Taunton and Somerset NHS Foundation Trust	Unspecified	Third party information accessed	Final written warning issued	No
The Christie NHS Foundation Trust	Civilian	Email password provided to external individual (not employed by the Trust). Password was used to log onto the staff member's computer	Dismissed	No
The Dudley Group of Hospitals NHS Foundation Trust	None	-	-	-
The Hillingdon Hospital NHS Trust	Medical	Personal information passed to a third party about a staff member while an inpatient	Dismissed	No
The Hillingdon Hospital NHS Trust	Medical	Personal information passed to a third party about a staff member while an inpatient	Final written warning issued	No
The Lewisham Hospital NHS Trust	NO RESPONSE RECEIVED			
The Newcastle Upon Tyne Hospitals NHS Foundation Trust	None	-	-	-
The Princess Alexandra Hospital NHS Trust	None	-	-	-
The Queen Elizabeth Hospital King's Lynn NHS Trust	NO RESPONSE RECEIVED			
The Rotherham NHS Foundation Trust	None	-	-	-
The Royal Bournemouth and Christchurch Hospitals NHS Foundation Trust	Civilian	Accessed information for personal interest	Final written warning issued-held on file for three years	No
The Royal Bournemouth and Christchurch Hospitals NHS Foundation Trust	Medical	Accessed information for personal interest and passed on to third party	Dismissed	No

The Royal Bournemouth and Christchurch Hospitals NHS Foundation Trust	Medical	Accessed information for personal interest and passed on to third party	Dismissed	No
The Royal Bournemouth and Christchurch Hospitals NHS Foundation Trust	Medical	Accessed information for personal interest	Final written warning issued-held on file for three years	No
The Royal Bournemouth and Christchurch Hospitals NHS Foundation Trust	Medical	Accessed information for personal interest	Final written warning issued-held on file for three years	No
The Royal Marsden NHS Foundation Trust	None	-	-	-
The Royal Orthopaedic Hospital NHS Foundation Trust	None	-	-	-
The Royal Wolverhampton NHS Trust	Civilian	Unspecified	Dismissed	No
The Royal Wolverhampton NHS Trust	Civilian	Sharing patient information with an unauthorised third party	Disciplined internally	No
The Royal Wolverhampton NHS Trust	Civilian	Sharing patient information with an unauthorised third party	Disciplined internally	No
The Royal Wolverhampton NHS Trust	Civilian	Sharing patient information with an unauthorised third party	Disciplined internally	No
The Royal Wolverhampton NHS Trust	Civilian	Sharing patient information with an unauthorised third party	Disciplined internally	No
The Royal Wolverhampton NHS Trust	Civilian	Unspecified	Disciplined internally	No
The Whittington Hospital NHS Trust	Civilian	Loss of staff data	Dismissed	Information not held by Trust
The Whittington Hospital NHS Trust	Civilian	Discussing confidential patient information with unauthorised personnel	Dismissed	Information not held by Trust
Trafford Healthcare NHS Trust	Civilian	Accessed personal information for personal interest	Final written warning issued	No
United Lincolnshire Hospitals	None	-	-	-

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NHS Trust				
University College London Hospitals NHS Foundation Trust	NO RESPONSE RECEIVED			
University Hospital Birmingham NHS Foundation Trust	NO RESPONSE RECEIVED			
University Hospital Of North Staffordshire NHS Trust	Unspecified	Breach of patient confidentiality- sent inappropriate text messages to patient following failed personal relationship	Final written warning issued	No
University Hospital Of North Staffordshire NHS Trust	Unspecified	Breach of patient confidentiality- Inappropriate access of patient information following failed personal relationship	Improvement notice	No
University Hospital Of South Manchester NHS Foundation Trust	NO RESPONSE RECEIVED			
University Hospitals Bristol NHS Foundation Trust	None	-	-	-
University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Unspecified	Dismissed	No
University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Inappropriately accessed family medical records	Disciplined internally	No
University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Inappropriately accessed family medical records	Disciplined internally	No
University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Inappropriately accessed family medical records	Disciplined internally	No
University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Inappropriately accessed family medical records	Disciplined internally	No
University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Accessed the medical records of a colleague/friend	Disciplined internally	No
University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Accessed the medical records of a colleague/friend	Disciplined internally	No
University Hospitals Coventry	Civilian	Accessed the medical records of a colleague/friend	Disciplined internally	No

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and Warwickshire NHS Trust				
University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Accessed the medical records of a colleague/friend	Disciplined internally	No
University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Accessed the medical records of a colleague/friend	Disciplined internally	No
University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Accessed the medical records of a colleague/friend	Disciplined internally	No
University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Accessed the medical records of a colleague/friend	Disciplined internally	No
University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Accessed the medical records of a colleague/friend	Disciplined internally	No
University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Accessed the medical records of a colleague/friend	Disciplined internally	No
University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Accessed medical records of family members and of colleagues	Disciplined internally	No
University Hospitals Of Leicester NHS Trust	Information not held centrally- refused on grounds of time and cost			
University Hospitals Of Morecambe Bay NHS Trust	Civilian	Passed patient information to 3rd party	Disciplined internally	No
University Hospitals Of Morecambe Bay NHS Trust	Civilian	Passed patient information to 3rd party	Disciplined internally	No
Walsall Hospitals NHS Trust	NO RESPONSE RECEIVED			
Walton Centre For Neurology and Neurosurgery NHS Trust	None	-	-	-
Warrington and Halton Hospitals NHS Foundation Trust	None	-	-	-
West Hertfordshire Hospitals NHS Trust	NO RESPONSE RECEIVED			
West Middlesex University Hospital NHS Trust	Civilian	Breach of patient confidentiality	Disciplinary action taken short of dismissal	No
West Middlesex University Hospital NHS Trust	Civilian	Breach of patient confidentiality	Disciplinary investigation in progress	No

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West Middlesex University Hospital NHS Trust	Civilian	Confidential employee information sent to incorrect recipient	Investigation in progress	No
West Middlesex University Hospital NHS Trust	Medical	Accessed personal information for personal interest	Dismissed and reported to professional body	No
West Middlesex University Hospital NHS Trust	Medical	Breach of patient confidentiality via social networking site	Disciplinary action taken short of dismissal	No
West Middlesex University Hospital NHS Trust	Medical	Discussing patient information in open plan area	Informal action taken	No
West Suffolk Hospitals NHS Trust	Civilian	Refused on grounds of Section 40 (2) exemption- 'personal information'	Dismissed	No
West Suffolk Hospitals NHS Trust	Civilian	Refused on grounds of Section 40 (2) exemption- 'personal information'	Disciplined internally- details refused on grounds of Section 40 (2)	No
West Suffolk Hospitals NHS Trust	Medical	Refused on grounds of Section 40 (2) exemption- 'personal information'	Dismissed	No
West Suffolk Hospitals NHS Trust	Medical	Refused on grounds of Section 40 (2) exemption- 'personal information'	Dismissed	No
West Suffolk Hospitals NHS Trust	Medical	Refused on grounds of Section 40 (2) exemption- 'personal information'	Dismissed	No
West Suffolk Hospitals NHS Trust	Medical	Refused on grounds of Section 40 (2) exemption- 'personal information'	Disciplined internally- details refused on grounds of Section 40 (2)	No
West Suffolk Hospitals NHS Trust	Medical	Refused on grounds of Section 40 (2) exemption- 'personal information'	Disciplined internally- details refused on grounds of Section 40 (2)	No
West Suffolk Hospitals NHS Trust	Medical	Refused on grounds of Section 40 (2) exemption- 'personal information'	Disciplined internally- details refused on grounds of Section 40 (2)	No
Weston Area Health NHS Trust	None	-	-	-
Whipps Cross University Hospital NHS Trust	None	-	-	-

Winchester and Eastleigh Healthcare NHS Trust	Civilian	Looking up unauthorised medical details	Final written warning issued and downgraded (moved to lower band level)	No
Wirral University Teaching Hospital NHS Foundation Trust	Civilian	Accessed personal information for personal interest	Warning issued	No
Worcestershire Acute Hospitals NHS Trust	NO RESPONSE RECEIVED			
Wrightington, Wigan and Leigh NHS Foundation Trust	Civilian	Inappropriate access to medical records	Dismissed	No
Wrightington, Wigan and Leigh NHS Foundation Trust	Civilian	Inappropriate access to medical records and third party disclosure	Dismissed	No
Wrightington, Wigan and Leigh NHS Foundation Trust	Civilian	Access to confidential information and third party disclosure	Final written warning issued- 10 week exclusion	No
Wrightington, Wigan and Leigh NHS Foundation Trust	Civilian	Breach of confidentiality and third party disclosure	First written warning issued- no exclusion	No
Wrightington, Wigan and Leigh NHS Foundation Trust	Civilian	Breach of confidentiality and third party disclosure	First written warning issued- no exclusion	No
Yeovil District Hospital NHS Foundation Trust	None	-	-	-
York Hospitals NHS Foundation Trust	Unspecified	Failure to follow correct procedure in relation to Data Protection	Disciplined internally	No
York Hospitals NHS Foundation Trust	Unspecified	Failure to follow correct procedure in relation to Data Protection	Disciplined internally	No
York Hospitals NHS Foundation Trust	Unspecified	Failure to follow correct procedure in relation to Data Protection	Disciplined internally	No
York Hospitals NHS Foundation Trust	Unspecified	Inappropriate discussion of a case with a third party	Disciplined internally	No
TOTAL:	441			0

Mental Health				
2gether NHS Foundation Trust (Mental Health)	Civilian	Accessing electronic patient notes without reason	Dismissed	No
5 Boroughs Partnership NHS Trust (Mental Health)	None	-	-	-
Avon and Wiltshire Mental Health Partnership NHS Trust	NO RESPONSE RECEIVED BY CUTOFF			
Barnet, Enfield and Haringey Mental Health NHS Trust	Civilian	Accessed personal information for personal interest	Dismissed	No
Belfast Health and Social Care Trust (Mental Health)	NO RESPONSE RECEIVED			
Berkshire Healthcare NHS Foundation Trust (Mental Health)	None	-	-	-
Birmingham and Solihull Mental Health NHS Foundation Trust	None	-	-	-
Bradford District Care Trust (Mental Health)	None	-	-	-
Calderstones NHS Trust (Mental Health)	Medical	Loss of personally identifiable data (hard copy, subsequently recovered intact)	Dismissed	No
Cambridgeshire and Peterborough NHS Foundation Trust (Mental Health)	NO RESPONSE RECEIVED BY CUTOFF			
Camden and Islington Mental Health and Social Care Trust	Civilian	Accessed personal information for non-work reasons	Removed from Trust	No
Camden and Islington Mental Health and Social Care Trust	Civilian	Entered inaccurate information on patient observation records	Dismissed	No
Central and North West	Civilian	Inappropriate access and disclosure if medical record	Written warning issued	No

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London NHS Foundation Trust (Mental Health)				
Central and North West London NHS Foundation Trust (Mental Health)	Civilian	Inappropriate access and disclosure if medical record	Written warning issued	No
Cheshire and Wirral Partnership NHS Foundation Trust (Mental Health)	Medical	Breach of confidentiality- inappropriate access to care notes	Disciplined internally	No
Cheshire and Wirral Partnership NHS Foundation Trust (Mental Health)	Medical	Breach of confidentiality- inappropriate access to care notes	Disciplined internally	No
Cheshire and Wirral Partnership NHS Foundation Trust (Mental Health)	Medical	Breach of confidentiality- misuse of care notes for personal use	Disciplined internally	No
Cheshire and Wirral Partnership NHS Foundation Trust (Mental Health)	Medical	Breach of confidentiality- using Facebook	Disciplined internally	No
Cheshire and Wirral Partnership NHS Foundation Trust (Mental Health)	Medical	Breach of confidentiality- comments regarding patients on Facebook	Disciplined internally	No
Cheshire and Wirral Partnership NHS Foundation Trust (Mental Health)	Medical	Breach of confidentiality- comments regarding patients on Facebook	Disciplined internally	No
Cornwall Partnership NHS Trust (Mental Health)	NO RESPONSE RECEIVED			
Coventry and Warwickshire Partnership NHS Trust (Mental Health)	Civilian	Passed information about a patient to a third party	Written warning issued	No
Cumbria Partnership NHS Foundation Trust (Mental Health)	None	-	-	-
Cumbria Teaching PCT (Mental Health)	NO RESPONSE RECEIVED			

Derbyshire Mental Health Services NHS Trust (Mental Health)	None	-	-	-
Devon Partnership NHS Trust (Mental Health)	Civilian	Accessed personnel information for personal interest	Given a 12 month written warning	No
Devon Partnership NHS Trust (Mental Health)	Medical	Inappropriate disposal of information (including patient information)	Formal warning from Medical Director	No
Dorset Healthcare NHS Foundation Trust (Mental Health)	NO RESPONSE RECEIVED			
Dudley and Walsall Mental Health Partnership NHS Trust	NO RESPONSE RECEIVED BY CUTOFF			
East London NHS Foundation Trust (Mental Health)	NO RESPONSE RECEIVED BY CUTOFF			
Greater Manchester West Mental Health NHS Foundation Trust	Unspecified- Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'	No
Hampshire Partnership NHS Trust (Mental Health)	Civilian	Informed others about a staff investigation	Informal action taken	No
Hampshire Partnership NHS Trust (Mental Health)	Civilian	Looked up colleague details on PAS	Final written warning issued	No
Hampshire Partnership NHS Trust (Mental Health)	Civilian	Accessed family member details inappropriately and inappropriately disclosed them	Final written warning issued	No
Hampshire Partnership NHS Trust (Mental Health)	Civilian	Read and disclosed information from manager and staff drawers/pigeon holes	Written warning issued	No
Hampshire Partnership NHS Trust (Mental Health)	Civilian	To have been derogatory about a service user and breached service confidentiality	Informal action taken	No
Herefordshire PCT (Mental Health)	None	-	-	-

Hertfordshire Partnership NHS Foundation Trust (Mental Health)	NO RESPONSE RECEIVED BY CUTOFF			
Humber Mental Health Teaching NHS Trust	Civilian	Failure to follow policy	Final written warning issued	No
Humber Mental Health Teaching NHS Trust	Civilian	Failure to follow policy	Final written warning issued	No
Isle Of Wight NHS PCT (Mental Health)	NO RESPONSE RECEIVED BY CUTOFF			
Kent and Medway NHS and Social Care Partnership Trust (Mental Health)	Civilian	Inappropriately accessed patient record on information system	Formal warning issued	No
Kent and Medway NHS and Social Care Partnership Trust (Mental Health)	Civilian	Inappropriately accessed patient record on information system	Formal warning issued	No
Kent and Medway NHS and Social Care Partnership Trust (Mental Health)	Civilian	Discussed patient's care and treatment with unauthorised individual	Relocated to another position	No
Kent and Medway NHS and Social Care Partnership Trust (Mental Health)	Medical	Inappropriately accessed patient record on information system	Dismissed	No
Kent and Medway NHS and Social Care Partnership Trust (Mental Health)	Medical	Inappropriately accessed patient record on information system	Dismissed	No
Kent and Medway NHS and Social Care Partnership Trust (Mental Health)	Medical	Shared password and emails with unauthorised individual	Dismissed	No
Kent and Medway NHS and Social Care Partnership Trust (Mental Health)	Medical	Passed sensitive information to unauthorised individual for onward transmission-information did not arrive with intended recipient	Formal warning issued	No
Lancashire Care NHS Foundation Trust (Mental Health)	Civilian	Accessed personal information for personal interest	Final written warning issued	No

Lancashire Care NHS Foundation Trust (Mental Health)	Civilian	Accessed personal information for personal interest	Suspended (ongoing)	No
Lancashire Care NHS Foundation Trust (Mental Health)	Civilian	Accessed personal information for personal interest	Suspended (ongoing)	No
Lancashire Care NHS Foundation Trust (Mental Health)	Civilian	Accessed personal information for personal interest	Final written warning issued	No
Lancashire Care NHS Foundation Trust (Mental Health)	Civilian	Accessed personal information for personal interest	Final written warning issued	No
Lancashire Care NHS Foundation Trust (Mental Health)	Civilian	Accessed personal information for personal interest	Final written warning issued	No
Lancashire Care NHS Foundation Trust (Mental Health)	Civilian	Accessed personal information for personal interest	Final written warning issued	No
Lancashire Care NHS Foundation Trust (Mental Health)	Civilian	Accessed personal information for personal interest	Final written warning issued	No
Lancashire Care NHS Foundation Trust (Mental Health)	Civilian	Accessed personal information for personal interest	Final written warning issued	No
Lancashire Care NHS Foundation Trust (Mental Health)	Civilian	Accessed personal information for personal interest	Final written warning issued	No
Lancashire Care NHS Foundation Trust (Mental Health)	Civilian	Accessed personal information for personal interest	Final written warning issued	No
Lancashire Care NHS Foundation Trust (Mental Health)	Civilian	Accessed personal information for personal interest	Suspended for 8 weeks	No

Lancashire Care NHS Foundation Trust (Mental Health)	Civilian	Allowed a third party indirect contact with a service user	Suspended for 12 weeks, Management Counselling Issued	No
Lancashire Care NHS Foundation Trust (Mental Health)	Medical	Breach of confidentiality relating to patient	Disciplinary hearing	No
Lancashire Care NHS Foundation Trust (Mental Health)	Medical	Missing case notes/misuse of internet	Disciplinary hearing, dismissed	No
Leeds Partnerships NHS Foundation Trust (Mental Health)	NO RESPONSE RECEIVED BY CUTOFF			
Leicestershire Partnership NHS Trust (Mental Health)	Civilian	Lost sensitive patient data	Disciplined internally	No
Leicestershire Partnership NHS Trust (Mental Health)	Civilian	Lost sensitive patient data	Disciplined internally	No
Leicestershire Partnership NHS Trust (Mental Health)	Civilian	Left patient records on view in car	Disciplined internally	No
Leicestershire Partnership NHS Trust (Mental Health)	Civilian	Lost sensitive patient data after car was broken into	Disciplined internally	No
Leicestershire Partnership NHS Trust (Mental Health)	Civilian	Accessed personal information for personal interest	Disciplined internally	No
Lincolnshire Partnership NHS Foundation Trust (Mental Health)	None	-	-	-
Manchester Mental Health and Social Care Trust	Civilian	Accessed personal information for personal interest, accessed patient records by Trust staff member for persons not in their care	Internal investigation; disciplinary hearing, dismissal	No
Mersey Care NHS Trust (Mental Health)	None	-	-	-
Milton Keynes PCT (Mental Health)	<i>See Milton Keynes PCT</i>			
Norfolk and Waveney Mental	NO RESPONSE RECEIVED BY CUTOFF			

Health NHS Foundation Trust				
North East London NHS Foundation Trust (Mental Health)	Civilian	Accessed confidential information	First written warning	No
North East London NHS Foundation Trust (Mental Health)	Civilian	Accessed confidential information	No formal action, performance plan implemented	No
North East London NHS Foundation Trust (Mental Health)	Civilian	Accessed confidential information	No formal action, performance plan implemented	No
North East London NHS Foundation Trust (Mental Health)	Civilian	Accessed confidential information- in team of 5	Final written warning issued	No
North East London NHS Foundation Trust (Mental Health)	Civilian	Accessed confidential information- in team of 5	Final written warning issued	No
North East London NHS Foundation Trust (Mental Health)	Civilian	Accessed confidential information- in team of 5	Final written warning issued	No
North East London NHS Foundation Trust (Mental Health)	Civilian	Accessed confidential information- in team of 5	Final written warning issued	No
North East London NHS Foundation Trust (Mental Health)	Civilian	Accessed confidential information- in team of 5	Final written warning issued	No
North East London NHS Foundation Trust (Mental Health)	Civilian	Accessed confidential information	Final written warning and downgraded	No
North East London NHS Foundation Trust (Mental Health)	Civilian	Accessed confidential information	Dismissed due to a combination of allegations of which one was accessing confidential information	No
North East London NHS	Medical	Alleged breach of Data Protection Policy i.e. person	Final written warning issued	No

Foundation Trust (Mental Health)		identifiable information left in vehicle overnight and vehicle was stolen		
North Essex Partnership NHS Foundation Trust (Mental Health)	None	-	-	-
North Staffordshire Combined Healthcare NHS Trust (Mental Health)	None	-	-	-
North Yorkshire and York PCT (Mental Health)	NO RESPONSE RECEIVED			
Northamptonshire Healthcare NHS Trust (Mental Health)	NO RESPONSE RECEIVED			
Northern Health and Social Care Trust (Mental Health)	<i>See Northern Health and Social Care Trust</i>			
Northumberland, Tyne and Wear NHS Trust (Mental Health)	Civilian	Accessed personal information for personal interest	Written warning issued	No
Northumberland, Tyne and Wear NHS Trust (Mental Health)	Civilian	Accessed personal information for personal interest	Written warning issued	No
Northumberland, Tyne and Wear NHS Trust (Mental Health)	Civilian	Loss of documents containing patient information	Written warning issued	No
Northumberland, Tyne and Wear NHS Trust (Mental Health)	Civilian	Loss of documents containing patient information	Written warning issued	No
Nottinghamshire Healthcare NHS Trust (Mental Health)	NO RESPONSE RECEIVED BY CUTOFF			
Oxfordshire and Buckinghamshire Mental Health NHS Foundation Trust (Mental Health)	Civilian	Inappropriate access to patient information	No Sanction- resigned	

Oxfordshire and Buckinghamshire Mental Health NHS Foundation Trust (Mental Health)	Civilian	Inappropriate access to patient information	Written warning issued	
Oxfordshire and Buckinghamshire Mental Health NHS Foundation Trust (Mental Health)	Civilian	Inappropriate access to patient information	Final written warning issued, on file for 12 months, transfer and restricted access to information	
Oxfordshire and Buckinghamshire Mental Health NHS Foundation Trust (Mental Health)	Civilian	Inappropriate access to patient information	Final written warning issued, on file for 12 months, transfer and restricted access to information	
Oxfordshire Learning Disability NHS Trust (Mental Health)	None	-	-	-
Oxleas NHS Foundation Trust (Mental Health)	Civilian	Breach of confidentiality letter sent to wrong service user	Disciplined internally	No
Oxleas NHS Foundation Trust (Mental Health)	Civilian	Breach of confidentiality letter sent to wrong service user	Disciplined internally	No
Oxleas NHS Foundation Trust (Mental Health)	Civilian	Wrong address entered on RIO	Disciplined internally	No
Oxleas NHS Foundation Trust (Mental Health)	Civilian	Inappropriate access to RIO	Disciplined internally	No
Oxleas NHS Foundation Trust (Mental Health)	Civilian	Breached patient confidentiality through inappropriately accessing patient records on RIO	Disciplined internally	No
Oxleas NHS Foundation Trust (Mental Health)	Civilian	Breach of Trust's confidentiality policy	Disciplined internally	No
Pennine Care NHS Foundation Trust (Mental Health)	NO RESPONSE RECEIVED BY CUTOFF			
Plymouth Teaching PCT (Mental Health)	NO RESPONSE RECEIVED			
Portsmouth City Teaching PCT (Mental Health)	None	-	-	-

Rotherham, Doncaster and South Humber Mental Health NHS Foundation Trust	Medical	Accessed patient information for personal interest	Disciplined internally	No
Rotherham, Doncaster and South Humber Mental Health NHS Foundation Trust	Medical	Passed patient information on to unauthorised third party	Dismissed	No
Rotherham, Doncaster and South Humber Mental Health NHS Foundation Trust	Medical	Accessed personal information for personal interest	Disciplined internally	No
Rotherham, Doncaster and South Humber Mental Health NHS Foundation Trust	Medical	Accessed personal information for personal interest	Disciplined internally	No
Sandwell Mental Health NHS and Social Care Trust	None	-	-	-
Sheffield Health and Social Care NHS Foundation Trust (Mental Health)	Information not held centrally-refused			No
Somerset Partnership NHS Foundation Trust (Mental Health)	None	-	-	-
South Eastern Health and Social Care Trust (Mental Health)	None	-	-	-
South Essex Partnership University NHS Foundation Trust (Mental Health)	NO RESPONSE RECEIVED BY CUTOFF			
South London and Maudsley NHS Foundation Trust (Mental Health)	Civilian	Unauthorised access of patient records on electronic system	Final written warning issued	No
South London and Maudsley NHS Foundation Trust (Mental Health)	Civilian	Unauthorised access of patient records on electronic system	Final written warning issued	No
South London and Maudsley	Civilian	Unauthorised access of patient records on electronic	Final written warning issued	No

NHS Foundation Trust (Mental Health)		system		
South London and Maudsley NHS Foundation Trust (Mental Health)	Civilian	Unauthorised access of patient records on electronic system	Final written warning issued	No
South London and Maudsley NHS Foundation Trust (Mental Health)	Civilian	Unauthorised access of patient records on electronic system	Final written warning issued	No
South London and Maudsley NHS Foundation Trust (Mental Health)	Civilian	Unauthorised access of patient records on electronic system	Final written warning issued	No
South Staffordshire and Shropshire Healthcare NHS Foundation Trust (Mental Health)	None	-	-	-
South West London and St George's Mental Health NHS Trust	None	-	-	-
South West Yorkshire Partnership NHS Foundation Trust (Mental Health)	NO RESPONSE RECEIVED			
Southern Health and Social Care Trust (Mental Health)	NO RESPONSE RECEIVED			
Suffolk Mental Health Partnership NHS Trust (Mental Health)	None	-	-	-
Surrey and Borders Partnership NHS Foundation Trust (Mental Health)	Civilian	Failure to store and secure staff personnel files appropriately	Interdisciplinary Action- Informal Warning	No
Sussex Partnership NHS Foundation Trust (Mental Health)	None	-	-	-
Tavistock and Portman NHS	None	-	-	-

Foundation Trust (Mental Health)				
Tees, Esk and Wear Valleys NHS Foundation Trust (Mental Health)	Civilian	Breach of confidentiality, disclosing information regarding ongoing disciplinary investigation	Individual resigned prior to disciplinary hearing, process completed. Hearing outcome was dismissal if they had still been employed- other allegations in addition to confidentiality breach	No
Tees, Esk and Wear Valleys NHS Foundation Trust (Mental Health)	Civilian	Potential Breach of confidentiality, leaving clients' files in unlocked office	Final written warning issued- 24 months	No
Tees, Esk and Wear Valleys NHS Foundation Trust (Mental Health)	Civilian	Breach of confidentiality involving possible identifiable information, leaving clinical diary in client's home	Written warning issued- 12 months	No
Tees, Esk and Wear Valleys NHS Foundation Trust (Mental Health)	Civilian	Breached Trust Policy in providing reference and breached confidentiality	Written warning issued- 12 months	No
Tees, Esk and Wear Valleys NHS Foundation Trust (Mental Health)	Civilian	Accessed personal file and copied information	Verbal Warning issued-6 months	No
Tees, Esk and Wear Valleys NHS Foundation Trust (Mental Health)	Civilian	Accessed a medical record on PARIS and breached confidentiality	Final written warning issued- 24 months	No
Tees, Esk and Wear Valleys NHS Foundation Trust (Mental Health)	Civilian	Accessed a patient's medical record on PARIS and breached confidentiality	Final written warning issued- 24 months	No
Tees, Esk and Wear Valleys NHS Foundation Trust (Mental Health)	Civilian	Breached patient confidentiality	Final written warning issued- 24 months	No
Tees, Esk and Wear Valleys NHS Foundation Trust (Mental Health)	Civilian	Failure to secure PARIS system and patient records	Written warning issued- 12 months	No
Tees, Esk and Wear Valleys	Civilian	Accessed a patient's medical record on PARIS	Final written warning issued- 24	No

NHS Foundation Trust (Mental Health)			months	
Tees, Esk and Wear Valleys NHS Foundation Trust (Mental Health)	Civilian	Accessed patient record on PARIS without consent	Ongoing	No
Tees, Esk and Wear Valleys NHS Foundation Trust (Mental Health)	Medical	Failure to ensure security of PPI	Counselling	No
West London Mental Health NHS Trust (Mental Health)	None	-	-	-
Western Health and Social Care Trust (Mental Health)	None	-	-	-
Wolverhampton City PCT (Mental Health)	None	-	-	-
Worcestershire Mental Health Partnership NHS Trust	NO RESPONSE RECEIVED			
TOTAL:	100			0
Ambulance				
East Midlands Ambulance Service NHS Trust	None	-	-	-
East Of England Ambulance Service NHS Trust	Civilian	Release of patient identifiable data to external sources, potential breach of DPA and bringing Trust into dispute	Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'
East Of England Ambulance Service NHS Trust	Medical	Disclosure of confidential patient information	Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'
Great Western Ambulance Service NHS Trust	NO RESPONSE RECEIVED			

Isle Of Wight Ambulance Service NHS PCT	None	-	-	-
London Ambulance Service NHS Trust	None	-	-	-
North East Ambulance Service NHS Trust	Civilian	Accessed personal information for personal interest	Disciplined internally, redeployed to role with no access to personal data	No
North West Ambulance Service NHS Trust	None	-	-	-
Northern Ireland Ambulance Service	None	-	-	-
Scottish Ambulance Service	None	-	-	-
South Central Ambulance Service NHS Trust	None	-	-	-
South East Coast Ambulance Service NHS Trust	None	-	-	-
South Western Ambulance Service NHS Trust	Civilian	Inappropriate access of employees application form	Discipline	No
Welsh Ambulance Services NHS Trust	None	-	-	-
West Midlands Ambulance Service NHS Trust	None	-	-	-
Yorkshire Ambulance Service NHS Trust	None	-	-	-
TOTAL:		4		0
SUMMARY TOTALS:		806		2

Appendix 2: List by NHS Trust of incidents where data protection policy was breached by posting information on Social networking sites

Organisation	Medical / civilian?	Outline of what was accessed/information passed to third party	Action taken criminal/discipline	Conviction
Abertawe Bro Morgannwg University NHS Trust	Civilian	Patient information on Facebook	Verbal warning issued	No
Abertawe Bro Morgannwg University NHS Trust	Civilian	Mentioned patient name on Facebook	Verbal warning issued	No
Cheshire and Wirral Partnership NHS Foundation Trust (Mental Health)	Medical	Breach of confidentiality- using Facebook	Disciplined internally	No
Cheshire and Wirral Partnership NHS Foundation Trust (Mental Health)	Medical	Breach of confidentiality- comments regarding patients on Facebook	Disciplined internally	No
Cheshire and Wirral Partnership NHS Foundation Trust (Mental Health)	Medical	Breach of confidentiality- comments regarding patients on Facebook	Disciplined internally	No
Hinchingbrooke Health Care NHS Trust	Civilian	Inappropriate posting on social networking site	Informal Counselling	Information not held by Trust
Hinchingbrooke Health Care NHS Trust	Civilian	Inappropriate posting on social networking site	Final written warning issued	Information not held by Trust
NHS Fife	Medical	Breach of confidentiality on social network site	First and final written warning	Information not held by Trust
NHS Fife	Medical	Breach of confidentiality on social network site	First and final written warning	Information not held by Trust
NHS Fife	Medical	Breach of confidentiality on social network site	First and final written warning	Information not held by Trust
Nottingham University Hospital NHS Trust	Medical	Release of picture of a patient onto Facebook	Dismissed	No
Pennine Acute Hospital NHS Trust	Civilian	Sent information via Facebook to parent of a patient	Disciplined internally	No
Pennine Acute Hospital NHS Trust	Civilian	Posted sensitive information on Facebook	Disciplined internally	No

Royal West Sussex NHS Trust	Medical	Breach of patient information via internet social networking site	Second stage formal written warning issued-on file 12 months	No
Royal West Sussex NHS Trust	Medical	Breach of patient information via internet social networking site	Second stage formal written warning issued-on file 12 months	No
Stockport NHS Foundation Trust	Civilian	Make reference to patients on social networking site	Final written warning issued	No
Stockport NHS Foundation Trust	Civilian	Make reference to patients on social networking site	Final written warning issued	No
Surrey and Sussex Healthcare NHS Trust	Medical	Inappropriate use of social networking site resulting in breach of patient confidentiality	Disciplined internally	No
Surrey and Sussex Healthcare NHS Trust	Medical	Inappropriate use of social networking site resulting in breach of patient confidentiality	Disciplined internally	No
Surrey and Sussex Healthcare NHS Trust	Medical	Inappropriate use of social networking site resulting in breach of patient confidentiality	Disciplined internally	No
Surrey and Sussex Healthcare NHS Trust	Civilian	Inappropriate use of social networking site resulting in breach of patient confidentiality	Disciplined internally	No
Wakefield District PCT	Civilian	Sharing patient details on social networking sites	Final written warning	No
West Middlesex University Hospital NHS Trust	Medical	Breach of patient confidentiality via social networking site	Disciplinary action taken short of dismissal	No

Appendix 3: The list by NHS Trust of incidents where NHS employees inappropriately accessed the confidential medical records of colleagues in the workplace

Organisation	Medical / civilian?	Outline of what was accessed/information passed to third party	Action taken criminal/discipline	Conviction	
	Colleagues				
1	Birmingham East and North PCT	Civilian	Instigating and passing information relating to a colleague to a third party	Dismissed	No
2	Birmingham East and North PCT	Civilian	Passed information relating to a colleague to a third party	Final written warning issued	No
3	Birmingham Women's NHS Foundation Trust	Civilian	Improperly accessed staff records	Disciplinary investigation resulting in dismissal (other matters taken into consideration as well)	No
4	East Kent Hospitals University NHS Trust	Civilian	Obtaining and using personal information about a work colleague inappropriately	Dismissed	No
5	East Kent Hospitals University NHS Trust	Medical	Accessing personal information about the medical condition of a work colleague	Final written warning issued	No
6	East Kent Hospitals University NHS Trust	Medical	Accessing personal information about the medical condition of a work colleague	Final written warning issued	No
7	East Kent Hospitals University NHS Trust	Medical	Accessing personal information about the medical condition of a work colleague	Final written warning issued	No
8	East Kent Hospitals University NHS Trust	Medical	Accessing personal information about the medical condition of a work colleague	Final written warning issued	No
9	Gloucestershire PCT	Medical	Breached confidentiality by discussing details of a pending disciplinary investigation relating to a member of team with persons outside the formal investigation	Not specified	No
10	Gloucestershire PCT	Medical	Breached confidentiality by openly discussing personal information relating to colleagues with other staff members in an open office environment	Not specified	No

11	Gloucestershire PCT	Medical	Breached confidentiality by the unauthorised access of a member of staff's medical records using a GP's computerised system	Not specified	No
12	Hampshire Partnership NHS Trust (Mental Health)	Civilian	Looked up colleague details on PAS	Final written warning issued	No
13	Hampshire Partnership NHS Trust (Mental Health)	Civilian	Informed others about a staff investigation	Informal action taken	No
14	Hampshire Partnership NHS Trust (Mental Health)	Civilian	Read and disclosed information from manager and staff drawers/pigeon holes	Written warning issued	No
15	Hinchingbrooke Health Care NHS Trust	Civilian	Passed inappropriate information about a member of staff to a colleague	Dismissed	
16	Hull Teaching PCT	Civilian	Accessed patient files of 413 people including friends, colleagues	Resigned/Dismissed, prosecuted and plead guilty to all charges	Yes
17	James Paget University Hospital NHS Foundation Trust	Civilian	Passed information about a colleague to a patient	Disciplined internally	No
18	James Paget University Hospital NHS Foundation Trust	Civilian	Discussed information about a colleague with other colleagues	Disciplined internally	No
19	Lancashire Teaching Hospital NHS Foundation Trust	Civilian	Inappropriate access to colleagues' records	Disciplined internally	No
20	Lancashire Teaching Hospital NHS Foundation Trust	Civilian	Inappropriate access to colleagues' records	Disciplined internally	No
21	Lancashire Teaching Hospital NHS Foundation Trust	Civilian	Inappropriate access to colleagues' records	Disciplined internally	No
22	Lancashire Teaching Hospital NHS Foundation Trust	Civilian	Inappropriate access to colleagues' records	Disciplined internally	No
23	Lancashire Teaching Hospital NHS Foundation Trust	Civilian	Inappropriate access to colleagues' records	Disciplined internally	No
24	Lancashire Teaching Hospital NHS Foundation Trust	Civilian	Requested another staff member's access information	Disciplined internally	No
25	Lancashire Teaching Hospital NHS Foundation Trust	Civilian	Accessing information on behalf of another staff member	Disciplined internally	No

26	Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Lost/missing staff or corporate information (written or electronic)	Refused on grounds that Trust records breaches of policy, not of the Data Protection Act. Information not held	No
27	NHS Fife	Medical	Inappropriate access to staff or patient records	Dismissed	Information not held by Trust
28	NHS Fife	Medical	Inappropriate access to staff or patient records	Dismissed	Information not held by Trust
29	NHS Fife	Medical	Inappropriate access to staff or patient records	Dismissed	Information not held by Trust
30	NHS Fife	Medical	Inappropriate access to staff or patient records	First and final written warning and dismissed	Information not held by Trust
31	NHS Fife	Medical	Inappropriate access to staff or patient records	First and final written warning	Information not held by Trust
32	NHS Fife	Medical	Inappropriate access to staff or patient records	First and final written warning	Information not held by Trust
33	NHS Fife	Civilian	Inappropriate access to staff or patient records	First and final written warning	Information not held by Trust
34	NHS Fife	Civilian	Inappropriate access to staff or patient records	First and final written warning	Information not held by Trust
35	NHS Fife	Civilian	Inappropriate access to staff or patient records	First and final written warning	Information not held by Trust
36	NHS Fife	Civilian	Inappropriate access to staff or patient records	First and final written warning	Information not held by Trust
37	NHS Fife	Civilian	Inappropriate access to staff or patient records	First and final written warning	Information not held by Trust
38	NHS Fife	Civilian	Inappropriate access to staff or patient records	First and final written warning	Information not held by Trust
39	NHS Lothian	Medical	Accessed a colleague's patient information	First and final warning issued	No
40	NHS Lothian	Medical	Accessed a colleague's patient information	First and final warning issued	No
41	NHS Lothian	Medical	Accessed a colleague's patient information	First and final warning issued	No

42	North Middlesex University Hospital Trust	Civilian	Passed personal information about a member of staff to another colleague	Formal written warning issued	No
43	North Middlesex University Hospital Trust	Civilian	Passed personal information about a member of staff to another colleague	Formal written warning issued	No
44	North Middlesex University Hospital Trust	Civilian	Passed personal information about a member of staff to an external organisation	Dismissed	No
45	North Tees and Hartlepool NHS Foundation Trust	Civilian	Disclosed confidential information about members of staff to unauthorised third party	Dealt with under Personal Responsibility Framework	No
46	Northern Health and Social Care Trust	Civilian	Passed information about clients/colleagues to a third party	Formal Warning following disciplinary hearing	No
47	Northern Lincolnshire and Goole Hospital NHS Foundation Trust	Civilian	Staff member accessed a colleague's lab results	Disciplined internally	No
48	Nottingham University Hospital NHS Trust	Civilian	Discussed investigation with colleagues	Dismissed	No
49	Nottingham University Hospital NHS Trust	Civilian	Accessing colleagues medical records	Dismissed	No
50	Nottingham University Hospital NHS Trust	Civilian	Breaching confidentiality of a member of staff who was also a patient	Final written warning issued	No
51	Pennine Acute Hospital NHS Trust	Civilian	Deliberately accessed the PAS system to gain information on friends, colleagues or family members without authorisation and released to third party	Disciplined internally	No
52	Pennine Acute Hospital NHS Trust	Civilian	Deliberately accessed the PAS system to gain information on friends, colleagues or family members without authorisation and released to third party	Disciplined internally	No
53	Pennine Acute Hospital NHS Trust	Civilian	Deliberately accessed the PAS system to gain information on friends, colleagues or family members without authorisation and released to third party	Disciplined internally	No
54	Royal West Sussex NHS Trust	Civilian	Accessed staff patient records	Investigation and no formal action taken	No
55	Royal West Sussex NHS Trust	Medical	Accessed staff patient records	Investigation and no formal action taken	No

56	Salford Royal NHS Foundation Trust	Civilian	Inappropriate access of colleagues' and family's personal information	Dismissed	No
57	Salford Royal NHS Foundation Trust	Civilian	Inappropriate access of colleagues' and family's personal information	Dismissed	No
58	Salford Royal NHS Foundation Trust	Civilian	Obtaining contact details of colleague without consent (and other allegations)	Dismissed	No
59	Sandwell and West Birmingham Hospitals NHS Trust	Civilian	Appointments clerk inappropriately accessed colleague's medical condition then emailed a summary of the condition to other colleagues in the department	Dismissed	No
60	Sandwell and West Birmingham Hospitals NHS Trust	Civilian	Administration Assistant informed another colleague of their diagnosis. After taking minutes for a multidisciplinary team	Formally disciplined	No
61	Sandwell and West Birmingham Hospitals NHS Trust	Civilian	Leaked Trust payroll information to their private email account. Police found no evidence that staff payroll information had been used or passed to a third party	Dismissed	No- Case dropped by Crown Court
62	Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breaches of staff information	Resigned	No
63	Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breaches of staff information	Dismissed	No
64	Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breaches of staff information	Dismissed	No
65	Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breaches of staff information	Final written warning issued	No
66	Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breaches of staff information	Final written warning issued	No
67	Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breaches of staff information	Final written warning issued	No
68	Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breaches of staff information	Final written warning issued	No
69	Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breaches of staff information	Counselling record issued	No

70	Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breaches of staff information	Counselling record issued	No
71	Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breaches of staff information	Counselling record issued	No
72	Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breaches of staff information	Counselling record issued	No
73	Sheffield Teaching Hospitals NHS Foundation Trust	Civilian	Breaches of staff information	Counselling record issued	No
74	Southampton University Hospital NHS Trust	Medical	Accessed colleagues' personal information for non work purposes	Suspended from work	No
75	Southampton University Hospital NHS Trust	Civilian	Staff sharing password for access to electronic system	Staff member counselled	No
76	Southampton University Hospital NHS Trust	Civilian	Staff sharing password for access to electronic system	Access to system deactivated	No
77	Surrey and Borders Partnership NHS Foundation Trust (Mental Health)	Civilian	Failure to store and secure staff personnel files appropriately	Interdisciplinary Action- Informal Warning	No
78	The Hillingdon Hospital NHS Trust	Medical	Personal information passed to a third party about a staff member while an inpatient	Dismissed	No
79	The Hillingdon Hospital NHS Trust	Medical	Personal information passed to a third party about a staff member while an inpatient	Final written warning issued	No
80	The Whittington Hospital NHS Trust	Civilian	Loss of staff data	Dismissed	Information not held by Trust
81	University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Accessed the medical records of a colleague/friend	Disciplined internally	No
82	University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Accessed the medical records of a colleague/friend	Disciplined internally	No
83	University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Accessed the medical records of a colleague/friend	Disciplined internally	No
84	University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Accessed the medical records of a colleague/friend	Disciplined internally	No

85	University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Accessed the medical records of a colleague/friend	Disciplined internally	No
86	University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Accessed the medical records of a colleague/friend	Disciplined internally	No
87	University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Accessed the medical records of a colleague/friend	Disciplined internally	No
88	University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Accessed the medical records of a colleague/friend	Disciplined internally	No
89	University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Accessed the medical records of a colleague/friend	Disciplined internally	No
90	University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Accessed medical records of family members and of colleagues	Disciplined internally	No
91	Wakefield District PCT	Civilian	Removed post from place of work and shared details with a colleague	Written warning	No

Appendix 4: The list by NHS Trust of incidents where NHS employees inappropriately accessed the confidential medical records of their family members

FAMILY					
1	Abertawe Bro Morgannwg University NHS Trust	Civilian	Discussed patient information with relative of patient	Verbal warning issued	No
2	Belfast Health and Social Care Trust	Civilian	Accessed a relative's medical records	Disciplinary warning	No
3	Belfast Health and Social Care Trust	Civilian	Accessed a relative's medical records	Disciplinary warning	No
4	Doncaster PCT	Civilian	Access to relative's appointment information with verbal permission of the relative, but outside normal appointment booking process. No third party disclosure	First written warning issued	No
5	Doncaster PCT	Civilian	Access to relative's appointment information with verbal permission of the relative, but outside normal appointment booking process. No third party disclosure	First written warning issued	No
6	East Kent Hospitals University NHS Trust	Medical	Obtaining medical records of a family member	Informal action taken	No
7	East Kent Hospitals University NHS Trust	Civilian	Obtaining medical records of a family member	Informal action taken	No
8	Gwent Healthcare NHS Trust (Bevan)	Civilian	Accessed relative's information	Disciplined internally	No
9	Gwent Healthcare NHS Trust (Bevan)	Civilian	Accessed relative's information	Disciplined internally	No
10	Gwent Healthcare NHS Trust (Bevan)	Civilian	Accessed relative's information	Disciplined internally	No
11	Hampshire Partnership NHS Trust (Mental Health)	Civilian	Accessed family member details inappropriately and inappropriately disclosed them	Final written warning issued	No
12	Information refused on the grounds of Section 40 (2) of the FOIA	Civilian	Took patient file home. Patient was the partner of the member of staff, contents of the file read	Dismissed	No

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020 7340 6030 (office hours) 07810 785 924 (24 hours)

13	NHS Lothian	Medical	Accessed a colleague's patient information	First and final warning issued	No
14	NHS Lothian	Medical	Accessed a colleague's patient information	First and final warning issued	No
15	NHS Lothian	Medical	Accessed a colleague's patient information	First and final warning issued	No
16	NHS Lothian	Civilian	Accessed information in relation to family member	First and final warning issued	No
17	NHS Lothian	Medical	Accessed information in relation to family member	First and final warning issued	No
18	NHS Lothian	Medical	Accessed information in relation to family member	First and final warning issued	No
19	NHS Lothian	Medical	Accessed information in relation to family member	First and final warning issued	No
20	NHS Lothian	Medical	Accessed information in relation to family member	First and final warning issued	No
21	NHS Lothian	Medical	Accessed information in relation to family member	First and final warning issued	No
22	NHS Lothian	Medical	Accessed information in relation to family member	First and final warning issued	No
23	NHS Lothian	Medical	Accessed information in relation to family member	First and final warning issued	No
24	NHS Lothian	Medical	Accessed information in relation to family member	First and final warning issued	No
25	NHS Lothian	Medical	Accessed information in relation to family member	First and final warning issued	No
26	NHS Lothian	Medical	Accessed information in relation to family member	First and final warning issued	No
27	NHS Lothian	Medical	Accessed information in relation to family member	First and final warning issued	No
28	NHS Lothian	Medical	Accessed family records	First and final warning issued	No
29	NHS Lothian	Medical	Accessed husband's medical results with his permission	First and final warning issued	No
30	NHS Lothian	Medical	Accessed information of own child	First and final warning issued	No
31	Salford Royal NHS Foundation Trust	Civilian	Inappropriate access of own family members' patient records	Final written warning issued	No
32	Salford Royal NHS Foundation Trust	Civilian	Inappropriate access of own family members' patient records	Final written warning issued	No
33	Salford Royal NHS Foundation Trust	Civilian	Inappropriate access of own family members' patient records	Final written warning issued	No
34	Salford Royal NHS Foundation Trust	Civilian	Inappropriate access of own family members' patient records	Final written warning issued	No
35	University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Inappropriately accessed family medical records	Disciplined internally	No

36	University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Inappropriately accessed family medical records	Disciplined internally	No
37	University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Inappropriately accessed family medical records	Disciplined internally	No
38	University Hospitals Coventry and Warwickshire NHS Trust	Civilian	Inappropriately accessed family medical records	Disciplined internally	No

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Appendix 5: The list by NHS Trust of incidents where data protection policy was breached by information lost, left behind or stolen

	Organisation	Medical / civilian?	Outline of what was accessed/information passed to third party	Action taken criminal/discipline	Conviction
1	Calderstones NHS Trust (Mental Health)	Medical	Loss of personally identifiable data (hard copy, subsequently recovered intact)	Dismissed	No
2	Cambridge University Hospitals NHS Foundation Trust	Civilian	Loss of Patient identifiable data	Disciplinary action	No
3	Cambridge University Hospitals NHS Foundation Trust	Civilian	Loss of Patient identifiable data	Disciplinary action	No
4	Cambridge University Hospitals NHS Foundation Trust	Medical	Loss of unencrypted memory stick	Disciplinary action	No
5	Cambridge University Hospitals NHS Foundation Trust	Medical	Loss of unencrypted memory stick	Disciplinary action	No
6	Cambridge University Hospitals NHS Foundation Trust	Medical	Loss of unencrypted memory stick	Disciplinary action	No
7	Cambridge University Hospitals NHS Foundation Trust	Medical	Loss of unencrypted memory stick	Disciplinary action	No
8	Cambridge University Hospitals NHS Foundation Trust	Medical	Loss of unencrypted memory stick	Disciplinary action	No

9	Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from secured NHS premises- contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No
10	Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from outside secured NHS premises- contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No
11	Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from secured NHS premises- contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No
12	Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from secured NHS premises- contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No
13	Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from secured NHS premises- contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No

14	Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from secured NHS premises-contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No
15	Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from secured NHS premises-contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No
16	Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from secured NHS premises-contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No
17	Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from secured NHS premises-contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No
18	Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from secured NHS premises-contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No

19	Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from secured NHS premises- contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No
20	Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from secured NHS premises- contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No
21	Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from secured NHS premises- contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No
22	Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from outside secured NHS premises- contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No
23	Cumbria Teaching PCT	Unspecified	Loss of inadequately protected electronic equipment, devices or paper documents from outside secured NHS premises- contained personal identifiable information about patients or relate to breach of data security where information was wrongly sent via fax or post, printing or copying and made available to those with no right of access	Information not held centrally, not reported	No

24	East and North Hertfordshire PCT	Civilian	Information left unsecured	Final written warning issued	No
25	East Lancashire Teaching PCT	Civilian	Misplaced case-notes	Dismissed	No
26	Great Ormond Street Hospital For Children NHS Trust	Civilian	Lost confidential information	Written warning following internal disciplinary hearing	No
27	Hull and East Yorkshire Hospitals NHS Trust	Medical	Lost unencrypted patient data	Written warning issued	No
28	Hull and East Yorkshire Hospitals NHS Trust	Medical	Lost unencrypted patient data	Final written warning issued	No
29	King's College Hospital NHS Foundation Trust	Civilian	Accidentally lost confidential information	Disciplined internally- details refused on grounds of Section 40 (2)	No
30	Leicestershire Partnership NHS Trust (Mental Health)	Civilian	Lost sensitive patient data	Disciplined internally	No
31	Leicestershire Partnership NHS Trust (Mental Health)	Civilian	Lost sensitive patient data	Disciplined internally	No
32	Leicestershire Partnership NHS Trust (Mental Health)	Civilian	Lost sensitive patient data after car was broken into	Disciplined internally	No
33	Leicestershire Partnership NHS Trust (Mental Health)	Civilian	Left patient records on view in car	Disciplined internally	No
34	Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Patient information lost or missing sections (written or electronic)	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
35	Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Lost/missing staff or corporate information (written or electronic)	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No

36	Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Patient information left in unsecure area of Trust	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
37	Mid Essex Hospital Services NHS Trust	Unspecified	Stolen laptop containing unencrypted data including names, dates of birth, age, hospital number, NHS number, GP fax number, diagnosis, test results and operation history affecting 1876 patients	Patients informed. Disciplined internally-formal investigation took place but no further action deemed necessary. Disciplinary action refused on grounds of Section 40 (2)	No
38	NHS Fife	Medical	Lost patient record	First and final written warning	Information not held by Trust
39	NHS Lothian	Civilian	Loss of a pen stick containing personal information	First and final warning issued	No
40	North East London NHS Foundation Trust (Mental Health)	Medical	Alleged breach of Data Protection Policy i.e. person identifiable information left in vehicle overnight and vehicle was stolen	Final written warning issued	No
41	North East London NHS Foundation Trust (Mental Health)	Medical	Alleged breach of Data Protection Policy i.e. person identifiable information left in vehicle overnight and vehicle was stolen	Final written warning issued	No
42	North West London Hospitals NHS Trust	Medical	Document containing personal information left in a public place	Final written warning	No
43	North Yorkshire and York PCT	Civilian	Loss of encrypted memory stick	Verbal warning issued	No
44	Northumberland, Tyne and Wear NHS Trust (Mental Health)	Civilian	Loss of documents containing patient information	Written warning issued	No
45	Northumberland, Tyne and Wear NHS Trust (Mental Health)	Civilian	Loss of documents containing patient information	Written warning issued	No
46	Nottingham University Hospital NHS Trust	Civilian	Left visitors unaccompanied where could see confidential info	No Case to answer	No

47	Pennine Acute Hospital NHS Trust	Medical	Lost briefcase abroad	Disciplined internally	No
48	Pennine Acute Hospital NHS Trust	Civilian	Left confidential information insecure when leaving reception area	Disciplined internally	No
49	Pennine Acute Hospital NHS Trust	Medical	Left patient data in car in full view	Disciplined internally	No
50	Plymouth Hospitals NHS Trust	Medical	Loss of Safestick	Disciplined internally	No
51	Plymouth Hospitals NHS Trust	Medical	Loss of Safestick	Disciplined internally	No
52	Plymouth Hospitals NHS Trust	Medical	Loss of Safestick	Disciplined internally	No
53	Southampton University Hospital NHS Trust	Civilian	Laptop containing person identifiable data left in a non-secure area by member of staff and consequently stolen	Written warning issued	No
54	Southampton University Hospital NHS Trust	Civilian	Laptop containing person identifiable data left in a non-secure area by member of staff and consequently stolen	Written warning issued	No
55	St George's Healthcare NHS Trust	Medical	Data loss	One week suspension	No
56	Suffolk PCT	Medical	Potential breach of confidentiality due to client documentation stolen from a car	Sanction applied at Trust disciplinary hearing- Final written warning issued	No
57	The Whittington Hospital NHS Trust	Civilian	Loss of staff data	Dismissed	Information not held by Trust

Appendix 6: The list by NHS Trust of incidents where all or part of the information requested was refused or withheld

	Organisation	Medical / civilian?	Outline of what was accessed/information passed to third party	Action taken criminal/discipline	Conviction
1	Barnsley Hospital NHS Foundation Trust	Less than 5 unspecified- Refused on grounds of Data Protection Principles	Variations of inappropriately accessing personal data for personal interest and left personal data unsecured	Suspension and written warnings issued	No
2	Blackburn with Darwen PCT	Refused on grounds of Section 40 (2) exemption- 'personal information'			
3	Burton Hospitals NHS Foundation Trust	Less than 5 Civilian	Refused on grounds of 'personal information' which, if individual were identified, may cause damage or distress to the staff member involved	Refused on grounds of 'personal information' which, if individual were identified, may cause damage or distress to the staff member involved	No
4	Colchester Hospital University NHS Foundation Trust	Information not held centrally by Trust- FOI request refused on grounds of time and cost			
5	County Durham PCT	Information not held centrally by Trust- FOI request refused on grounds of time and cost			
6	Darlington PCT	Information not held centrally by Trust- FOI request refused on grounds of time and cost			
7	Dudley PCT	Civilian	Accessed personal information for personal interest	Refused on grounds of Section 40 (2) exemption- 'personal information'	No
8	East Cheshire NHS Trust	Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'	No

9	East Of England Ambulance Service NHS Trust	Medical	Disclosure of confidential patient information	Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'
10	East Of England Ambulance Service NHS Trust	Civilian	Release of patient identifiable data to external sources, potential breach of DPA and bringing Trust into dispute	Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'
11	East Sussex Downs and Weald PCT	Refused on grounds of Section 40 (2) exemption- 'personal information'			
12	Greater Manchester West Mental Health NHS Foundation Trust	Unspecified- Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'	No
13	Gwent Healthcare NHS Trust (Bevan)	Medical	Refused on grounds of Section 40 (2) exemption- 'personal information'	Disciplined and dismissed	No
14	Hartlepool PCT	Information not held centrally by Trust due to mergers- FOI request refused on grounds of time and cost- NHS Tees			
15	Hastings and Rother PCT	Refused on grounds of Section 40 (2) exemption- 'personal information'			
16	Herefordshire PCT	Less than 5 unspecified	Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'	No
17	Hywel Dda NHS Trust	Civilian	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	No

18	Hywel Dda NHS Trust	Civilian	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	No
19	Hywel Dda NHS Trust	Civilian	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	No
20	Hywel Dda NHS Trust	Civilian	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	No
21	Hywel Dda NHS Trust	Civilian	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	No
22	Hywel Dda NHS Trust	Civilian	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	No
23	Hywel Dda NHS Trust	Civilian	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	No
24	Hywel Dda NHS Trust	Civilian	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	No
25	Hywel Dda NHS Trust	Civilian	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	No

26	Hywel Dda NHS Trust	Civilian	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	No
27	Hywel Dda NHS Trust	Civilian	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	Specifics refused under Section 12 (cost) exemption- Inappropriate access and/or breach of confidentiality	No
28	Kettering General Hospital NHS Foundation Trust	Unspecified	Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'	No
29	Kettering General Hospital NHS Foundation Trust	Unspecified	Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'	No
30	Kettering General Hospital NHS Foundation Trust	Unspecified	Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'	No
31	King's College Hospital NHS Foundation Trust	Civilian	Disclosed confidential information to a third party	Disciplined internally- details refused on grounds of Section 40 (2) exemption- 'personal information'	No
32	King's College Hospital NHS Foundation Trust	Civilian	Accidentally lost confidential information	Disciplined internally- details refused on grounds of Section 40 (2) exemption- 'personal information'	No
33	King's College Hospital NHS Foundation Trust	Civilian	Disclosed confidential patient information to another patient	Disciplined internally- details refused on grounds of Section 40 (2) exemption- 'personal information'	No

34	King's College Hospital NHS Foundation Trust	Civilian	Accessed patient's notes without a valid clinical reason for doing so	Disciplined internally- details refused on grounds of Section 40 (2) exemption- 'personal information'	No
35	King's College Hospital NHS Foundation Trust	Civilian	Disclosed confidential information to a third party	Disciplined internally- details refused on grounds of Section 40 (2) exemption- 'personal information'	No
36	King's College Hospital NHS Foundation Trust	Civilian	Accessed patient's notes without a valid clinical reason for doing so	Disciplined internally- details refused on grounds of Section 40 (2) exemption- 'personal information'	No
37	King's College Hospital NHS Foundation Trust	Civilian	Unspecified	Disciplined internally- details refused on grounds of Section 40 (2) exemption- 'personal information'	No
38	King's College Hospital NHS Foundation Trust	Medical	Removed confidential patient information from the Trust	Disciplined internally- details refused on grounds of Section 40 (2) exemption- 'personal information'	No
39	King's College Hospital NHS Foundation Trust	Medical	Removed confidential patient information from the Trust	Disciplined internally- details refused on grounds of Section 40 (2) exemption- 'personal information'	No
40	King's College Hospital NHS Foundation Trust	Medical	Accessed patient's notes without a valid clinical reason for doing so	Disciplined internally- details refused on grounds of Section 40 (2) exemption- 'personal information'	No

41	Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Breach of Confidentiality- within staff (verbal)	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
42	Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Breach of Confidentiality- within the hospital (verbal)	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
43	Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Breach of Confidentiality- Outside the hospital (verbal)	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
44	Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Patient information inaccurate/illegible/misfiled (written or electronic)	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
45	Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Patient information lost or missing sections (written or electronic)	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
46	Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Unauthorised disclosure or use of patient information (written, verbal or electronic)	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No

47	Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Patient information left in unsecure area of Trust	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
48	Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Patient information found outside the Trust	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
49	Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Misfiled/Inaccurate/Illegible staff or corporate information (written or electronic)	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
50	Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Lost/missing staff or corporate information (written or electronic)	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
51	Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Theft of information (written or electronic)	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
52	Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Information incident- Suspicious request for information (written, verbal or electronic)	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No

53	Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Information incident- Breach in Safe Haven Policy/Other IG Policy	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
54	Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Information incident- Caused by external provider/other party	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
55	Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Password incident- Unauthorised disclosure	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
56	Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Email incident- Incorrect Recipient/Unauthorised Use	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
57	Mid Cheshire Hospitals NHS Foundation Trust	Unspecified	Removable media incident- Unauthorised Use	Refused on grounds that Trust records incidents of breaches of policy, not of the Data Protection Act. Information not held specifically	No
58	Mid Essex Hospital Services NHS Trust	Unspecified	Stolen laptop containing unencrypted data including names, dates of birth, age, hospital number, NHS number, GP fax number, diagnosis, test results and operation history affecting 1876 patients	Patients informed. Disciplined internally-formal investigation took place but no further action deemed necessary. Disciplinary action refused on grounds of Section 40 (2)	No
59	Middlesbrough PCT	Information not held centrally by Trust due to mergers- FOI request refused on grounds of time and cost- NHS Tees			

60	NHS Ayrshire and Arran	Civilian	Refused on grounds of Section 40 (2) exemption- 'personal information'	Dismissed	Information not held by Trust
61	NHS Ayrshire and Arran	Civilian	Refused on grounds of Section 40 (2) exemption- 'personal information'	Dismissed	Information not held by Trust
62	NHS Ayrshire and Arran	Civilian	Refused on grounds of Section 40 (2) exemption- 'personal information'	Disciplined internally	Information not held by Trust
63	NHS Ayrshire and Arran	Civilian	Refused on grounds of Section 40 (2) exemption- 'personal information'	Disciplined internally	Information not held by Trust
64	NHS Ayrshire and Arran	Civilian	Refused on grounds of Section 40 (2) exemption- 'personal information'	Disciplined internally	Information not held by Trust
65	NHS Ayrshire and Arran	Civilian	Refused on grounds of Section 40 (2) exemption- 'personal information'	Disciplined internally	Information not held by Trust
66	NHS Ayrshire and Arran	Civilian	Refused on grounds of Section 40 (2) exemption- 'personal information'	Disciplined internally	Information not held by Trust
67	NHS Ayrshire and Arran	Civilian	Refused on grounds of Section 40 (2) exemption- 'personal information'	Disciplined internally	Information not held by Trust
68	NHS Grampian	Information not held centrally by Trust- FOI request refused on these grounds			
69	NHS Greater Glasgow and Clyde	Information not held centrally by Trust- FOI request refused on grounds of time and cost			
70	NHS Tayside	Less than 5 unspecified	Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'	Information not held by Trust
71	Papworth NHS Foundation Trust	Less than 3 unspecified	offenses against the Trust's patient confidentiality policies	Discipline	No
72	Peterborough and Stamford Hospital NHS Foundation Trust	Information not held centrally by Trust- FOI request refused on grounds of time and cost			
73	Plymouth Teaching PCT	Medical	Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'	Information not held centrally
74	Plymouth Teaching PCT	Civilian	Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'	Information not held centrally

75	Redcar and Cleveland PCT	None dismissed, other disciplinary action not held centrally and refused on cost grounds			
76	Sheffield Health and Social Care NHS Foundation Trust (Mental Health)	Information not held centrally- refused			No
77	South Gloucestershire PCT	Less than 5 unspecified	Refused on grounds of Section 40 (2) exemption- 'personal information'	Refused on grounds of Section 40 (2) exemption- 'personal information'	No
78	Southend University Hospital NHS Foundation Trust	Less than 5 Civilian	Refused on grounds of Section 40 (2) exemption- 'personal information'	Dismissed	No
79	Southend University Hospital NHS Foundation Trust	Less than 5 Civilian	Refused on grounds of Section 40 (2) exemption- 'personal information'	Disciplined internally	No
80	Southend University Hospital NHS Foundation Trust	Less than 5 Medical	Refused on grounds of Section 40 (2) exemption- 'personal information'	Disciplined internally	No
81	St George's Healthcare NHS Trust	Less than 10 unspecified	Refused on grounds of Section 40 (2) exemption- 'personal information'	Dismissed	No
82	University Hospitals Of Leicester NHS Trust	Information not held centrally- refused on grounds of time and cost			
83	West Suffolk Hospitals NHS Trust	Medical	Refused on grounds of Section 40 (2) exemption- 'personal information'	Dismissed	No
84	West Suffolk Hospitals NHS Trust	Medical	Refused on grounds of Section 40 (2) exemption- 'personal information'	Dismissed	No
85	West Suffolk Hospitals NHS Trust	Medical	Refused on grounds of Section 40 (2) exemption- 'personal information'	Dismissed	No
86	West Suffolk Hospitals NHS Trust	Medical	Refused on grounds of Section 40 (2) exemption- 'personal information'	Disciplined internally- details refused on grounds of Section 40 (2)	No
87	West Suffolk Hospitals NHS Trust	Medical	Refused on grounds of Section 40 (2) exemption- 'personal information'	Disciplined internally- details refused on grounds of Section 40 (2)	No

88	West Suffolk Hospitals NHS Trust	Medical	Refused on grounds of Section 40 (2) exemption- 'personal information'	Disciplined internally- details refused on grounds of Section 40 (2)	No
89	West Suffolk Hospitals NHS Trust	Civilian	Refused on grounds of Section 40 (2) exemption- 'personal information'	Dismissed	No
90	West Suffolk Hospitals NHS Trust	Civilian	Refused on grounds of Section 40 (2) exemption- 'personal information'	Disciplined internally- details refused on grounds of Section 40 (2)	No
91	Cardiff and Vale NHS Trust	21 unspecified	Variations of inappropriate access/use of patient record systems and inappropriate disclosure of patient details	Information not held centrally- refused on grounds of time and cost	No